



**Defense Economic Adjustment Program
Economic Adjustment Committee
Federal Assistance for Impacted Communities**

Federal Assistance for Impacted Communities

September 30, 2008



OFFICE OF THE SECRETARY OF DEFENSE

WASHINGTON, DC 20301

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The Office of Economic Adjustment (OEA) is pleased to present: "Federal Assistance for Impacted Communities," to assist state and local government efforts to assist communities, workers, and businesses as they respond to base closure and mission growth activity. We have compiled the most current information on several Federal programs of financial and technical assistance, including the most current Catalog of Federal Domestic Assistance (CFDA) guidance on how to access them.

I encourage you and your community, region, or state, to review this material and take full advantage of any assistance you believe would further your adjustment efforts. The ability to assist these efforts is beyond the resources of OEA and the Department of Defense (DoD), and must include the resources and expertise across the various civilian Federal agencies. Executive Order 12788, as it was amended in May 2005, establishes the Defense Economic Adjustment Program and specifically directs the civilian Federal agencies to assist in resolving Defense-related impacts on affected communities.

In seeking these other Federal resources, please keep your OEA Project Manager apprised of your progress, including those instances where Federal assistance has been responsive as well as where assistance is either not available or a Federal entity is not responsive. I offer to serve as a voice within the Federal government for those needs where assistance is not presently available.

Please visit www.OEA.gov to view the most recent version of this guide as updates will occur periodically. If you have any questions, please contact your OEA project manager or Mr. Gary Willis, 703-604-5164, gary.willis@wso.whs.mil. We trust this resource will be helpful to your efforts and welcome any comments you may have on improving its content.

Sincerely,

A handwritten signature in blue ink that reads "Patrick J. O'Brien". The signature is written in a cursive style.

Patrick J. O'Brien
Executive Director
Economic Adjustment Committee



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Federal Assistance for Impacted Communities

I. INTRODUCTION

Under Executive Order 12788, as amended, assistance for communities impacted by Defense program changes is coordinated across the Federal Government through the Economic Adjustment Committee (EAC). This guide provides impacted communities with a compendium of coordinated Federal assistance including service descriptions, associated Catalog of Federal Domestic Assistance (CFDA) program text, and resource and contact information for Government workforce and economic development programs. These programs are available to assist communities with the alleviation of socioeconomic effects that may result from military base closures and realignments.

This guide is a print document that can be converted for use on Web sites and is available on www.oea.gov or www.EAClearinghouse.gov.

This catalog contains a total of six separate resource sections:

1. Section II - Resources for Communities Ready for Economic Development
2. Section III - Web and Telephone Resources for Individuals
3. Section IV - Resources for Businesses
4. Section V - Program Acronym List
5. Section VI - Executive Order 12788, as amended
6. Section VII - Catalog of Federal Domestic Assistance Information

II. RESOURCES FOR COMMUNITIES READY FOR ECONOMIC DEVELOPMENT

This section contains information and resources on community and economic development and physical centers that can be visited to aid individuals and businesses impacted by Defense program changes. The phone numbers and Web sites provide information on how to find these centers.

A. Military Base Redevelopment

1. The Office of Economic Adjustment

U.S. Department of Defense

www.oea.gov/oeaweb.nsf/BRAC?readform

(703) 604-6020

To assist communities impacted by Defense program changes, the Office of Economic Adjustment manages and directs the Defense Economic Adjustment Program, and coordinates the involvement of other Federal Agencies. The program identifies and evaluates alternative courses of action and resource requirements, and assists in preparing an adjustment strategy or action plan to help communities help themselves. More information can be found at the Web site or by calling the number above.

CFDA Information

[12.607 Community Economic Adjustment Planning Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation](#)

[12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies](#)

[12.611 Community Economic Adjustment Planning Assistance for Reduction in Defense Industry Employment](#)

[12.614 Community Economic Adjustment Diversification Planning](#)

2. Local Redevelopment Authorities

U.S. Department of Defense

www.oea.gov

The Base Realignment and Closure (BRAC) statute states that the Local Redevelopment Authority (LRA) is responsible for preparing the redevelopment plan or for directing its implementation. The LRA also is the single community point of contact for all matters relating to the closure or realignment. The Office of Economic Adjustment's Web site, listed above, contains additional information about and resources for LRAs.

3. Responding to Change: Communities and BRAC

U.S. Department of Defense

[www.oea.gov/OEAWeb.nsf/130593004D6D595685257000005CD36B/\\$File/Responding%20to%20Change%205-20.pdf](http://www.oea.gov/OEAWeb.nsf/130593004D6D595685257000005CD36B/$File/Responding%20to%20Change%205-20.pdf)

Responding to Change: Communities and BRAC is an electronic magazine (PDF format), that provides information on community adjustment activities related to both closing and expanding military installations. This publication gives practical, hands-on advice for local and State officials.

4. Workforce Investment System Support of BRAC and Base Reuse Case Studies

U.S. Department of Labor

<http://wdr.doleta.gov/directives/attach/TEGL2-05.pdf>

Training and Employment Guidance Letter 02-05 (TEGL 02-05) provides guidance on appropriate and encouraged activities for several Government Agencies and delineates the responsibilities of Federal, State and local entities in supporting the BRAC initiative. Numerous Departments at each level are encouraged to work with BRAC-impacted communities to implement a solutions-based approach for economic development. The TEGL also includes five short case studies of economic and workforce development responses to prior BRAC events and highlights tips for base reuse planning. It can be accessed at the site listed above.

5. Workforce Investment Act (WIA) National Emergency Grants

U.S. Department of Labor

www.doleta.gov/neg/apply_neg.cfm

www.dol.gov/opa/media/press/eta/eta20051123.htm

http://wdr.doleta.gov/directives/corr_doc.cfm?docn=1544

National Emergency Grants (NEGs) are awarded to States and local Workforce Investment Boards in response to applications for funds. These funds are used to address major economic dislocations, such as plant or business closures, mass layoffs, or closures and realignments of military installations. Guidance and information regarding NEGs is available on the first Web site listed above. For BRAC 2005, the Department of Labor awarded \$59.9 million in NEGs to help States, the District of Columbia, and Guam initiate early workforce planning assistance to communities potentially impacted by BRAC 2005. Information about these BRAC NEGs is available through a Department of Labor press release on the second Web site listed above and guidance documents are available on the third Web site.

CFDA Information

[17.260 Workforce Investment Act Dislocated Workers](#)

6. Educational Conveyance

U.S. Department of Education

http://propertydisposal.gsa.gov/Property/library/law/law_main1.asp

The Secretary of Education has the legislative authority to sell and convey Federal real property to States, their political subdivisions, colleges, universities, public and private non-profit school systems and other education organizations. As described at the site above, this “educational conveyance” is for public benefit and allows discounts up to 100-percent off the current fair market value of the available property. Additional information is provided at the Web site above.

7. Impact Aid Program

U.S. Department of Education

www.ed.gov/about/offices/list/oese/impactaid/index.html

The mission of the Impact Aid Program is to provide funding to local educational agencies that are financially burdened by Federal activities and to provide technical assistance and support services to staff and other interested parties. The above Web site provides additional information about the program.

CFDA Information

[84.040 Impact Aid Facilities Maintenance](#)

[84.041 Impact Aid](#)

8. Military Airport Program

U.S. Department of Transportation

http://www.faa.gov/airports_airtraffic/airports/aip/military_airport_program/

The Military Airport Program (MAP) places special emphasis on developing appropriate former military (closed under BRAC) and existing joint use military airports. It allows funding of certain capital improvements that are not allowed under the main Airport Improvement Program (see below), such as surface parking lots, fuel farms, hangars, terminals, utility systems, access roads and cargo buildings. The Web site above provides additional information on MAP.

9. Airport Improvement Program

U.S. Department of Transportation

http://www.faa.gov/airports_airtraffic/airports/aip/

The Airport Improvement Program (AIP) provides grants to public agencies for planning and developing public use airports that are in the National Plan for Integrated Airports System (NPIAS). The above Web site provides a description of and guidelines pertaining to the AIP.

CFDA Information

[20.106 Airport Improvement Program](#)

10. New Market Tax Credits

U.S. Department of the Treasury

www.cdfifund.gov/programs/programs.asp?programID=5

This Web site provides information about New Market Tax Credits (NMTC). NMTCs attract private-sector capital investment into urban and rural low-income areas to help finance community development projects, stimulate economic growth and create jobs. They permit individual and corporate taxpayers to receive a credit against Federal income taxes for making qualified equity investments in specific community development entities.

11. Technical Assistance Grants

U.S. Environmental Protection Agency

<http://www.epa.gov/superfund/community/tag/index.htm>

Community groups near BRAC installations that are on the U.S. Environmental Protection Agency's (EPA) National Priorities List (NPL), or proposed to be listed, may be eligible for Technical Assistance Grants (TAGs). A qualified community group may receive an initial grant up to \$50,000 for hiring independent technical advisors to help the community understand and interpret technical information about the investigation and cleanup of hazardous waste on the BRAC NPL site. The Web site provides more information about EPA's TAG program.

CFDA Information

[66.806 Superfund Technical Assistance Grants \(TAG\) for Community Groups at National Priority List \(NPL\) Sites](#)

12. Turning Bases into Great Places: New Life for Closed Military Communities

U.S. Environmental Protection Agency

<http://www.epa.gov/smartgrowth/military.htm>

This new guidebook showcases environmentally-friendly redevelopment models which local reuse authorities and community members may want to consider throughout the base reuse planning and redevelopment process. The messages contained in the guidebook will help a community facing base closure develop a reuse plan that creates vibrant neighborhoods, brings amenities to residents and the surrounding neighborhoods, provides a balanced mix of jobs and housing, and capitalizes on historic, cultural, and natural assets. The practices described in the guidebook reflect smart growth principles, and the case studies/examples provided illustrate where some of these principles have been applied. See above Web site for further details.

13. Guidebook on Military Base Reuse and Homeless Assistance

U.S. Department of Housing and Urban Development

<http://www.hud.gov/offices/cpd/homeless/programs/brac/guide/index.cfm>

This guidebook, updated in 2006, is a very important source of information for Local Redevelopment Authorities (LRAs) charged with transitioning closed military bases from military to civilian use. The LRA is required to submit a BRAC application, consisting of a redevelopment plan, a homeless assistance submission, and the summary of public comments to the U.S. Department of Housing and Urban Development (HUD) and the Military Department. This guidebook outlines statutory and regulatory requirements, provides a detailed discussion of HUD's review process, and contains case studies of model base reuse plans. The guidebook is available at the above Web site.

B. Community Development

1. An Environmental Scan

U.S. Department of Labor

www.doleta.gov/programs/pdf/environmental-scan-report-final.pdf

“An Environmental Scan” is the workforce information source to assist employment, economic development, education, workforce investment planning and decision making. It provides a useful compendium of sources of labor market information, workforce information, and Web-based systems that provide improved access to this kind of information. An Environmental Scan can be accessed at the Web site listed above.

2. Conducting a Community Audit

U.S. Department of Labor

www.doleta.gov/usworkforce/communityaudits/docs/audit-new.pdf

The above Web page offers Workforce Investment Boards a “how to” approach to conducting a strategic economic analysis of a local/regional labor market, including building a stakeholder partnership. Although the guide was not developed for BRAC events, much of the information and many of the approaches are relevant. The guide includes how to find data, how to analyze both the supply and demand sides of a labor market, and how to conduct sector and cluster analyses.

3. Community Service Block Grant

U.S. Department of Health and Human Services

www.acf.hhs.gov/programs/ocs/csbg/index.html

The above Web site provides information about the Community Service Block Grant (CSBG) program. CSBG provides States, U.S. territories, and recognized Indian Tribes with funds for services to ameliorate the causes and conditions of poverty. Eligible activities include: municipal infrastructure projects; acquisition, construction or rehabilitation of public facilities; clearance and demolition; housing rehabilitation; public service activities such as employment, crime prevention, childcare, health, and education; and economic development.

CFDA Information

[93.569 Community Services Block Grant](#)

[93.571 Community Services Block Grant Formula and Discretionary Awards
Community Food and Nutrition Programs](#)

4. Community Development Finance Institutions Program

U.S. Department of the Treasury

[CDFI Fund - U.S. Treasury](#)

The above Web site provides an overview of the Community Development Finance Institutions (CDFI) program. This program provides financial assistance to certified CDFIs that demonstrate the ability to leverage non-Federal dollars to support comprehensive business plans that impact underserved markets. The CDFI program also helps existing and emerging CDFIs build their capacity to serve their communities.

CFDA Information

[21.020 - Community Development Financial Institutions Program \(B\)](#)

5. Regional Public Liaison (Regional Ombudsman)

U.S. Environmental Protection Agency

www.epa.gov/superfund/programs/reforms/reforms/3-19.htm#res

The Regional Public Liaison (RPL) serves an ombudsman function for Superfund cleanups. The Web site listed above provides additional information about the ombudsman function and contact information for the RPLs. The RPL is responsible for resolving concerns and for providing guidance to regional personnel and to stakeholders, including the community. Communities with concerns about Superfund BRAC site cleanup activities may contact the established RPL if they feel their concerns are not adequately addressed through normal channels.

6. Technical Outreach Services for Communities

U.S. Environmental Protection Agency

www.toscprogram.org

This site provides information about the Technical Outreach Services for Communities (TOSC) program. The TOSC program helps citizens better understand hazardous contamination issues in or near their communities. This is accomplished by providing free, independent, non-advocate, technical assistance about contaminated sites.

7. Smart Growth Technical Assistance Opportunities

U.S. Environmental Protection Agency

http://www.epa.gov/smartgrowth/sg_implementation.htm

Communities interested in employing smart growth practices and policies throughout base reuse planning/redevelopment, or for growth planning of their BRAC installations, may be eligible for various technical assistance opportunities

offered through EPA's Development, Community and Environment Division. Specific opportunities for smart growth assistance vary from year to year. Reference the above Web site for programs currently available.

8. Community Development Block Grants (Entitlement)

U.S. Department of Housing and Urban Development

www.hud.gov/offices/cpd/communitydevelopment/programs/index.cfm

Begun in 1974, the Community Development Block Grant (CDBG) is one of the oldest programs in HUD. This program provides Federal funds to help entitled metropolitan cities and urban counties meet their housing and community development needs. The CDBG program supports neighborhood revitalization; economic development; and improved community facilities and services, such as water, sewer, streets, and neighborhood centers. No less than 70 percent of the funding must be used to assist low and moderate income persons. The program provides annual grants on a formula basis based on population size (hence the term "entitlement communities") to entitled cities and counties to develop viable urban communities by providing decent housing and a suitable living environment, and by expanding economic opportunities. The above Web site provides additional information about the CDBG program.

CFDA Information

[14.218 Community Development Block Grants/Entitlement Grants](#)

9. Community Development Block Grants (Non-Entitlement) for States and Small Cities

U.S. Department of Housing and Urban Development

<http://www.hud.gov/offices/cpd/communitydevelopment/programs/stateadmin/index.cfm>

This program provides Federal funds to help States and units of local governments not in entitled areas meet their housing and community development needs, primarily for low to moderate income persons. These funds are first provided to States, which in turn make them available to smaller communities. This program supports a wide range of community activities directed towards neighborhood revitalization; economic development; and improved community facilities and services, such as water, sewer, streets, and neighborhood centers. Funds may also be used to meet other community needs that present a serious and immediate threat to the health and welfare of the community. No less than 70 percent of the funding must be used to assist low and moderate income persons. The above Web site provides additional information about the State Administered CDBG program.

CFDA Information

[14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii](#)

10. Community Development Block Grants (Section 108 Loan Guarantee)

U.S. Department of Housing and Urban Development

<http://www.hud.gov/offices/cpd/communitydevelopment/programs/108/index.cfm>

Section 108 is the loan guarantee provision of the Community Development Block Grant (CDBG) program. Section 108 provides communities with a source of financing for economic development, housing rehabilitation, public facilities, and large-scale physical development projects. This makes it one of the most potent and important public investment tools that HUD offers to local governments. It allows them to transform a small portion of their CDBG funds into Federally guaranteed loans large enough to pursue physical and economic revitalization projects that can renew entire neighborhoods. Such public investment is often needed to inspire private economic activity, providing the initial resources or simply the confidence that private firms and individuals may need to invest in distressed areas. Section 108 loans are not risk-free, however; local governments borrowing funds guaranteed by Section 108 must pledge their current and future CDBG allocations to cover the loan amount as security for the loan. The above Web site provides additional information about the CDBG Section 108 Loan Guarantee program.

CFDA Information

[14.248 Community Development Block Grants Section 108 Loan Guarantees](#)

11. Rural Housing and Economic Development

U.S. Department of Housing and Urban Development

<http://www.hud.gov/offices/cpd/economicdevelopment/programs/rhed/>

This program provides for capacity building at the State and local level for rural housing and economic development and to support innovative housing and economic development activities in rural areas. Eligible applicants are local rural non-profits, community development corporations, Federally recognized Indian Tribes, State housing finance agencies, and State community and/or economic development agencies. To learn more about the Rural Housing and Economic Development program, please visit the Web site above.

CFDA Information

[14.250 Rural Housing and Economic Development](#)

12. Federal Lands to Parks

U.S. Department of the Interior

<http://www.nps.gov/flp/>

The Federal Lands to Parks Program helps State and local governments acquire surplus Federal real property for Public Park and recreation use. The National Park Service reviews and approves the community's application and conveys the deed. Properties are transferred at up to no cost for Public Park and recreation use in perpetuity. Properties may be used for active recreation such as athletic fields and community centers or for passive recreation such as hiking, bird watching, outdoor education, and access to scenic or waterfront areas.

CFDA Information

[15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments](#)

13. Historic Surplus Property Program

U.S. Department of the Interior

http://www.nps.gov/history/hps/tps/hspp_p.htm

The Historic Surplus Property Program allows State, county and local governments to obtain pre-selected, surplus Federal properties at no cost if the property is listed in, or eligible for listing in, the National Register of Historic Places. Historic properties transferred under this program may be used for public facilities, such as museums and government offices; properties can also be leased to not-for-profit organizations and to developers who may be eligible to take advantage of Federal Historic Preservation Tax Incentives.

CFDA Information

[15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments](#)

14. Federal Historic Preservation Tax Incentives Program

U.S. Department of the Interior

<http://www.nps.gov/history/hps/tps/tax/index.htm>

The Federal Historic Preservation Tax Incentives program encourages private sector rehabilitation of historic buildings and is one of the nation's most successful and cost-effective community revitalization programs. It generates jobs and creates low and moderate income housing in historic buildings.

CFDA Information

[15.915 Technical Preservation Services](#)

15. Native American Graves Protection and Repatriation Act Grants

U.S. Department of the Interior

www.cr.nps.gov/nagpra/grants

The above site provides information about Native American Graves Protection and Repatriation Act (NAGPRA) grants. NAGPRA grants are awarded to Indian Tribes, Alaska Native villages and corporations, Native Hawaiian organizations, and museums for financial assistance in carrying out projects associated with returning certain Native American cultural items to lineal descendants, culturally affiliated Indian Tribes, and Native Hawaiian organizations.

CFDA Information

[15.922 Native American Graves Protection and Repatriation Act Grants](#)

16. Save America's Treasures

U.S. Department of the Interior

<http://www.nps.gov/history/hps/treasures/index.htm>

Federal Save America's Treasures (SAT) grants fund organizations and agencies that conserve significant cultural treasures. These grants are reserved for those treasures that illustrate, interpret, and are associated with the great events, ideas, and individuals that contributed to the United States' history and culture. The above Web site provides additional information.

CFDA Information

[15.929 Save America's Treasures Program](#)

17. Maritime

U.S. Department of the Interior

www.cr.nps.gov/maritime/grants.htm

The Maritime Heritage Grants Program is a national, competitive matching grants program that provides funds for maritime heritage education and preservation projects. It is designed to reach broad audiences and enhance public awareness and appreciation for the maritime heritage of the United States. The above Web site provides information about current Maritime Heritage Grant funding opportunities.

18. AmeriCorps

Nonprofit Organization

www.americorps.org

1-800-942-2677: 1-800-833-3722(TTY)

This site offers information on a network of national programs that engage more than 50,000 Americans each year in intensive service to meet critical needs in education, public safety, health, and the environment. For BRAC-impacted communities, AmeriCorps could offer a source of volunteer support. To learn more about AmeriCorps, visit the Web site or call the toll free number above.

C. Small Business Development

1. Small Business Development Centers

U.S. Small Business Administration

www.sba.gov/sbdc

The U.S. Small Business Administration (SBA) administers the Small Business Development Center (SBDC) Program and provides management assistance to current and prospective small business owners. SBDCs offer one-stop assistance to individuals and small businesses through a wide variety of information and guidance in central and easily accessible branch locations. The Web site above provides more information, including how to contact State branches.

CFDA Information

[59.037 Small Business Development Centers](#)

2. Small Business Training Network

U.S. Small Business Administration

www.sba.gov/training

The Small Business Training Network, sponsored by the Office of Entrepreneurial Development, is a virtual campus housing free training courses, workshops and knowledge resources. This network is designed to assist entrepreneurs and other students of enterprise. The above Web site provides a direct link to the network.

3. Office of Business and Community Initiatives

U.S. Small Business Administration

www.sba.gov/bi

The Office of Business and Community Initiatives co-sponsors private sector partners who provide small business owners with information, education, and training that is cost-effective, high quality, and reflective of trends in small business development. The above Web site provides more information.

4. Small Businesses: Workforce Consortia Provide Needed Services

U.S. General Accounting Office

www.gao.gov/new.items/d0280.pdf

This site provides lessons from the practices of four workforce development consortia serving the needs of small businesses.

D. Economic Development Resources

1. Economic Development Administration

U.S. Department of Commerce

www.eda.gov

The Economic Development Administration's (EDA) Web site (see URL above) provides information on grants for infrastructure development, local capacity building, and business development. EDA helps economically distressed communities alleviate conditions of substantial and persistent unemployment and underemployment.

2. Economic Development Programs

U.S. Department of Commerce

<http://www.eda.gov/AboutEDA/Programs.xml>

Communities economically impacted by major base closures or realignments may be eligible for funding under the Economic Development Administration's (EDA) Planning, Technical Assistance and Public Works programs. These grants provide substantial funds for a range of services including: infrastructure development, technology initiatives, revolving loan funds, and other economic development strategies. More information is available at the above Web site.

CFDA Information

[11.300 Public Works and Economic Development Program](#)

[11.302 Planning Program](#)

[11.303 Local Technical Assistance](#)

[11.303 University Center Economic Development](#)

[11.307 Economic Adjustment Assistance Program](#)

[11.312 Research and National Technical Assistance](#)

[11.313 Trade Adjustment Assistance for Firms Program](#)

3. USDA Service Centers/Rural Development Centers

U.S. Department of Agriculture

<http://offices.sc.egov.usda.gov/locator/app> or www.rurdev.usda.gov

Rural Development Centers achieve their mission by helping rural individuals, communities, and businesses obtain the financial and technical assistance needed to address their diverse and unique needs by offering grants, loans, and loan guarantees. USDA Rural Development's main programs are as follows: Single Family Housing Programs, Multi-Family Housing Programs, Community Facility Programs, Water and Waste Programs, Utility Programs, and Business Programs. Rural Development has 47 State offices, 467 local offices, and 198 area offices. Contact information can be found at the Web site above.

CFDA Information

[10.352 Value-Added Producer Grants](#)

[10.415 Rural Rental Housing Loans](#)

[10.427 Rural Rental Assistance Payments](#)

[10.446 Rural Community Development Initiative](#)

[10.447 The Rural Development \(RD\) Multi-Family Housing Revitalization Demonstration Program \(MPR\)](#)

[10.760 Water and Waste Disposal Systems for Rural Communities](#)

[10.762 Solid Waste Management Grants](#)

[10.766 Community Facilities Loans and Grants](#)

[10.768 Business and Industry Loans](#)

[10.769 Rural Business Enterprise Grants](#)

[10.854 Rural Economic Development Loans and Grants](#)

[10.855 Distance Learning and Telemedicine Loans and Grants](#)

4. Skills Partnership Self-Assessment Tool

U.S. Department of Labor

www.publicpolicy.com/selfassess.pdf

This site contains the Skills Partnership Self-Assessment Rating Sheet, which helps practitioners examine their local and regional area's current capacity and ability to establish and operate regional skills partnerships, sector-based initiatives, and related activities that contribute to a demand-driven workforce system. The evaluation factors were originally identified in the course of Public Policy Associates, Inc.'s national evaluation of 50 U.S. Department of Labor demonstration projects that focused on skills shortages. This worksheet may be helpful to local communities working to develop strategic partnerships to address a BRAC event.

E. Local Service Centers

1. One-Stop Career Centers

U.S. Department of Labor

www.servicelocator.org

1-877-US2-JOBS (TTY: 1-877-899-5627)

One-Stop Career Centers are resources for job seekers and employers. They offer a wide array of employment, training, and education services. There are approximately 3,400 One-Stop Career Centers located throughout the United States. Center contact information can be found at the Web site or by calling the toll free phone number listed above.

2. Family Support/Service Centers

U.S. Department of Defense

http://deploymentlink.osd.mil/deploy/family/family_support.shtml

Family Support/Service Centers are a comprehensive support network designed to enhance the lives of soldiers (active, Reserve, and Guard), their families, civilian employees, military retirees and other eligible participants. These centers, which are located all over the world and in different branches of the military, vary in the kind of services offered. Center contact information can be found at the Web site above.

3. USDA Service Centers/Rural Development Centers

U.S. Department of Agriculture

<http://offices.sc.egov.usda.gov/locator/app>

Rural Development Centers help businesses obtain the financial and technical assistance needed to address their diverse and unique needs. Centers are located in almost every state. Rural Development has 47 State offices, 467 local offices, and 198 area offices. Center contact information can be found at the Web site above.

4. Small Business Development Centers

U. S. Small Business Administration

www.sba.gov/sbdc/sbdcnear.html

1-800-8-ASK-SBA or 1-202-205-7333 (TTY).

Small Business Development Centers (SBDCs) provide individuals and small businesses with a wide variety of information and services, including counseling, training, and technical assistance in all aspects of small business management.

SBDCs target their efforts to veterans, among other groups. Lead SBDCs are in every State, the District of Columbia, Guam, Puerto Rico, Samoa and the U.S. Virgin Islands -- with a network of more than 1,100 service locations. Center contact information can be found at the Web site or by calling the toll free phone number listed above.

CFDA Information

[59.037 Small Business Development Centers](#)

5. Vocational Rehabilitation State Offices

U.S. Department of Education and State Agencies

www.jan.wvu.edu/sbses/vocrehab.htm

State vocational rehabilitation offices provide a wealth of resources related to employment options for people with disabilities and generally provide services at a network of locations throughout each State. Office contact information can be found at the Web site listed above.

6. Veteran Affairs Facilities

Veterans Administration

www1.va.gov/directory/guide/home.asp?isFlash=1

1-800-827-1000

Department of Veteran Affairs (VA) facilities assist veterans preparing for, finding, and keeping suitable employment. There are 1,155 VA facilities in the United States. More information about vocational rehabilitation and employment services for disabled veterans is available at the Web site or by calling the toll-free telephone number listed above.

7. Social Security

Social Security Administration

www.socialsecurity.gov/locator-replace

The Social Security Administration provides a wealth of information about Social Security benefits, a monthly income support that may be available to individuals upon retirement. Service centers are located in every State. Local office addresses and contact information can be found at the Web site above.

8. Minority Business Development Agency

U.S. Department of Commerce

www.mbda.gov or 202-482-6022

The Minority Business Development Agency funds Business Development Centers around the country to assist with starting-up, expanding, and developing minority-owned firms and providing individualized management

and technical assistance to minority entrepreneurs at every stage of business development. Centers are located in the Atlanta, Chicago, Dallas, New York, and San Francisco regional areas, totaling about 39 centers. Addresses and contact information can be found at the Web site or by calling the phone number listed above.

9. Community Health Centers

U.S. Department of Health and Human Services

<http://ask.hrsa.gov/pc/>

Community Health Centers offer access to comprehensive primary and preventative health care and focus primarily on low income and underserved individuals. Community centers are located in every State. Contact information for health centers in local areas and related general information can be found at the Web site above.

III. WEB AND TELEPHONE RESOURCES FOR INDIVIDUALS

This section provides service descriptions and contact information for Government programs available to jobseekers, employers, and local governments impacted by BRAC. Each subsection includes descriptions of programs geared to help in a specific area as well as contact information for those programs.

A. Major Portals to Job and Career-Related Assistance

1. CareerOne-Stop Portal

U.S. Department of Labor

www.careerOneStop.org

The CareerOne-Stop Portal (COS) provides access to all of the One-Stop Career Center electronic tools Web sites: America's Job Bank, America's Career InfoNet, and America's Service Locator. These tools connect users to relevant links, resources and community services offered over the Internet. The tools also direct users to other relevant information and services provided through these core products. The Web site listed above also includes a gateway to access State and local resources.

2. BRAC Coach

U.S. Department of Labor

www.brac-coach.org

To further aid communities impacted by BRAC action, Department of Labor has created this online tool to assist workers, businesses, and workforce professionals who may be impacted by a local base realignment or closure. The BRAC Coach identifies common issues or problems facing those likely to be impacted by BRAC and provides step by step instructions to help users find resources and related information.

3. Military Spouse Resource Center

Sponsored by U.S. Department of Defense and U.S. Department of Labor

www.milspouse.org

The Military Spouse Resource Center is a Web site that supports the career aspirations of military spouse jobseekers, particularly those in the midst of a permanent change of station. This Web site is free and available to spouses from all Military Departments, veterans, the National Guard and Reserve, and the Coast Guard. The Web site contains over 2,500 information and resource links that assist with job searching, career planning, training, scholarships, and other

educational opportunities. It also includes specific information regarding local military installations and the communities in which they reside, including childcare and transportation.

4. GovBenefits.gov

Partnership between Federal Agencies

www.govbenefits.gov/govbenefits/benefits/browse.jhtml

This Web site is the gateway to Federal and State benefits information on continuing education and training, financial support programs, disability assistance, grants, scholarships, health care, social security, child care, housing, volunteer activities, plus many more. Users can browse benefits by category and specific Government Agency and search at the Federal and State level. In turn, users are provided with choices to search topics by population and/or interests.

B. Obtaining New Employment

1. Job Search, Career, and General Information Sources

i. America's Job Bank

Sponsored by U.S. Department of Labor

www.ajb.dni.us

America's Job Bank (AJB) is the nation's largest (over 1 million jobs & 450,000 resumes) Web-based labor exchange that connects employers and jobseekers free of cost. Jobseekers can use this site to post resumes and search for the most current openings. Employers of all sizes can post job listings, create customized job orders, get labor market information, and search resumes automatically to find the right people.

ii. America's Career InfoNet

U.S. Department of Labor

www.careerinfonet.org

America's Career InfoNet provides students, jobseekers, employers, and workforce professionals a wealth of career oriented online information and resources. Some of the tools on the site are: an employability checkup, a job description writer, a financial aid advisor, an employer locator, a reading room, a skills profiler, a resume tutorial, occupational reports, academic scholarship information, and career information streaming videos. Visitors can learn more about typical wages, employment, and education trends across occupations and industries via comparable national, State, and local labor information.

iii. America's Service Locator (for One-Stop Career Centers)

U.S. Department of Labor

www.servicelocator.org

This site connects users to local One-Stop Career Centers. Employment and training services, including job search assistance, resume writing, career assessment, and job training are provided by nearly 3,400 One-Stop Career Centers throughout the United States. Note that individual One-Stop Career Centers may have a different "brand name" such as CareerLink, JobLink, etc.

iv. Toll-Free Helpline

U.S. Department of Labor

1-877-US2-JOBS: 1-877-899-5627 (TTY)

The Toll-Free Helpline aids callers searching for basic information on career services, laid-off worker assistance, career related Web sites, and accessing service locations. In addition, Helpline operators have BRAC-specific information to refer callers to local programs, including One-Stop Career Centers for assistance. Help is available in over 40 languages.

v. O*NET

U.S. Department of Labor

www.onetcenter.org

The Occupational Information Network (O*NET) Resource Center is a unique, powerful source for continually updated information on skill requirements and occupational characteristics. The O*NET system helps businesses, jobseekers, students, and workforce investment and human resource professionals make informed employment, training, and business development decisions. O*NET information on occupational interests and work values helps the public to form career goals and develop education and training plans for work they are likely to find satisfying.

vi. USAJOBS

U.S. Office of Personnel Management

www.usajobs.opm.gov

USAJOBS is the official job site for the United States Federal Government. The site contains Federal job announcements, application forms, a searchable database of Federal job openings, an online resume builder, and tips and other tools for jobseekers.

vii. The United States Postal Service®

U.S. Postal Service

www.usps.com

The U. S. Postal Service® delivers 700 million messages and packages every day to more than 144 million families and businesses. American households are provided with a wide range of shipping and mailing products and services, including the ability to change their address, forward mail, hold mail, rent Post Office boxes, locate a Post Office, calculate postage, and print postage online. Those affected by BRAC can conveniently access USPS® services online through the above Web site or by visiting any of the 37,000 local Post Offices.

2. Career Assessment

i. One-Stop Career Centers

U.S. Department of Labor

www.servicelocator.org

1-877-US2-JOBS: 1-877-899-5627 (TTY)

One-Stop Career Centers provide access to a wide array of services, including the initial assessment of skills and abilities, self-help information relating to career exploration, and skill requirements of various occupations, consumer report information on the performance of local education and training providers, and quality labor market information. The above Web site and phone number can be used to locate a One-Stop Career Center in nearly any community in the U.S.

ii. Career Compass

U.S. Department of Labor

U.S. Department of Education

www.careervoyages.org/careercompass-main.cfm

This Web site helps users explore career options based on interests and values.

iii. Skills Profiler

U.S. Department of Labor

www.acinet.org/acinet/skills_home.asp?id=14&nodeid=20

The Skills Profiler contains three tools to help users advance to a better future: the Skills Identifier, Skills Explorer, and Skills Gap Analyzer. These resources are intended to aid users in cataloguing their skills and exploring opportunities that their specific skill set may provide.

iv. O*NET

U.S. Department of Labor

www.onetcenter.org/tools.html

This site provides self-directed career exploration and assessment tools to help workers consider and plan career options, preparation, and transitions more effectively. These instruments help individuals identify their work-related interests, values and abilities match them to occupations in their local areas, and focus career exploration and planning. Users may link to the more than 900 occupations described by the O*NET database. Additionally, two testing and assessment guides (www.onetcenter.org/guides.html) are available to (1) orient individuals to the use of assessment instruments by counseling professionals, and (2) orient employers to the professional and legal standards required with the use of assessments.

3. Writing a Resume

i. America's Career InfoNet Resume Builder

U.S. Department of Labor

www.acinet.org/acinet/resume/resume_intro.asp

This site enables users to create a great resume using an online step by step guide that employers will notice.

ii. The Milspouse Web site

U.S. Department of Labor

www.milspouse.org/Job/Tips/Resume

This site enables users to build and post a resume and cover letter online to connect with potential employers. For assistance using this job bank there is an online coach available at (<http://coach.milspouse.org/>).

4. Preparing for a Job Interview

i. Occupational Outlook Quarterly Online

U.S. Department of Labor

www.pueblo.gsa.gov/cic_text/employ/employ-interview/emp.htm

This site provides advice on what to do before, during, and after an interview. Additionally, the site offers interview tips and tips on how to provide self-descriptions.

www.friedsocialworker.com/interviewconfidence.htm

This short article, "Exude Confidence and Be at Your Best in Job Interviews," has tips on projecting a confident image during a job interview.

ii. The Milspouse Web site

U.S. Department of Labor

www.milspouse.org/Job/Tips/Interview

This Web site contains resources and tips for interviewing. It is designed for military spouse jobseekers, but may be useful to all jobseekers.

C. Job Training and Credentials

1. One-Stop Career Centers

U.S. Department of Labor

www.servicelocator.org

1-877-US2-JOBS (TTY: 1-877-899-5627)

One-Stop Career Centers provide BRAC impacted job seekers with access to a wide array of services, including the initial assessment of skills and abilities, self-help information relating to career exploration and skill requirements of various occupations, consumer report information on the performance of local education and training providers, and quality labor market information. The Web site or phone number listed above can be used to locate a One-Stop Career Center in nearly any community in the U.S.

2. Occupational Licensing

U.S. Department of Labor

www.careerinfonet.org/acinet/licensedoccupations/lois_state.asp

States require individuals to have an occupational license in order to legally practice the same occupations. This site helps customers learn about licensing requirements in each State.

3. Certification Finder

U.S. Department of Labor

www.acinet.org/acinet/certifications_new/cert_search_occupation.asp?by=occ&id=14,&nodeid=17

Occupational certifications evaluate or enhance knowledge and skills concerning a particular occupation. This directory allows users to search for certifications by keyword, industry or occupational area.

4. Individualized Training Account (ITA)

U.S. Department of Labor

www.servicelocator.org or 1-877-US2-JOBS: 1-877-889-5627 (TTY)

Individuals over 18 and in need of updating skills or retraining may be eligible for an Individualized Training Account (ITA). An ITA can be used to subsidize training through an appropriate and approved training program. To find out more about ITAs, contact a local One-Stop Career Center. To find a local One-Stop Career Center, go to Web site or call the toll-free assistance number above.

5. Licenses and Certifications Relevant to Military Occupational Specialties/ Army Credentialing Opportunities On-Line

U.S. Department of Defense

www.cool.army.mil

The Army Credentialing Opportunities On-Line (COOL) Web site provides information on licenses and certifications relevant to Military Occupational Specialties (MOSs). Civilians can also access this information by searching MOS titles that seem comparable to their civilian occupation. This site helps soldiers and potential military recruits translate their military occupational specialties into civilian credentials, understand what it takes to obtain the credentials, and see if there are available programs that will help pay credentialing fees.

6. GovBenefits.gov

Partnership Among Federal Agencies

www.govbenefits.gov/govbenefits/benefits/browse.jhtml

This Web site is the gateway to Federal and state benefits information on continuing education and training, financial support programs, certification/licenses, plus many more topics. Users can browse benefits by category and specific government agency and search at the Federal and state level. Users can also search topics by population and/or interests.

D. Continuing Education Financial Support

1. Financial Aid Center

U.S. Department of Labor

<http://www.careeronestop.org/financial/FinancialAidHome.asp>

This site provides users with information on funding to continue lifelong learning and pay for training to advance career goals.

2. GovBenefits.gov

Partnership between Federal Agencies

http://www.govbenefits.gov/govbenefits_en.portal

This Web site is the gateway to Federal and State benefits information on continuing education and training, financial support programs, plus many more topics. Users can browse benefits by category and specific Government Agency and search at the Federal and State level. Users can also search topics by population and/or interests.

3. Think College

U.S. Department of Education

<http://www.ed.gov/students/prep/college/thinkcollege/return/edlite-index.html>

This Web site helps middle and high school students and adults returning to school learn more about the many different types of school and financial aid options available to them. These include diploma, certificate, and degree programs.

4. Traineeships

U.S. Department of Health and Human Services

Through traineeship grants, the U.S. Department of Health and Human Services provides funds to colleges and universities that then fund scholarships in health professions. The Web sites listed below provide information about these grants, including links to grantees that have scholarships available.

- Public Health Traineeships

<http://bhpr.hrsa.gov/publichealth/index.htm>

Grant recipients provide traineeships to students in health administration, hospital administration and/or health policy analysis and planning. Traineeship funds may be used to support tuition, fees, stipends and allowances including

travel, subsistence expenses and dependency allowances. Students interested in applying for a health administration traineeship should contact the grant contacts listed on the Web site.

-
- Health Professions Grants
<http://bhpr.hrsa.gov/grants>

The Health Resources Services Administration (HRSA) Health Professions grants and cooperative agreements support innovations and targeted expansions in health professions education and training. Emphasis is on increasing the diversity of the health care workforce and preparing health care providers to serve diverse populations and to practice in the nation's 3,000 medically underserved communities. Eligibility and application information is located at the above Web site.

5. Free Application for Federal Student Aid (FAFSA)

U.S. Department of Education

www.fafsa.ed.gov or [Federal Student Consolidation Loans](#)

1-800-4-FED-AID (1-800-433-3243): 1-800-730-8913 (TTY)

All of the following loan and grant programs require applicants to first complete a Free Application for Federal Student Aid (FAFSA), which will calculate the individual's expected family contribution. Schools use this information to determine actual financial aid packages. Apply for Federal student aid via the Web site or call the toll-free number above. The following is a list of funds available through FAFSA.

CFDA Information

[84.268 Federal Direct Student Loan](#)

[84.032 Federal Parent Loans for Undergraduate Students \(PLUS\)](#)

[84.063 Federal Pell Grants](#)

[84.038 Federal Perkins Loan](#)

[84.268](#) or [84.032 Federal Subsidized Student Loans \(Stafford\)](#)

[84.007 Federal Supplemental Educational Opportunity Grants](#)

[84.268](#) or [84.032 Federal Unsubsidized Student Loans \(Stafford\)](#)

6. Occupational and Employment Information State Grants

U.S. Department of Education

<http://www.federalgrantswire.com/vocational-educationoccupational-and-employment-information-state-grants.html>

This site provides links to Web sites on various Occupational and Employment Information State Grants. These grants promote an individual's improved career and education decision making. Some of these sites also provide and support:

- (1) Professional development and other resources to teachers, administrators, and counselors intended to assist students and parents with career exploration,

educational opportunities, and education financing; (2) Information and planning resources that relate educational preparation to career goals and expectations; and (3) Career guidance and academic counseling programs.

7. State Grant Agencies

U.S. Department of Education

<http://www.ed.gov/about/offices/list/fsa/index.html>

This site lists State grant agencies and departments of education which offer more information about financial aid specific to each State.

8. Education Tax Benefits

U.S. Department of Treasury

www.irs.gov/pub/irs-pdf/p970.pdf

1-800-829-1040

The Internal Revenue Service (IRS) offers tax benefits to offset some of the costs of higher education. To qualify for this benefit program, an individual must have educational needs and be a taxpayer who is interested in receiving tax information and tax return preparation assistance. For more information, see the publication at the Web site or call the IRS help line listed above.

9. NIH Health Care Education Loan Repayment Programs

U.S. Department of Health and Human Services

www.lrp.nih.gov/about/index.htm

This site provides information about repaying educational loans for participants with substantial educational debt relative to income. To qualify for these programs, applicants must be health care professionals and U.S. nationals, citizens, dual citizens or permanent residents who are qualified, certified, and/or licensed in laboratory or clinical research or have nursing degrees. Following is a list of programs this site offers:

CFDA Information

[93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds](#)

[93.280 National Institute of Health Loan Repayment Program for Clinical Research](#)

[93.307 Health Disparities Research Loan Repayment Program](#)

[93.908 Nursing Education Loan Repayment Program](#)

[93.162 National Health Service Corps Loan Repayment](#)

[93.364 Nursing Student Loan program](#)

E. Starting A New Business

1. Rural Development Office

U.S. Department of Agriculture

www.rurdev.usda.gov

This site provides information about the various programs United States Department of Agriculture (USDA) offers. The USDA has Rural Development Offices in almost every State. They help businesses obtain the financial and technical assistance needed to address their diverse and unique needs. More information about the resources available to small businesses, and how to access them is available at the Web site listed above.

2. Minority Business Development Agency

U.S. Department of Commerce

www.mbda.gov

202-482-6022

The Minority Business Development Agency funds Business Development Centers around the country to assist with the start-up, expansion and development of minority-owned firms. In turn, the funded centers provide individualized management and technical assistance to minority entrepreneurs at every stage of business development. The Web site provides four types of services: Access to Markets, Access to Capital, Management and Technical Assistance, and Education and Training.

3. Small Business Administration

U.S. Small Business Administration

www.sba.gov/starting_business/index.html

This site provides basic preparation techniques for starting, planning, marketing, and financing a new business. Each State has at least one U.S. Small Business Administration (SBA) district office, with multiple resource partners to support the needs of the small business community.

4. Small Business Development Centers

U.S. Small Business Administration

www.sba.gov/sbdc/sbdcnear.html

1-800-8-ASK-SBA or 1-202-205-7333 (TTY)

Small Business Development Centers (SBDC) offer one-stop assistance to individuals and small businesses by providing a wide variety of information and guidance in central and easily accessible branch locations. There are 63 Lead

SBDC(s) - at least one in every State, the District of Columbia, Guam, Puerto Rico, Samoa, and the U.S. Virgin Islands - and a network of more than 1,100 service locations. The Web site or toll-free phone number listed above can provide contact information for service locations.

5. Section 8(a) Business Development

U.S. Small Business Administration

www.sba.gov/aboutsba/dis_offices.html

202-205-6417

The Small Business Administration's (SBA) Section 8 (a) Business Development program provides technical assistance to socially and economically disadvantaged entrepreneurs who have been in business for at least two years. Individuals can apply to the 8(a) program by contacting their State's SBA district office. This contact can be made by using the Web site or by calling the phone number listed above.

6. Basic 7 (a) Loan Guaranty

U.S. Small Business Administration

www.sba.gov/financing/sbaloan/7a.htm.

The Small Business Administration (SBA) offers numerous loan programs to assist small businesses. The Basic 7(a) Loan Guaranty can help qualified small businesses obtain start-up financing when they might not be eligible for business loans through traditional lending channels. SBA offers multiple variations of the basic 7(a) loan program to accommodate targeted needs. This Web site provides the program's basic criteria and contact information for applying.

7. Small Business Self-Employment Service (SBSES)

U.S. Small Business Administration

<http://janweb.icdi.wvu.edu/sbses>

The Small Business Self-Employment Service (SBSES) offers comprehensive counseling and referrals that support self-employment and small business ownership opportunities for people with disabilities. This Web site provides general information on SBSES and a list of resources. It also includes a link to the Job Accommodation Network, which is a national toll-free consulting service that provides information about job accommodations and the employability of people with disabilities.

8. Small Business Loans

U.S. Small Business Administration

www.sba.gov/financing/sbaloan/snapshot.html

This Web site provides a snap shot of the Small Business Administration's (SBA) loan programs to assist small businesses. SBA also offers an online women's business center that can be accessed through (www.onlinewbc.gov). This site provides resource information and links to help women start and run successful businesses, regardless of social or financial disadvantage, race, ethnicity or business background.

9. Women's Business Ownership Assistance

U.S. Small Business Administration and U.S. Department of Labor

www.women-21.gov

This Web site is a one-stop Federal resource for women business owners. It provides targeted information, online programs, and networking opportunities to help women entrepreneurs navigate the ever-changing business world.

10. One-Stop Career Centers

U.S. Department of Labor

www.servicelocator.org

1-877-US2-JOBS (TTY: 1-877-899-5627)

One-Stop Career Centers provide specialized information and assistance for individuals starting new businesses. Entrepreneurs can access the wide array of services, including screening job applicants, information relating to career exploration and skill requirements of various occupations, consumer report information on the performance of local education and training providers, and quality labor market information. The Web site and toll-free number listed above can identify which One-Stop Career Center offers help in starting new businesses and provide contact information for One-Stop Career Centers throughout the United States.

11. Unemployment Insurance/Self-Employment Assistance Program (SEA)

U.S. Department of Labor

<http://ows.doleta.gov/map.asp>

This site provides a map with links to help unemployed persons locate the nearest unemployment insurance (UI) agency. UI claimants may qualify for assistance through the Self-Employment Assistance (SEA) Program, which is administered by State unemployment insurance agencies. The SEA program offers biweekly allowances and training and technical assistance to participants

who are working full-time on starting their own business instead of looking for a job. Claimants should contact their State unemployment insurance agency to find out about availability and eligibility criteria in their State.

12. Service Corps of Retired Executives

Nonprofit Organization

www.score.org

This Web site provides online counseling services and referrals to address questions or concerns in starting a new business. Service Corps of Retired Executives (SCORE) advertises itself as "Counselors to America's Small Business." It is a nonprofit association dedicated to providing entrepreneurs with free, confidential face-to-face and email business counseling.

F. BRAC Transition Assistance for DoD Civilian Employees

1. Civilian Personnel Management Services/Civilian Assistance and Re-Employment

U.S. Department of Defense

<http://www.cpms.osd.mil>

Through Civilian Personnel Management Services (CPMS), the Department of Defense (DoD) administers the Civilian Assistance and Re-Employment (CARE) Program, which is the Department's primary means to provide transition assistance to displaced civilian employees. The CARE Program consists of job placement programs, voluntary separation incentives, and other benefits and services. CARE also provides direct program assistance to DoD activities affected by downsizing or reorganization. This Web site provides a wealth of information and links to other Web sites that will help users learn more about the various tools available to minimize involuntary separations.

2. BRAC Transition Assistance

U.S. Department of Defense

http://www.cpms.osd.mil/brac/brac_index.aspx

The Department of Defense is committed to providing civilian employees affected by Base Realignment and Closure (BRAC) maximum assistance to continue Federal careers or pursue other endeavors. This Web site provides employees, managers, supervisors, and human resources specialists the latest information on BRAC. It also provides information on the transition assistance programs offered by the Department and other Federal Agencies. In addition, it addresses frequently asked questions concerning BRAC and the Department's transition programs, and provides links to Web sites that will help employees learn more about BRAC, transition assistance programs, and employment opportunities.

3. Priority Placement Program

U.S. Department of Defense

http://www.cpms.osd.mil/brac/Job_Placement.aspx

The Priority Placement Program is the Department's principal mechanism for retaining employees who are adversely affected by reduction in force, transfer of function, base realignment and closure, and other downsizing and restructuring actions. Through its Automated Stopper and Referral System (ASARS), the skills of displaced employees are matched with vacant positions at DoD activities in the employees' selected geographic area of availability. Placements are mandatory when displaced employees are found well qualified for the vacant positions. If the new job involves a move to another location, the costs of moving

the employee and his/her household are borne by the Government in accordance with the Joint Travel Regulations.

4. Re-Employment Priority List

U.S. Department of Defense

http://www.cpms.osd.mil/brac/Job_Placement.aspx

The Re-Employment Priority List (RPL) provides priority re-employment consideration for current and former DoD career and career-conditional competitive service employees who are separated by reduction in force (RIF) or have received a RIF separation notice or Certificate of Expected Separation (CES). The RPL is also available to employees who are separated (or who accept a lower grade position instead of separation) due to compensable injury or disability and who fully recover more than one year from the date compensation is payable as described in 5 CFR Parts 330 and 353.

5. Voluntary Separation Incentive Pay

U.S. Department of Defense

<http://www.cpms.osd.mil/brac/separation.aspx>

Voluntary Separation Incentive Pay (VSIP) allows activities to offer incentive payments, or “buyouts,” of up to \$25,000 to encourage DoD employees to resign or retire. Buyouts are targeted to employees in specific grades, series, or locations, and are used to restructure the workforce or to help avoid RIF and minimize involuntary separations.

6. Voluntary Early Retirement Authority

U.S. Department of Defense

<http://www.cpms.osd.mil/brac/separation.aspx>

Voluntary Early Retirement Authority (VERA) is a management tool used to mitigate the affects of substantial delayering, RIF, reorganization, or transfer of function. Using the VERA, which is also referred to as “early retirement” or “early out,” DoD activities may downsize or restructure the workforce by allowing employees to retire under reduced age and service requirements. To learn more about VERA and other transition assistance tools and benefits, refer to the BRAC Transition Web site mentioned above.

G. Military Spouses

1. Military Spouse Resource Center

U.S. Department of Defense and U.S. Department of Labor

www.milspouse.org

The Military Spouse Resource Center is a Web site designed and operated to support the career aspirations of military spouse jobseekers, particularly those in the midst of a permanent change of station. This Web site is free and is available to military spouses from all Military Departments, veterans, the National Guard and Reserve, and the Coast Guard. The Web site contains over 2,500 information and resource links for military spouses that help with job searching, career planning, training, scholarships and other educational opportunities. The site also contains specific information regarding local military installations and the communities in which they reside, including childcare and transportation.

2. Relocation Information

Sponsored by U.S. Department of Labor and U.S. Department of Defense

www.milspouse.org/relocate

This Web site provides links to resources that will assist military families that are planning to relocate.

3. Military Spouse Job Search

U.S. Department of Labor

www.militaryspousejobsearch.org

This Web site houses a job search tool that connects military spouses with employers committed to hiring them. Local programs that help military spouses have also been developed through ongoing collaboration between Family Support Centers and One-Stop Career Centers (which are linked to this site).

4. Eligibility for Military Spouses under WIA

U.S. Department of Labor

www.servicelocator.org

1-877-US2-JOBS: 1-877-889-5627 (TTY)

Military Spouses may be eligible to receive employment and training services as a dislocated worker under the Workforce Investment Act of 1998. To determine eligibility, spouses should contact the local One-Stop Career Center by using the Web site or calling the toll-free helpline shown above. When calling the toll-free

helpline the individual should identify him/herself as a BRAC impacted worker and as a military spouse in order to find out about additional information and services that may be available.

5. Unemployment Insurance

U.S. Department of Labor

<http://workforcesecurity.doleta.gov/map.asp>

Spouses who have lost jobs due to permanent change of station (PCS) may qualify for Unemployment Insurance (UI) in a service member's State. Unemployed military spouses should contact their state UI agency to learn about eligibility and application procedures. State UI agencies' contact information may be located at the Web site shown above.

6. Military HomeFront

U.S. Department of Defense

www.militaryhomefront.dod.mil

Military Homefront is the central, up-to-date source for service members and families to obtain information about quality of life programs and services. This Web site includes links to forums on issues relevant to military families.

7. Military OneSource

U.S. Department of Defense

www.militaryonesource.com

Stateside: 1-800-342-9647

Overseas: 800-342-9647

Collect from Overseas: 1-484-530-5908

En español llame al: 1-877-888-0727

TTY/TDD: 800-346-9188

Military OneSource Web site and helpline provides support and resource information 24 hours a day to help military families from personal to professional areas of life.

8. Deployment Family Support

U.S. Department of Defense

http://deploymentlink.osd.mil/deploy/family/family_intro.shtml

Information on this Web site assists service members and their families in preparing for and coping with permanent military moves. It contains family support links for each of the services, information for children, and tools for locating service members and accessing deployment entitlements.

9. Military Spouse Career Center

U.S. Department of Defense

www.military.com/spouse

This Web site provides career information, advice and family support for military spouses.

10. Military Family Research Institute

U.S. Department of Education and U.S. Department of Defense

www.cfs.purdue.edu/mfri

On this Web site, the Military Family Research Institute (MFRI) provides information and insight into the impact of quality of life factors on military personnel and their families. It includes discussion forums and informational pamphlets that help military families to adjust to changing circumstances.

11. National Military Family Association

Nonprofit Organization

www.nmfa.org

The National Military Family Association's Web site offers specific information and resources that directly impact military families. These include information concerning rights, benefits and services available, as well as news articles, links and other resources that serve the interests of military families.

H. Veterans

1. HireVetsFirst

U.S. Department of Labor

www.hirevetsfirst.gov

This Web site provides information on the Hire Vets First initiative and is tailored to the needs of veterans and employers interested in hiring veterans. It also connects veterans with America's Service Locator (http://www.servicelocator.org/nearest_onestop.asp or 1-877-US2-JOBS) to find their closest One-Stop Career Center for in-person services.

2. Veterans' Preference Advisor

U.S. Department of Labor

www.dol.gov/elaws/vetspref.htm

www.dol.gov/vets/opportunities/opportunities.htm

These Web sites allow veterans to examine the preferences to which they might be entitled with regard to Federal jobs.

3. e-Vet's Resource Advisor

U.S. Department of Labor

www.dol.gov/elaws/evets.htm

This Web site assists veterans preparing to enter the job market. It also includes information on a broad range of topics such as: job search tools and tips, employment openings, career assessment, education and training, and benefits and special services available to veterans.

4. VetSuccess.gov

U.S. Department of Veterans Affairs

www.vetsuccess.gov

The purpose of this Web site is to present information about the services that the Vocational Rehabilitation and Employment (VR&E) program provides to veterans with service-connected disabilities. The Web site also provides information about vocational counseling available to active duty service members and veterans who have recently separated from active duty.

5. Vocational Rehabilitation and Employment Program

U.S. Department of Veterans Affairs

www.vba.va.gov/bln/vre/index.htm

1-800-827-1000

This Web site assists veterans with service-connected disabilities by offering help in preparing for, finding, and keeping suitable employment. For more information about vocational rehabilitation and employment services, disabled veterans should contact the local Department of Veterans Affairs regional office at the national toll-free telephone number above.

6. My HealtheVet

U.S. Department of Veterans Affairs

www.myhealth.va.gov

This Web site is the Department of Veterans Affairs' (VA) new health portal for veterans, their advocates, and their families. It provides one-stop shopping for VA benefits, special programs, health information and services, and a health education library that gives up-to-date information about medications and conditions, tools for measuring health status, and the latest health news.

7. The Department of Defense Web Portal for Military Transitioners

U.S. Department of Defense

www.dodtransportal.dod.mil/dav/lsnmedia/LSN/dodtransportal/

The Department of Defense Transportal is a Web site that contains information and resources designed specifically to assist service members leaving active duty. It contains information on transition assistance, a pre-separation guide and information on how to contact transition assistance officers.

8. Employment and Supportive Services

U.S. Department of Defense

<http://dod.jobsearch.org>

Department of Defense Job Search assists separating service members in their job search by providing an entry to America's Job Bank. Registering with DoD Job Search will grant access to a nationwide database of veteran-oriented job openings.

9. Department of Veteran Affairs Job Search

U.S. Department of Defense

<http://dva.jobsearch.org>

This site assists service members, veterans who have recently separated from active duty, service-connected disabled veterans, and eligible dependents of veterans in their job search by providing an entry to America's Job Bank.

10. Office of Veteran's Business Development

U.S. Small Business Administration

www.sba.gov/vets/index.html

Through this Web site, the Small Business Administration (SBA) provides a variety of services to help veterans who are interested in opening and maintaining small businesses to meet SBA requirements and tap into SBA supports.

11. USAJOBS

U.S. Office of Personnel Management

www.usajobs.opm.gov

Veterans can step into another Federal career that builds on their military training. This Web site allows them to go online to build and post resumes, find Federal job vacancies and apply for them.

12. Office of Personnel Management's VetGuide

U.S. Office of Personnel Management

www.opm.gov/veterans/html/vetguide.asp

This Web site explains the special rights and privileges that veterans enjoy in Federal civil service employment. The guide conveniently summarizes many laws and regulations that affect veterans' employment.

13. Vet Jobs

Privately Owned and Operated by Veterans of Foreign Wars of the United States.

www.vetjobs.com

This Web site provides veterans job search, spouse employment and transition information.

I. Youth

1. Career Voyages

U.S. Department of Labor

<http://careervoyages.com>

This Web site provides information to youths about high growth jobs. It allows youths to explore career options regardless of educational attainment, other training or work experience.

2. Occupational Information Network

U.S. Department of Labor

www.onetcenter.org/tools.html

www.onetcenter.org/guides.html

These Web sites provide self-directed career exploration and assessment tools. These instruments help students identify their work-related interests, and values and abilities in order to explore occupations that relate most closely to those attributes. Users may link to the more than 900 occupations described by the Occupational Information Network (O*NET) database. This allows students to seamlessly transition from assessing their interests, work values, and abilities to matching their job skills with the requirements of occupations in their local labor market.

3. Bureau of Labor Statistics Career Information

U.S. Department of Labor

<http://stats.bls.gov/k12>

This site contains career information for students and provides an extensive profile of each occupation.

4. Students.gov

U.S. Department of Education

www.students.gov/stugovwebapp/index.jsp

Students.gov is a comprehensive information portal providing answers to students' and potential students' questions on education, career, financial aid, Government and more.

5. Merit-Based Scholarships

U.S. Department of Education

Following is a list of scholarship program information Web sites:

- Barry Goldwater Scholarship Foundation

www.act.org/goldwater

This Web site provides information needed to apply for Barry Goldwater scholarships for college students who intend to pursue careers in science, mathematics, or engineering.

- Robert C. Byrd Honors Scholarship Program

www.ed.gov/programs/iduesbyrd/index.html

This Web site provides information needed to apply for Robert C. Byrd Honors Scholarships for exceptionally able high school seniors who show promise of continued excellence in postsecondary education.

- Morris K. Udall Foundation

www.udall.gov

This Web site provides information needed to apply for Morris K. Udall Undergraduate Scholarships. These scholarships are targeted to two categories of sophomore and junior level college students: (1) those who have demonstrated commitment to careers related to the environment; and (2) Native American or Alaska Natives who have demonstrated commitment to careers related to tribal public policy or healthcare.

6. Family and Youth Services Bureau

U.S. Department of Health and Human Services

www.acf.hhs.gov/programs/fysb or 1-800-RUNAWAY

This site offers a network of support that includes a national hotline and referral system for runaway and homeless youth. It is sponsored by the Family and Youth Services Bureau (FYSB), a Federal Agency dedicated to supporting young people, particularly runaway and homeless youth. FYSB provides support by funding community services for young people and their families who are testing new approaches to helping youth.

7. AmeriCorps

Nonprofit Organization

www.americorps.org

1-800-942-2677; 1-800-833-3722 (TTY)

This site offers information on a network of national programs that engage more than 50,000 Americans each year in intensive service to meet critical needs in

education, public safety, health, and the environment. For veterans and their families, AmeriCorps might provide volunteer opportunities that earn them scholarships and a small stipend while they gain additional work experience. AmeriCorps is made up of three programs: AmeriCorps State and National, AmeriCorps Volunteers In Service to America (VISTA), and AmeriCorps National Civilian Community Corps (NCCC). To learn more about AmeriCorps, visit the Web site or call the toll free number above.

8. Hotlinks for Young Entrepreneurs

Nonprofit Organization

www.score.org/resources_young.html

This Web site has assembled numerous links for young entrepreneurs, including:

- The Association of Collegiate Entrepreneurs (ACE)
- Future Business Leaders of America
- Generation X-Idea Café
- Junior Achievement

And many more...

J. Workers with Disabilities

1. Disability Information Online

Comprehensive Federal Web site

www.disabilityinfo.gov

This Web site provides a direct connection to information and resources on a wide range of disability-related topics. Visitors to this Web site will find practical information on topics such as civil rights, community life, employment, education, housing, health, income support, technology, transportation, and emergency preparedness.

2. DisabilityInfo.gov/Transportation

Comprehensive

www.disabilityinfo.gov/digov-public/public/displaypage.do?parentfolderid=126

This Web site offers a wide range of information on accessible transportation systems, community transportation initiatives and Federal laws and regulations to improve access and availability of bus, rail, and air travel for people with disabilities.

3. GovBenefits.gov

Comprehensive Federal Web site

www.govbenefits.gov

This Web site is the gateway to Federal and State benefits information on disability assistance and much more. Users can browse benefits by category and specific Government Agency and search at the Federal and State level. They can also search topics by population and/or interests.

4. Employer Assistance Referral Network

U.S. Department of Labor

www.earnworks.com

1-866-Earn Now or 1-866-327-6669 (V/TTY)

The Employee Assistance Referral Network (EARN) Web site is a nationwide cost-free referral and technical assistance service for employers. EARN connects employers who have job vacancies to employment service providers who have direct access to job-ready individuals with disabilities. EARN also provides technical assistance to assist employers in locating appropriate organizations and information as they seek to hire qualified candidates with disabilities.

5. Resources for Persons with Disabilities

U.S. Department of Labor

<http://wdsc.doleta.gov/disability>

This site houses a number of online resources for staff responsible for initial customer contact at local One-Stop Career Centers. It focuses on accessibility and accommodation strategies for people with disabilities.

6. State Governors' Committees on Employment of People with Disabilities

U.S. Department of Labor

www.dol.gov/odep/state/directry.htm

This Web site contains a directory of State liaisons to the Federal Office of Disability Employment Policy that provides employment information and referrals for people with disabilities.

7. Ticket to Work

U.S. Social Security Administration

www.yourtickettowork.com

This Web site provides comprehensive information on the Ticket to Work program, which aims to assist Social Security beneficiaries with disabilities to find and keep employment.

8. Job Accommodation Network

U.S. Department of Education

<http://janweb.icdi.wvu.edu>

1-800-526-7234 or 1-800-232-9675 (V/TTY)

The Job Accommodation Network (JAN) is an information and referral service that provides information on job accommodations for people with disabilities. It also includes resources for technical assistance, funding, education, and services related to the employment of people with disabilities. In addition, JAN has information on employment provisions in the Americans with Disabilities Act. To learn more about JAN, visit the Web site or call the toll-free number above.

9. The Assistive Technology Program

U.S. Department of Education

www.jan.wvu.edu/sbses/vocrehab.html

The Assistive Technology Program can help individuals with disabilities obtain devices or products to help them perform their job. A vocational rehabilitation counselor may be able to help arrange training and other services. Links and contact information for State vocational rehabilitation offices is on the Web site above.

10. TRIO Programs

U.S. Department of Education

www.ed.gov/about/offices/list/ope/trio/index.html

The TRIO programs provide support services to disabled students enrolled in post-secondary education programs. News and information can be found on the above site.

11. Vocational Rehabilitation and Employment Program

U.S. Department of Veterans Affairs

www.vba.va.gov/bln/vre/index.htm

1-800-827-1000

This Web site assists veterans with service-connected disabilities by offering help in preparing for, finding, and keeping suitable employment. For more information about vocational rehabilitation and employment services, disabled veterans should contact the local Department of Veterans Affairs regional office at the national toll-free telephone number above.

12. Rural Rental Assistance

U.S. Department of Agriculture

http://offices.usda.gov/scripts/ndcgi.exe/oip_public/usa_map.

Rural Rental Assistance payments are available to persons with low incomes, the elderly, and persons with disabilities who are unable to pay their rent. More information is available at the above Web site.

CFDA Information

[10.427 Rural Rental Assistance Payments](#)

K. Child Care

1. GovBenefits.gov

Comprehensive Federal Web site

www.govbenefits.gov

The GovBenefits.gov Web site is the comprehensive gateway to Government benefits information. Users can browse content by category at the Federal and State level. The site also gives users the ability to search topics by population and/or interests. Among other benefits, the site can help BRAC-impacted families find State and local child care resources.

2. Child Care Bureau

U.S. Department of Health and Human Services

www.acf.hhs.gov/programs/ccb

This site provides information and resources on child care funding, grants and providers. It includes a tool for parents to find quality child care providers in their area.

3. Child Tax Credits

U.S. Department of Treasury

www.irs.gov

1-800-829-3676

The Internal Revenue Service's (IRS) Web site and toll-free phone line provide information on three child tax credit programs and the forms needed to apply for them. Free help in preparing tax returns is available through Volunteer Income Tax Assistance (VITA) at 1-800-829-1040. Individuals can get a reduction of taxes owed (or a refund if they owe nothing) of up to \$600 (depending upon income) per each dependent child under 17 if annual earnings are more than \$10,350 by filing Form 8812.

4. Tax Credit for Parents

U.S. Department of Treasury

www.irs.gov/individuals/parents/index.html

This site provides a list of resources and information to parents whose life events may impact their taxes.

5. Child Care Aware

Nonprofit Organization

www.childcareaware.org

1-800-424-2246

Child Care Aware is an online agency that helps individuals find accurate and useful information about child care. Through Child Care Aware, families are linked to local, community-based child care resources and referral programs and receive consumer education materials. More information is available on the Web site and at the toll-free phone number listed above.

L. Transportation

1. DisabilityInfo.gov/Transportation

Comprehensive

www.disabilityinfo.gov/digov-public/public/displaypage.do?parentfolderid=126

This Web site offers a wide range of information on accessible transportation systems, community transportation initiatives, and Federal laws and regulations to improve access and availability of bus, rail and air travel for people with disabilities.

2. United We Ride

Comprehensive

www.unitedweride.gov

This Web site contains “a one-stop” information resource on all Federal programs funding human service transportation.

3. One-Stop Career Center

U.S. Department of Labor

www.servicelocator.com

Customers can apply for transportation supportive services at local one-stop career centers. This Web site helps users to locate the nearest One-Stop Career Center.

4. Job Access and Reverse Commute Grant

U.S. Department of Transportation

www.fta.dot.gov/grant_programs/specific_grant_programs/job_access_reverse_commute/4339_ENG_HTML.htm

The Job Access and Reverse Commute grant program assists States and localities in developing new or expanded transportation services that connect welfare recipients and other low income persons to jobs and other employment related services. Additional information about this program and how it can benefit employers, communities, and employees can be found at the above Web site.

M. Health Care and Health Insurance

1. GovBenefits.gov

Comprehensive Federal Web site

www.govbenefits.gov

This Web site is the gateway to Government information on health care, insurance, Medicare and Medicaid, plus many more topics. Users can browse benefits by category and specific government agency and search at the Federal and State level. Users can also search topics by population and/or interests.

2. Community Health Centers

U.S. Department of Health and Human Services

<http://ask.hrsa.gov/pc/>

Community Health Centers offer access to comprehensive primary and preventative health care and focus primarily on low income and underserved individuals. Contact information for health centers in local areas and related general information can be found at the Web site above.

3. Health Resources and Services Administration

U.S. Department of Health and Human Services

1-888-Ask HRSA (275-4772)

Publications, resources, and referrals on health care services for low income, uninsured individuals and those with special health care needs are available through the toll-free phone number listed above.

4. Medicaid

U.S. Department of Health and Human Services

www.cms.hhs.gov/medicaid

1-877-267-2323, menu option 5

Medicaid is health insurance that helps many people who can't afford medical care pay for some or all of their medical bills. Medicaid is a State administered program and each State sets its own guidelines regarding eligibility and services. Visit the Web site or call the toll-free number listed above to read more about State Medicaid programs.

5. Medicare and the Medicare Prescription Drug Coverage Plan

U.S. Department of Health and Human Services

www.medicare.gov

1-800-MEDICARE (1-800-633-4227) or 1-877-486-2048 (TTY)

Medicare is the national health insurance program for people age 65 or older and some people with disabilities under age 65. Medicare also covers people with End-Stage Renal Disease (ESRD), which is permanent kidney failure requiring dialysis or a kidney transplant. Particularly useful is the *Medicare and You* handbook that is available at the “Find a Medicare Publication” link on this site. Additional information and State contacts are available at the Web site or by calling the toll-free number above.

6. Centers for Medicare and Medicaid Services

U.S. Department of Health and Human Services

<http://pubbordering.cms.hhs.gov/maillinglist>

A variety of free publications related to Medicare and Medicaid may be obtained by subscribing to the Centers for Medicare and Medicaid Services’ (CMS) publication mailing list at the Web site listed above.

www.cms.hhs.gov/partnerships

The CMS Partner Center Web site provides a number of resources on Medicare prescription drug coverage that are helpful to individuals and One-Stop Career Centers. They can be downloaded as PDF files.

7. State Health Insurance Providers

U.S. Department of Health and Human Services

www.shiptalk.org

This Web site provides contact information for State Health Insurance Providers (SHIP) counselors. SHIP is a national program that offers one-on-one counseling and assistance to Medicare recipients and their families and provides information about Medicare prescription drug coverage.

8. State Children’s Health Insurance Program

U.S. Department of Health and Human Services

www.cms.hhs.gov/home/schip.asp

www.insurekidsnow.gov

1-877-267-2323 or 1-866-226-1819 (TTY) or 1-877-543-7669 (Insure Kids Now)

These Web sites and phone lines provide information on low cost health insurance for families and children available through the State Children’s Health Insurance Program (SCHIP). The “Your State’s Program” link on the Insure Kids Now site provides links to each State’s benefits and eligibility criteria.

9. Medicare Online Coach

U.S. Department of Labor

www.staffcoach.org/medicare

The Medicare Online Coach provides interactive step-by-step instructions to help workforce development professionals quickly identify common issues and questions concerning Medicare prescription drug coverage and other Medicare-related issues. This information helps workforce development professionals assist One-Stop Career Center customers who may be eligible to participate in the program. It also provides direct access to the official Medicare Web site and other Government and non-profit resources.

10. Consolidated Omnibus Budget Reconciliation Act

U.S. Department of Labor

www.dol.gov/dol/topic/health-plans/cobra.htm

Upon losing a job, almost all full-time or part-time workers (including those self-employed) and their dependents can obtain or maintain health insurance coverage for at least 18 months under the Consolidated Omnibus Budget Reconciliation Act (COBRA). COBRA insurance may be a lower cost than buying new health insurance, but the insured will still be required to pay a monthly premium. Individuals must choose COBRA coverage within 60 days after their plan coverage ends. Call or visit the above Web site for more information.

11. Health Coverage Tax Credit

U.S. Department of Treasury

www.irs.gov/individuals/article/0,,id=109915,00.html

1-866-628-HCTC (1-866-628-4282) or 1-866-626-HCTC (TTY)

Customers who have lost a job due to foreign trade and whose layoff is “certified” as trade-related by the Government may be eligible for the Health Coverage Tax Credit (HCTC). The Web site provides information about eligibility requirements and how the HCTC can be claimed.

12. Private Insurance

National Association of Insurance Commissioners

www.naic.org/state_web_map.htm

This Web site provides links to state Department of Insurance Web sites for information regarding purchasing private health insurance.

N. Housing Needs

1. Homeless Assistance (Technical & Financial)

U.S. Department of Housing and Urban Development

<http://www.hud.gov/offices/cpd/homeless/library/milbase/index.cfm>

For more than four decades, the U.S. Department of Defense has closed or realigned military installations to reduce overhead, enhance readiness and modernization, and adjust to the realities of changing international relations. The resulting impact on surrounding communities is often dramatic. Successful communities convert these former installations to civilian uses such as parks and other recreational facilities, business centers, market-rate housing, affordable housing, and housing for homeless persons.

In 1994 the Base Closure Community Redevelopment and Homeless Assistance Act (the Redevelopment Act) was passed. Designed to accommodate communities' multiple interests in base reuse and to meet the national priority to assist homeless individuals and families, the Act itself was the end result of recommendations made by HUD, the Department of Defense, Veterans Affairs, the General Services Administration, and the Department of Health and Human Services. The Act established a community-based process wherein representatives of the homeless and other community groups participate in local reuse planning.

The Redevelopment Act places responsibility for base reuse planning in the hands of a Local Redevelopment Authority (LRA), which represents all the local jurisdictions affected by a closing or realigning installation. The LRA is responsible for developing a reuse plan that appropriately balances the needs of the communities for economic redevelopment, other development, and homeless assistance. HUD then reviews the plan to determine its completeness and compliance with applicable statutes and regulations.

HUD is available to provide technical assistance to the LRA. Local HUD Field Office staff can help link the LRA with homeless assistance providers, provide guidance on the process mandated by the Redevelopment Act, and facilitate linkage of the LRA and homeless assistance providers to sources of funding for reuse projects. For information on HUD's homeless assistance programs, please visit <http://www.hud.gov/offices/cpd/homeless/programs/index.cfm>.

For further information on HUD's BRAC program, please contact HUD's BRAC Coordinator by phone at (202) 402- 2595 or visit the BRAC Web site at the top of the page.

2. Self Help Homeownership Opportunity Program

U.S. Department of Housing and Urban Development

<http://www.hud.gov/offices/cpd/affordablehousing/programs/shop/>

Self Help Homeownership Opportunity Program (SHOP) provides funds for eligible non-profit organizations to purchase home sites and develop or improve the infrastructure needed to set the stage for sweat equity and volunteer-based homeownership programs for low income persons and families. SHOP is authorized by the Housing Opportunity Program Extension Act of 1996, Section 11, and is subject to other Federal crosscutting requirements. Further information about SHOP can be accessed at the above Web site.

CFDA Information

[14.247 Self-Help Homeownership Opportunity Program](#)

3. Native American Assistance

U.S. Department of Housing and Urban Development

<http://www.hud.gov/groups/nativeamericans.cfm>

HUD offers a range of programs, assistance, and loan programs specifically for Native American tribes, organizations, and sometimes individuals. See Web site for details.

4. Relocating

U.S. Department of Labor

www.careerOne-Stop.org/relocation/RelocationCenterHome.asp

The CareerOne-Stop Web portal has a Relocation Center with links to resources that may assist individuals with relocation decisions and planning. On this page customers will find a link to cost of living resources, a salary calculator, financial and moving resources, and resources related to the community to which they are relocating. The Relocation Center can be accessed at the above Web site.

5. Home Owner Assistance Program

U.S. Department of Defense/ Army

www.sas.usace.army.mil/hapinv/hapinfo.htm

The Department of Defense Homeowner's Assistance Program (HAP) provides assistance to eligible Federal personnel (military and civilian), who are stationed at or near an installation scheduled for closure or realignment and who, through no fault of their own, are unable to sell their homes under reasonable terms and conditions. Information concerning HAP is available at the above Web site.

6. Rental Assistance

U.S. Department of Health and Human Services

www.acf.hhs.gov/programs/ofa/welfare/stlinks.htm

Rental assistance may be available through local welfare agencies. They can be located through each State's department of social services via the above Web site.

7. Low Income Home Energy Assistance Program

U.S. Department of Health and Human Services

www.acf.dhhs.gov/programs/liheap/grantees/index.html#states

The Low Income Home Energy Assistance Program (LIHEAP) can help pay heating, gas, and electricity bills for low income households. More information about LIHEAP assistance can be obtained from LIHEAP grantees (States, tribal organizations and U.S. insular areas). Grantee Web sites and other contact information can be found at the above Web site.

8. Rural Rental Assistance

U.S. Department of Agriculture

http://offices.usda.gov/scripts/ndcgi.exe/oip_public/usa_map.

Rural Rental Assistance payments are available to persons with low incomes, the elderly, and persons with disabilities who are unable to pay their rent. The owner of the rental property will be paid the difference between the amount of the individual's rent and the amount that the individual can contribute. More information is available at the above Web site.

CFDA Information

[10.427 Rural Rental Assistance Payments](#)

O. Unemployment Insurance

1. Unemployment Insurance Locator

U.S. Department of Labor

<http://workforcesecurity.doleta.gov/map.asp>

Most individuals who have become unemployed due to BRAC will have the protection of unemployment compensation. Eligibility and application information are available from each State's workforce agency via the Web site listed above.

2. Unemployment Compensation for Federal Employees program

U.S. Department of Defense and U.S. Department of Labor

www.cpms.osd.mil/icuc/icuc.htm

Federal civilian employees may qualify for the Unemployment Compensation for Federal Employees (UCFE) program. UCFE has the same terms and conditions as regular State unemployment insurance programs. State unemployment insurance agencies will be able to answer questions about eligibility, duration and amount of benefits, as well as procedures for filing a UCFE claim (see also the Unemployment Insurance Locator described above).

P. Social Security Benefits

1. Social Security Administration

Social Security Administration

www.socialsecurity.gov

1-800-772-1213

The Social Security Administration (SSA) Web site provides a wealth of information about Social Security benefits, a monthly income support that may be available upon retirement. Information on eligibility, amount of benefits, how to apply, and contact information for local SSA offices can be found at the above Web site or by calling the toll-free number.

Q. Pension Benefits

1. The Pension Benefit Guaranty Corporation

Federal Corporation

www.pbgc.gov

1-800-400-7242 or 1-800-877-8339 (TTY)

The Pension Benefit Guaranty Corporation (PBGC) pays pension benefits when an eligible pension plan ends without sufficient money to meet its financial obligations. This site explains PBGC eligibility and how to access benefits.

2. Civil Service Retirement System and Federal Employee's Retirement System

U.S. Office of Personnel Management (OPM)

www.opm.gov/retire/html/library

Federal employees may be eligible for retirement benefits under the Civil Service Retirement System (CSRS) or the Federal Employees' Retirement System (FERS). Information about eligibility, benefits and applying for retirement under these systems is available at the Web site listed above.

IV. RESOURCES FOR BUSINESSES

This section provides a list of resources that will aid businesses that are in BRAC-Impacted Areas. The resources below range from providing assistance to business in the event of downsizing with a base closing or expanding with base realignment.

A. Finding BRAC-Affected Bases

1. BRAC Services Locator

U.S. Department of Labor

www.servicelocator.org/BRAC

Businesses can use this Web site to find BRAC locations and nearby One-Stop Career Centers. The username for this site is “BRAC” and the default password is “asl”. This site allows users to search by city, zip code or through a map search. Users can find local military bases and workforce contacts and get an online tutorial through the BRAC Coach (www.brac-coach.org).

2. BRAC Web site

U.S. Department of Defense

<http://www.defenselink.mil/brac/>

The Department of Defense’s official BRAC Web site provides the most up to date information concerning BRAC 2005.

B. Helping Businesses Respond to Base Closures and Force Reductions

1. Worker Adjustment Retraining and Notification Act Guides

U.S. Department of Labor

www.doleta.gov/layoff/warn.cfm#content

This Web site contains guides issued by the U.S. Department of Labor to provide workers and employers with an overview of their rights and responsibilities under the provisions of the Worker Adjustment Retraining and Notification WARN Act. It also contains resources and links for additional information.

2. Rapid Response

U.S. Department of Labor

www.doleta.gov/layoff/employers.cfm

This site describes the Rapid Response resources available to businesses and includes links to contact information for state rapid response coordinators. Rapid Response is a strategic response to major layoffs and plant closings that quickly coordinates services and provides immediate aid to impacted companies and their workers. Rapid Response teams work with companies to customize and coordinate efforts to minimize the disruptions of major layoffs on the company, impacted workers, and the community.

3. One-Stop Career Centers

U.S. Department of Labor

<http://careeronestop.org>

www.servicelocator.org

The above sites provide contact information and links to local One-Stop Career Centers. They also provide information regarding the services One-Stop Career Centers can provide businesses. These services may include:

- Working closely with employers in Rapid Response activities (see section above for more information on Rapid Response);
 - Preparing impacted workers to find new employment by coordinating on-site layoff assistance orientations and educating employees on re-employment, retraining services and unemployment insurance benefits;
 - Coordinating on-site group workshops for career advancement, job search assistance, resume writing, and interviewing skills for assisting the impacted employees in obtaining the proper training and career assistance so that they can return to work and maintain their standard of living.
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4. O*NET

U.S. Department of Labor

<http://onetcenter.org>

<http://online.onetcenter.org>

The Occupational Information Network (O*NET) Resource Center and O*NET OnLine are available at the above addresses. Both sites provide information on occupations, work content, worker skills and workplace requirements. They provide a common language for defining and describing occupations, job requirements, transferable skills and staff training plans. They have been used in restructuring situations by major companies such as Boeing and PillowTex.

Additional O*NET resources include:

- The O*NET Code Connector (www.onetcodeconnector.org), which matches job titles to O*NET-SOC codes so that employers may find O*NET resources relevant to their organization;
- The O*NET Knowledge site (www.onetknowledgesite.com), a source of online training, O*NET information sharing, and community building.

5. Planning-Related Evaluation Studies

U.S. Department of Labor

www.defenselink.mil/brac

Evaluation studies and reports have been conducted in order to build upon experiences of past BRAC rounds and enhance the quality of planning and assistance for workers impacted by this current round. Studies conducted by DoD and the General Accountability Office (GAO) may be viewed at the above Web site. The following Web sites contain reports related to evaluations of demonstration projects focusing on services to BRAC-impacted workers:

- *Responses to Defense Cutbacks: Demonstration Evaluation Findings*
<http://wdr.doleta.gov/opr/fulltext/document.cfm?docn=6090>
- *Responses to Defense Cutbacks: The Community Planning Approach*
<http://wdr.doleta.gov/opr/fulltext/document.cfm?docn=6087>
- *Responses to Defense Cutbacks: The Worker Mobility Approach*
<http://wdr.doleta.gov/opr/fulltext/document.cfm?docn=6089>
- *Responses to Defense Cutbacks: The Dislocation Aversion Approach*
<http://wdr.doleta.gov/opr/fulltext/document.cfm?docn=6088>

C. Finding Business Opportunities in Areas of Military Base Closing and Realignment

1. Employee Recruitment and Retention

i. CareerOneStop Web site

U.S. Department of Labor

<http://careeronestop.org>
www.onestopcoach.org

The first Web site links employers to the wide array of resources the One-Stop Career Center System offers. One-Stop Career Centers help employers to find, assess, and hire employees who meet employers' workforce needs. The second Web site above, the Career One-Stop Coach, walks business managers through the process of writing job descriptions, searching resumes, researching occupation information, finding high growth industries, learning about managing employees, or finding resources regarding employment laws.

ii. America's Job Bank

Sponsored by U.S. Department of Labor

www.ajb.dni.us

America's Job Bank (AJB) is the nation's largest online labor exchange, with over 1 million jobs & 450,000 resumes. It connects employers and jobseekers at a very low cost. Employers of all sizes can post job listings, create customized job orders, get labor market information and search resumes.

iii. BRAC Coach

U.S. Department of Labor

www.brac-coach.org

This online tool assists workers, businesses, and workforce professionals who may be impacted by a base realignment or closure. The BRAC Coach identifies common issues or problems facing those likely to be impacted by BRAC. It provides step-by-step instructions to help users find resources and related information. There is an entire section devoted to assisting employers and businesses.

iv. Toll-Free Helpline

U.S. Department of Labor

1-877-US2-JOBS or 1-877-899-5627 (TTY)

The Toll-Free Helpline assists employers to manage the change that accompanies expansion, restructuring, and layoffs by providing access to Career One-Stop centers and Rapid Response teams. Help includes identifying job related Web sites, information about Federal, State, and local requirements and initiatives, labor market information, and finding job-ready employees.

v. Business Relations Group

U.S. Department of Labor

www.doleta.gov/business

This link provides information about the Business Relations Group (BRG), a DOL Office whose purpose is to aid employers in meeting their workforce needs. This Web site provides employers with a wide array of business resources including workforce tools, policies and regulations, and information about incentives, and foreign labor certification.

vi. Employer Assistance Referral Network (EARN)

U.S. Department of Labor

www.earnworks.com

1-866-Earn Now or 1-866-327-6669 (V/TTY)

Employer Assistance Referral Network (EARN) is a national toll-free telephone and electronic information referral service that helps employers locate and recruit qualified workers with disabilities and also provides technical assistance on general disability employment-related issues. It can be accessed at the Web site or by calling the toll-free number above.

vii. Workforce Recruitment Program for College Students with Disabilities

U.S. Department of Labor

www.dol.gov/odep/pubs/brochures/wrp4cstd.htm

The Workforce Recruitment Program for College Students with Disabilities (WRP) is a resource for businesses nationwide to identify qualified temporary and permanent employees from a variety of fields. Applicants are highly motivated postsecondary students and recent graduates eager to prove their abilities in the workforce. More information is available at the Web site above.

viii. HireVetsFirst

U.S. Department of Labor

www.hirevetsfirst.gov

This Web site provides information on the Hire Vets First initiative and is tailored to the needs of veterans and employers interested in hiring veterans. It connects veterans with America's Service Locator (http://www.servicelocator.org/nearest_onestop.asp or 1-877-US2-JOBS) to find their closest One-Stop Career Center for in-person services.

ix. Office of Veteran's Business Development

Small Business Administration

www.sba.gov/vets/index.html

Through this Web site, the Small Business Administration (SBA) provides a variety of services to help veterans who are interested in opening and maintaining small businesses to meet SBA requirements and tap SBA supports. Also see Section II for the SBA local offices information.

2. Assisting Businesses in Accessing Labor Market and General Information

i. America's Career Info Net

U.S. Department of Labor

www.acinet.org/acinet/select_state.asp

The above site provides a direct link to America's Career InfoNet (ACINet) which provides local and regional labor market information, industry information, employment and wage data, and information about the fastest growing industries and occupations. It also provides a job description writer that helps employers and workforce professionals write job descriptions with a variety of data sets. Additional business resources include a certification finder, a license finder, a skills profiler and a financial aid advisor.

ii. Career One-Stop Web site

U.S. Department of Labor

www.careerone-stop.org/lmi/lmihome.asp

The Career One-Stop Web site's Labor Market Information Center can be found at this link. The site allows employers to find out which high-growth occupations are hot locally and nationally. Links to comprehensive information about wages, employment levels, national and State employment projections, unemployment rates, and related information may also be found here.

iii. America's Labor Market Information System

U.S. Department of Labor

www.uses.doleta.gov/almis.asp

www.lmi-net.org

The first Web site listed above provides an overview of America's Labor Market Information System (ALMIS). ALMIS is a dynamic system that produces high-quality, standardized labor market information and tools in a variety of media and formats for use by job seekers, employers, and workforce development professionals. ALMIS has Federal, State and local components which provide data collection and analysis, research and development, product development, direct service delivery, technical assistance, and capacity-building. ALMIS also supports the Labor Market Information Institute, a national training center that provides workforce information training. Information about the institute is available at the second site listed above.

iv. Local Employment Dynamics

U.S. Census Bureau and State Labor Market Information Agencies

<http://lehd.dsd.census.gov/led/led/led.html>

This Web site provides information and resources on how Local Employment Dynamics (LED) develops new information about local labor market conditions. State and local authorities increasingly need detailed local information about their economies to make informed decisions. The LED/State partnership works to fill critical data gaps and provide indicators needed by State and local authorities.

v. The United States Postal Service®

U.S. Postal Service®

www.usps.com

The U.S. Postal Service® delivers 700 million messages and packages every day to more than 144 million families and businesses. American businesses are provided with a wide range of shipping and mailing products and services, including the ability to change their address, forward mail, hold mail, rent Post Office boxes, locate a Post Office, calculate postage, and print postage online. Employers affected by BRAC can conveniently access USPS® services online through the above Web site or by visiting any of the 37,000 local Post Offices.

V. PROGRAM ACRONYM LIST

Acronym	Agency/ Program Name
ACINET	America's Career Info Net
ACRN	America's Career Resource Network
ALMIS	America's Labor Market Information System
CSBG	Community Service Block Grant
CMS	Centers for Medicare and Medicaid Services
DOC	Department of Commerce
DOE	Department of Education
DOD	Department of Defense
DOL	Department of Labor
DVOP	Disabled Veterans Outreach Program
EDA	Economic Development Administration
EBSA	Employee Benefits Security Administration
EARN	Employer Assistance Referral Network
ETA	Employment & Training Administration
FAFSA	Free Application for Federal Student Aid
HUD	Department of Housing and Urban Development
HCTC	Health Coverage Tax Credit
HHS	Department of Health and Human Services
HRSA	Health Resources and Services Administration
IRS	Internal Revenue Service
JAN	Job Accommodation Network
LVER	Local Veteran's Employment Representative
NASCC	National Association Service and Conservation Corps
ODEP	Office of Disability Employment Policy
OATELS	Office of Apprenticeship Training and Employer Labor Services
PBGC	Pension Benefits Guaranty Corporation
SSA	Social Security Administration
SBA	Small Business Administration
SCORE	Senior Corps of Retired Executives
SCHIP	State Children's Health Insurance Program
TAA	Trade Adjustment Assistance
TANF	Temporary Assistance For Needy Families
USDA	United States Department of Agriculture
UMAPS	United States Military Apprenticeship Program
USERRA	Uniformed Services Employment and Reemployment Services Rights Act Advisor
VBA	Veterans Benefits Administration
WIC	Women, Infant, Child Special Supplementary Nutrition Program

VI. EXECUTIVE ORDER 12788, AS AMENDED

For Immediate Release
Office of the Press Secretary
May 12, 2005

Executive Order: Amendments to Executive Order 12788 Relating to the Defense Economic Adjustment Program

By the authority vested in me as President by the Constitution and the laws of the United States of America, including 10 U.S.C. 2391 and the Defense Economic Adjustment, Diversification, Conversion, and Stabilization Act of 1990, enacted as Division D, section 4001 et seq., of the National Defense Authorization Act for Fiscal Year 1991, Public Law 101-510, and in order to update the Defense Economic Adjustment Program, it is hereby ordered that Executive Order 12788 of January 15, 1992, as amended, is further amended as follows:

Section 1. The text of section 2 of Executive Order 12788 is revised to read as follows: "The Defense Economic Adjustment Program shall (1) assist substantially and seriously affected communities, businesses, and workers from the effects of major Defense base closures, realignments, and Defense contract-related adjustments, and (2) assist State and local governments in preventing the encroachment of civilian communities from impairing the operational utility of military installations."

Sec. 2. (a) The text of section 3(c) is amended by deleting "and communities" and inserting in lieu thereof "communities, and businesses";

(b) The text of section 3(l) is amended by deleting "and" after the semicolon;

(c) The text of section 3(m) is amended by adding "and" after "diminish;" and

(d) A new section 3(n) is added to read: "(n) Encourage resolution of regulatory issues that impede encroachment prevention and local economic adjustment efforts."

Sec. 3. (a) Section 4(a) is amended by: (i) deleting "(19) Director of the United States Arms Control and Disarmament Agency;" (ii) deleting "(21) Director of the Federal Emergency Management Agency;" and (iii) renumbering the remaining subsections listing the officials on the Economic Adjustment Committee (the "Committee") accordingly;

(b) The text of section 4(b) is revised to read as follows: "The Secretary of Defense, or the Secretary's designee, shall chair the Committee.;" and

(c) The text of section 4(c) is revised to read as follows: "The Secretaries of Labor and Commerce shall serve as Vice Chairmen of the Committee. The Vice Chairmen shall co-chair the Committee in the absence of both the Chairman and the Chairman's designee and may also preside over meetings of designated representatives of the concerned executive agencies."

Sec. 4. This order is not intended to, and does not, create any right or benefit, substantive or procedural, enforceable by any party at law or in equity against the United States, its departments, agencies, entities, officers, employees, agents, or any other person.

GEORGE W. BUSH

THE WHITE HOUSE,

May 12, 2005.

THE PRESIDENT

EXECUTIVE ORDER 12788

As AMENDED

Defense Economic Adjustment Program

By the authority vested in me as President by the Constitution and the laws of the United States of America, including 10 U.S.C. 2391 and the Defense Economic Adjustment, Diversification, Conversion, and Stabilization Act of 1990, enacted as Division D, section 4001 *et seq.*, of the National Defense Authorization Act for Fiscal Year 1991, Public Law 101-510, and to provide coordinated Federal economic adjustment assistance necessitated by changes in Department of Defense activities, it is hereby ordered as follows:

Section 1. *Function of the Secretary of Defense.* The Secretary of Defense shall, through the Economic Adjustment Committee, design and establish a Defense Economic Adjustment Program.

Sec. 2. The Defense Economic Adjustment Program shall (1) assist substantially and seriously affected communities, businesses, and workers from the effects of major Defense base closures, realignments, and Defense contract-related adjustments, and (2) assist State and local governments in preventing the encroachment of civilian communities from impairing the operational utility of military installations.

Sec. 3 *Functions of the Defense Economic Adjustment Program.* The Defense Adjustment Program shall:

(a) Identify problems of States, regions, metropolitan areas, or communities that result from major Defense base closures, realignments, and Defense contract-related adjustments, and the encroachment of the civilian community on the mission of military installations and that require Federal assistance;

(b) Use and maintain a uniform socioeconomic impact analysis to justify the use of Federal economic adjustment resources prior to particular realignments;

(c) Apply consistent policies, practices, and procedures in the administration of Federal programs that are used to assist Defense-affected States, regions, metropolitan areas, communities, and businesses;

(d) Identify and strengthen existing agency mechanisms to coordinate employment opportunities for displaced agency personnel;

(e) Identify and strengthen existing agency mechanisms to improve reemployment opportunities for dislocated Defense industry personnel;

(f) Assure timely consultation and cooperation with Federal, State, regional, metropolitan, and community officials concerning Defense-related impacts on Defense-affected communities' problems;

(g) Assure coordinated interagency and intergovernmental adjustment assistance concerning Defense impact problems;

(h) Prepare, facilitate, and implement cost-effective strategies and action plans to coordinate interagency and intergovernmental economic adjustment efforts;

(i) Encourage effective Federal, State, regional, metropolitan, and community cooperation and concerted involvement of public interest groups and private sector organizations in Defense economic adjustment activities;

(j) Serve as a clearinghouse to exchange information among Federal, State, regional, metropolitan, and community officials involved in the resolution of community economic adjustment problems. Such information may include, for example, previous studies, technical information, and sources of public and private financing;

(k) Assist in the diversification of local economies to lessen dependence on Defense activities;

(l) Encourage and facilitate private sector interim use of lands and buildings to generate jobs as military activities diminish;

(m) Develop ways to streamline property disposal procedures to enable Defense-impacted communities to acquire base property to generate jobs as military activities diminish; and

(n) Encourage resolution of regulatory issues that impede encroachment prevention and local economic adjustment efforts.

Sec. 4. *Economic Adjustment Committee.*

(a) *Membership.* The Economic Adjustment Committee ("Committee") shall be composed of the following individuals or a designated principal deputy of these individuals, and such other individuals from the executive branch as the President may designate. Such individuals shall include the:

- (1) Secretary of Agriculture;
- (2) Attorney General;
- (3) Secretary of Commerce;

- (4) Secretary of Defense;
- (5) Secretary of Education;
- (6) Secretary of Energy;
- (7) Secretary of Health and Human Services;
- (8) Secretary of Housing and Urban Development;
- (9) Secretary of Interior;
- (10) Secretary of Labor;
- (11) Secretary of State;
- (12) Secretary of Transportation;
- (13) Secretary of Treasury;
- (14) Secretary of Veterans Affairs;
- (15) Secretary of Homeland Security;
- (16) Chairman, Council of Economic Advisers;
- (17) Director of the Office of Management and Budget;
- (18) Director of the Office of Personnel Management;
- (19) Administrator of the Environmental Protection Agency;
- (20) Administrator of General Services;
- (21) Administrator of the Small Business Administration; and
- (22) Postmaster General.

(b) The Secretary of Defense, or the Secretary's designee, shall chair the Committee.

(c) The Secretaries of Labor and Commerce shall serve as Vice Chairmen of the Committee. The Vice Chairmen shall co-chair the Committee in the absence of both the Chairman and the Chairman's designee and may also preside over meetings of designated representatives of the concerned executive agencies.

(d) *Executive Director.* The head of the Department of Defense's Office of Economic Adjustment shall provide all necessary policy and administrative support for the Committee and shall be responsible for coordinating the application of the Defense Economic Adjustment Program to Department of Defense activities.

(e) *Duties.* The Committee shall:

- (1) Advise, assist, and support the Defense Economic Adjustment Programs;
- (2) Develop procedures for ensuring that State, regional, and community officials, and representatives of organized labor in those States, municipalities, localities, or labor organizations that are substantially and seriously affected by changes in Defense expenditures, realignments or closures, or cancellation or curtailment of major Defense contracts, are notified of available Federal economic adjustment programs; and
- (3) Report annually to the President and then to the Congress on the work of the Economic Adjustment Committee during the preceding fiscal year.

Sec. 5. Responsibilities of Executive Agencies.

(a) The head of each agency represented on the Committee shall designate an agency representative to:

- (1) Serve as a liaison with the Secretary of Defense's economic adjustment staff;
- (2) Coordinate agency support and participation in economic adjustment assistance projects; and
- (3) Assist in resolving Defense-related impacts on Defense-affected communities.

(b) All executive agencies shall:

- (1) Support, to the extent permitted by law, the economic adjustment assistance activities of the Secretary of Defense. Such support may include the use and application of personnel, technical expertise, legal authorities, and available financial resources. This support may be used, to the extent permitted by law, to provide a coordinated Federal response to the needs of individual States, regions, municipalities, and communities adversely affected by necessary Defense changes; and
- (2) Afford priority consideration to requests from Defense-affected communities for Federal technical assistance, financial resources, excess or surplus property, or other requirements, that are part of a comprehensive plan used by the Committee.

Sec. 6. Judicial Review. This order shall not be interpreted to create any right or benefit, substantive or procedural, enforceable at law by a party against the United States, its agencies, its officers, its agents, or any person.

Sec. 7. Construction. (a) Nothing in this order shall be construed as subjecting any function vested by law in, or assigned pursuant to law to, any agency or head thereof to the authority of any other agency or officer or as abrogating or restricting any such function in any manner.

(b) This order shall be effective immediately and shall supersede Executive Order No 12049.

GEORGE BUSH
THE WHITE HOUSE
January 15, 1992.

[Amended 2/28/03 by President George W. Bush, E.O. 13286]
[Amended 5/12/05 by President George W. Bush, E.O. 13378]

VII. CATALOG OF FEDERAL DOMESTIC ASSISTANCE INFORMATION

This section provides a list of some of the potential CFDA programs available to State and local governments, businesses, and workers impacted by Defense program changes and will be updated periodically as changes occur.

1. [10.352 Value Added Producer Grants](#)
2. [10.415 Rural Rental Housing Loans](#)
3. [10.427 Rural Rental Assistance Payments](#)
4. [10.446 Rural Community Development Initiative](#)
5. [10.760 Water & Waste Disposal Systems for Rural Communities](#)
6. [10.762 Solid Waste Management Grants](#)
7. [10.766 Community Facilities Loans & Grants](#)
8. [10.768 Business & Industry Loans](#)
9. [10.769 Rural Business Enterprise Grant](#)
10. [10.854 Rural Economic Development Loans & Grants](#)
11. [10.855 Distance Learning & Telemedicine Loans & Grants](#)
12. [11.300 Public Works and Economic Development Program](#)
13. [11.302 Planning Program](#)
14. [11.303 Local Technical Assistance](#)
15. [11.303 University Center Economic Development](#)
16. [11.307 Economic Adjustment Assistance Program](#)
17. [11.312 Research and National Technical Assistance](#)
18. [11.313 Trade Adjustment Assistance for Firms Program](#)
19. [12.607 Community Economic Adjustment Planning Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation](#)
20. [12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies](#)
21. [12.611 Community Economic Adjustment Planning Assistance for Reduction in Defense Industry Employment](#)
22. [12.614 Community Economic Adjustment Diversification Planning](#)
23. [14.218 Community Development Block Grants/Entitlement Grants](#)
24. [14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii](#)
25. [14.247 Self Help Homeownership Opportunity Program \(SHOP\)](#)
26. [14.248 Community Development Block Grants Section 108 Loan Guarantees](#)
27. [14.250 Rural Housing and Economic Development](#)
28. [15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments](#)
29. [15.922 Native American Graves Protection and Repatriation Act Grants](#)
30. [15.929 Save America's Treasures Program](#)
31. [17.260 Workforce Investment Act Dislocated Workers](#)
32. [20.106 Airport Improvement Program](#)
33. [21.020 Community Development Financial Institutions Program \(B\)](#)

34. [59.037 Small Business Development Centers](#)
35. [66.806 Superfund Technical Assistance Grants \(TAG\) for Community Groups at National Priority List \(NPL\) Sites](#)
36. [84.007 Federal Supplemental Educational Opportunity Grants](#)
37. [84.032 Federal Parent Loans for Undergraduate Students \(PLUS\)](#)
38. [84.038 Federal Perkins Loan](#)
39. [84.063 Federal Pell Grants](#)
40. [84.268 Federal Direct Student Loan](#)
41. [84.268 or 84.032 Federal Subsidized Student Loans \(Stafford\)](#)
42. [84.268 or 84.032 Federal Unsubsidized Student Loans \(Stafford\)](#)
43. [93.162 National Health Service Corps Loan Repayment](#)
44. [93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds](#)
45. [93.280 National Institute of Health Loan Repayment Program for Clinical Research](#)
46. [93.307 Health Disparities Research Loan Repayment Program](#)
47. [93.364 Nursing Student Loan program](#)
48. [93.569 Community Services Block Grant](#)
49. [93.571 Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs](#)
50. [93.908 Nursing Education Loan Repayment Program](#)

Program Text for 10.352

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10.352 Value-Added Producer Grants

(VAPG)

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agriculture Risk Protection Act of 2000, Title VII, Public Law 106-224, as amended; The Farm Security and Rural Investment Act of 2002, Title VII, Public Law 107-171.

OBJECTIVES:

To assist eligible independent agricultural commodity producers, agriculture producer groups, farmer and rancher cooperatives, and majority-controlled producer-based businesses in developing strategies and business plans to further refine or enhance their products, thereby increasing their value to end users and increasing returns to producers.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

The VAPG program offers two types of grants. Planning grants provide funding for the activities necessary to determine the viability of a potential value-added venture, including feasibility studies and business plans. Working capital grants provide funds to pay the day-to-day expenses of the venture associated with marketing a value-added product. An application may be for either a Planning Grant or a Working Capital Grant, but not both.

Applicant Eligibility:

Eligible applicants are independent producers, agriculture producer groups, farmer- and rancher-cooperatives, and majority-controlled producer-based business ventures.

Beneficiary Eligibility:

Agricultural producers.

Credentials/Documentation:

Applicants must provide a budget supporting the work plan that details all sources and uses of funds during the project period. Applicants are required to verify matching funds, both cash and in-kind, such that USDA can verify all representations. Applicants must certify that matching funds will be available at the time grant funds are received. Matching funds must be spent in advance of grant funds.

Preapplication Coordination:

An environmental impact statement is not required for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

USDA will solicit applications on a competitive basis by publication of a notice in the Federal Register and through the Grants.gov Federal Web site. Unless otherwise specified, applicants must file an original and one copy of the proposal and all required forms or as otherwise instructed in the annual announcement. An applicant must submit Form SF-424, Form SF-424A, Form SF-424B, and other information as directed. Each proposal must contain the following elements and any additional elements published in the announcement: (1) Title Page; (2) Table of Contents; (3) Executive Summary, including a clear statement whether the application is for a Planning Grant or a Working Capital Grant and the amount requested; (4) Eligibility; (5) Proposal Narrative including, but not limited to: (i) Project Title; (ii) Information Sheet; (iii) Goals of the Project; (iv) Work Plan; (v) Performance Evaluation Criteria; (vi) Proposal Evaluation Criteria; (6) Verification of Matching Funds; and (7) Certification that matching funds will be available at the same time grant funds are anticipated to be spent and that matching funds will be spent in advance of grant funding.

Award Procedure:

The Agency conducts an initial screening of all proposals to determine eligibility and completeness to allow for an informed review. Applications will be evaluated by experts selected by the Agency. After evaluation and scoring according to established criteria, the Administrator of Rural Business Cooperative Service reserves the right to award limited additional points: to ensure geographic distribution, for innovative projects, or to projects in underserved areas. Applications are funded in rank order until all available funds have been obligated. Final processing of the awards and monitoring of projects are the responsibility of the appropriate Rural Development State Office.

Deadlines:

Published in the Federal Register.

Range of Approval/Disapproval Time:

From 100 to 150 days after the application is filed with the Rural Development-Cooperative Programs National Office.

Appeals:

Applicants may appeal any adverse decisions to USDA's National Appeals Division.

Renewals:

None.

Formula and Matching Requirements:

7 CFR 4284.908 Use of grant and matching funds: (b) Grant funds may be used to pay up to 50 percent costs. Applicants will be required to contribute at least 50 percent in cash or in-kind contributions. The maximum grant amount of a planning grant is \$100,000 and of a working capital grant is \$300,000. Matching funds include cash or confirmed funding commitments from nonfederal sources. In-kind contributions that conform to the provisions of 7 CFR 3015.50 and 7 CFR 3019.23, as applicable, can be used as matching funds.

Examples of in-kind contributions include volunteer services furnished by professional and technical personnel, donated supplies and equipment, and donated office space. Matching funds must be provided in advance of grant funding such that for every dollar of grant advanced not less than an equal amount of matching funds shall have been funded prior to submitting the request for reimbursement. Matching funds are subject to the same use restrictions as grant funds. Funds used for an ineligible purpose will not be considered matching funds.

Length and Time Phasing of Assistance:

Grant funds may be disbursed over a period of a year after obligation. No-cost extensions may be granted on a limited basis at the discretion of the Agency.

Reports:

Progress reports must be submitted to the Rural Development State Office as specified in the grant agreement. A final report must be submitted to the Rural Development State Office within 90 days after the project has been completed.

Audits:

In accordance with the provisions of OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular A-133. Those

nonfederal entities exempt from Circular A-133 are subject to the audit requirements outlined in the Grant Agreement.

Records:

The Grantee will maintain adequate records and accounts to assure that grant and matching funds are used for authorized purposes. Records required by Federal law and regulations will also be maintained by the Rural Development State Office and the Rural Development-Cooperative Programs National Office.

Account Identification:

12-1900-0-1-452.

Obligations:

FY 07 \$21,637,622; FY 08 est. \$19,000,000; and FY 09 est. not reported.

Range and Average of Financial Assistance:

Grants can be awarded for any amount up to \$100,000 for Planning Grants and up to \$300,000 for Working Capital Grants.

PROGRAM ACCOMPLISHMENTS:

Fiscal year 2007 funds were awarded to 157 recipients.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Parts 1951; 7 CFR 3015; 7 CFR 3019; 7 CFR 4284, Subpart A--General Requirements for Cooperative Services Grant Programs, 4284.1 through 4284.100; and, Subpart J - Value-Added Producer Grants, 4284.901 through 4284.1000. See the RBS website for additional information. You may also contact Rural Development State and Local Offices and the Rural Business Cooperative Service Web site.

Regional or Local Office:

Contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog or check the RBS Web site.

Headquarters Office:

Deputy Administrator, Cooperative Services, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-7558. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov/rbs/>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grant funds are used to conduct feasibility studies, develop business

and marketing plans, and provide working capital for value-added ventures.

CRITERIA FOR SELECTING PROPOSALS:

Published in the Federal Register and through grants.gov.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 10.415

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10.415 Rural Rental Housing Loans

FEDERAL AGENCY:

RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 515 and 521, Public Law 102-550, 42 U.S.C. 1485, 1490a.

OBJECTIVES:

To provide economically designed and constructed rental and cooperative housing and related facilities suited for rural residents.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants.

USES AND USE RESTRICTIONS:

Loans can be used to construct, or to purchase and substantially rehabilitate rental or cooperative housing or to develop manufactured housing projects. Housing as a general rule will consist of multi-units with two or more family units and any appropriately related facilities. Funds may also be used to provide approved recreational and service facilities appropriate for use in connection with the housing and to buy and improve the land on which the buildings are to be located. Loans may not be made for nursing, special care, or institutional-type homes. Grants are in the form of rental assistance for some units within the underwritten property.

Applicant Eligibility:

Applicants may be individuals, cooperatives, nonprofit organizations, State or local public agencies, profit corporations, trusts, partnerships, limited partnerships, and be unable to finance the housing either with their own resources or with credit obtained from private sources. However, applicants must be able to assume the obligations of the loan, furnish adequate security, and have sufficient income for repayment. They must also have the ability and intention of maintaining and operating the housing for purposes for which the loan is made. Loans may be made in communities up to 10,000 people in

MSA areas and some communities up to 20,000 population in non-MSA areas. Applicants in towns of 10,000 to 20,000 should check with their local Rural Development; office to determine if the agency can serve them. Assistance is available to eligible applicants in States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the Northern Mariana's, and the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Occupants must be very low-, low- or moderate-income family households, elderly, handicapped, or disabled persons.

Credentials/Documentation:

Applicants must furnish evidence of the following: (a) A comprehensive market analysis showing the need for such service; (b) a legal capacity to incur the obligation and operate the housing; (c) a sound budget; (d) good credit history; (e) inability to obtain necessary funds from other sources on terms and conditions that would enable the applicants to rent the units for amounts that are within payment ability of eligible very low, low-, or moderate-income family, households, elderly, handicapped, or disabled occupants. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under E.O. 12372.

Application Procedure:

For FY 06 the Section 515 Program will be awarded through a Notice of Funding Availability (NOFA) announced in the Federal Register March 15, 2006. The NOFA application period is 60 days from the date of the announcement. The NOFA deadline is May 14, 2006.

Award Procedure:

Applications will be scored on the following factors (the factors are the same as last year's except for the additional requirement (shown in italics) that has been added to factor 4, the National Office initiative): (1) The presence and extent of leveraged assistance for the units that will serve RHS income-eligible tenants at basic rents comparable to those if RHS provided full financing, computed as a percentage of the RHS total development cost. (0 to 20 points); (2) the units to be developed are in a colonia, tribal land, EZ, EC, or REAP community, or in a place identified in the State Consolidated Plan or State Needs Assessment as a high need community for multifamily housing. ("State" refers to the State Government.) (20 points); (3) the loan request includes donated land meeting the provisions of 7 CFR 1944.215(r)(4). (5 points); and (4) in States where RHS has an on-going formal working relationship, agreement or Memorandum of Understanding (MOU) with the State to provide State resources (State funds, State RA, HOME funds, CDBG funds, or Low-Income Housing Tax Credits) for RHS proposals; or where the State provides

preference or points to RHS proposals in awarding such State resources, 20 points will be provided to loan requests that include such State resources in an amount equal to at least 5 percent of the total development cost. (National office initiative) (20 points).

Deadlines:

May 14, 2006.

Range of Approval/Disapproval Time:

Within 30 days, States will review and score the applications and submit a list of applications in rank and point score order to the National Office. Following the State's submission of applications to the National Office, within 30 days the National Office will rank applications on a nation-wide basis and advise States of the results.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Applicants may reapply at next Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

This program is now a Notice of Funding Availability (NOFA). Rural Development State Directors use needs criteria to establish a list of targeted communities for which applicants may request loan funds. Applications are then rated competitively in order to select recipients.

Length and Time Phasing of Assistance:

Loans are for up to 30 years at an effective 1 percent interest rate, and are amortized over 50 years. A current rate is used for the promissory note but thereafter is used only to determine maximum rent payments. Tenants pay basic rent or 30 percent of adjusted income, whichever is greater. RHS rental assistance subsidy can be used to limit tenant payment to 30 percent of their income. Loans made through contracts entered into on or after December 15, 1989 cannot be prepaid.

Reports:

Monthly progress reports are to be made to the Rural Development Manager, during the first six months of successful operation and each month thereafter until discontinued by the Rural Development Manager. Annual reports required thereafter.

Audits:

For borrowers not covered under OMB Circular No. A-133, annual audits conducted in accordance with Government Auditing Standards are required from borrowers with 25 or more units in any project. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement

OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Good business records required.

Account Identification:

12-4141-0-3-371; 12-2081-0-1-371.

Obligations:

(New Construction funds) FY 07 \$36,382,000 (for repair/rehab only); FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Loan limit per application is \$1 million. Total limit per State (reserve and set-aside funds are not included in this cap): \$2.5 million.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3560. Handbook 1-Loan Origination, Handbook 2-Asset Management, Handbook 3-Project Servicing.

Regional or Local Office:

Refer to the NOFA which lists Offices to contact or consult your local telephone directory for Rural Development county or district office number. If no listing, get in touch with appropriate Rural Development State office listed in Appendix IV of the Catalog or on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Director, Multi-Family Housing Processing Division, Rural Development, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1604. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405, Farm Labor Housing Loans and Grants; 10.411, Rural Housing Site Loans and Self-Help Housing Land Development Loans; 10.427, Rural Rental Assistance Payments.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 10.427

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10.427 Rural Rental Assistance Payments

(Rental Assistance)

FEDERAL AGENCY:

RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Section 521, Public Laws 90-448 and 93-128, 42 U.S.C. 1490a.

OBJECTIVES:

To reduce the tenant contribution paid by low-income families occupying eligible Rural Rental Housing (RRH), Rural Cooperative Housing (RCH), and Farm Labor Housing (LH) projects financed by the Rural Housing Service (RHS) through its Sections 515, 514 and 516 loans and grants.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Rental assistance may be used to reduce the rents paid by low-income senior citizens or families and domestic farm laborers and families whose rents exceed 30 percent of an adjusted annual income. Tenants who may be eligible must occupy units in eligible RRH, RCH and LH projects financed by RHS.

Applicant Eligibility:

To be eligible to participate in the rental assistance program, borrowers must have an eligible project. All projects must convert to Interest Credit Plan II before they are eligible, except direct RRH and insured RRH loans approved prior to August 1, 1968, and LH loans and grants. For a borrower to have an eligible project, the loan must be an RRH insured or direct loan made to a broad-based nonprofit organization, or State or local agency or; an RRH insured loan made to an individual or organization who has or will agree to operate the housing on a limited profit basis as defined in 7 CFR 3560.254 (a) or;

an RCH insured or direct loan or; an LH loan, or an LH loan and grant combination made to a broad-based nonprofit organization or nonprofit organization of farm workers or a State or local public agency. New construction and/or rehabilitation projects, utilizing the Section 8 program from HUD will not be considered eligible projects, although it may be used for eligible families in existing projects utilizing Section 8 for part of the units.

Beneficiary Eligibility:

Any very low and low-income family, handicapped or senior citizen that is unable to pay the approved rental rate for an eligible RHS rental assistance unit within 30 percent of their adjusted monthly income. Households eligible for rental assistance are those 1) whose net tenant contribution to rent, determined in accordance with 3560.203 (a)(2), is less than the basic rent for the unit; 2) whose head of the household is a U.S. citizen or a legal alien as defined in 3560.11; 3) who meet the occupancy rules established by the borrower in accordance with 3560.155 (e); and 4) who have a signed, unexpired tenant certification form on file with the borrower.

Credentials/Documentation:

The applicant must be the owner or plan to become an owner of an eligible RHS RRH,RCH, or LH project operating on a limited profit or nonprofit basis. This program is excluded from coverage under 7 CFR 3015 or 3016.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under 7 CFR 3015 or 3016.

Application Procedure:

Eligible borrowers will initiate the processing by submitting Form RD 3560-25, "Request for Rental Assistance". Applications shall then be reviewed under the procedure set forth in 7 CFR 3560.255. This program is excluded from coverage under 7 CFR 3015 or 3016.

Award Procedure:

After the application has been reviewed by the Rural Development Manager, it is given final approval by the State Director. The State Director will determine the number of rental assistance units to be given from the total of units that were allocated to the State. Projects may be eligible for rental assistance for up to 100 percent of the number of units in the project.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 90 days from the time Form RD 1944-25, "Request for Rental Assistance" is filed.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application under the provisions of RD Instruction 1900-B.

Renewals:

Rental assistance contracts for new as well as existing projects may be renewed for at the end of the original contract subject to the availability of funds.

Formula and Matching Requirements:

The statistical factors used for fund allocation are: (1) State percentage of RHS rural population and the source is "2000 Census" updated by ERS; (2) State percentage of RHS rural housing units lacking plumbing and/or overcrowded and the source is "2000 Census"; and (3) State percentage of persons in poverty living in RHS rural areas and the source is "2000 Census."

Length and Time Phasing of Assistance:

Rental assistance contracts shall be funded subject to the availability of funds and appropriation language.

Reports:

The borrower must initially submit Form RD 3560-8, "Tenant Certification," for each tenant. Subsequent tenant certifications must be obtained annually and submitted to the Rural Development Manager. Quarterly progress reports are to be made to the Rural Development Manager during the first year of successful operation and each quarter thereafter until discontinued by the Rural Development Manager. An annual report will also be necessary.

Audits:

Borrowers not covered by OMB Circular No. A-133, are required to provide annual financial reports in accordance with 7 CFR 3560.308. This program is also subject to audit by the USDA Office of Inspector General. In accordance with the provisions of 7 CFR Part 3052, which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

Adequate operating records must be maintained by borrower.

Account Identification:

12-0137-0-1-604; 12-2002-0-1-604.

Obligations:

(Direct payments) FY 07 \$487,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Data unavailable at this time.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR Part 3560, Direct Multi-Family Housing Loans and Grants.

Regional or Local Office:

Consult your local telephone directory for Rural Development office number. If no listing, contact the appropriate Rural Development State office listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Multi-family Housing Portfolio Management Division, Rural Housing Programs (RP), Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1615. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.405, Farm Labor Housing Loans and Grants; 10.415, Rural Rental Housing Loans.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

Program Text for 10.446

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10.446 Rural Community Development Initiative

FEDERAL AGENCY:

RURAL DEVELOPMENT, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2005.

OBJECTIVES:

To develop the capacity and ability of private, nonprofit community-based housing and community development organizations, and low income rural communities to improve housing, community facilities, community and economic development projects in rural areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Rural Community Development Initiative grants may be used for but are not limited to (a) training sub-grantees to conduct a program on home-ownership education; (b) training sub-grantees to conduct a program for minority business entrepreneurs; (c) providing technical assistance to sub-grantees on how to effectively prepare a strategic plan; (d) provide technical assistance to sub-grantees on how to access alternative funding sources; (e) building organizational capacity through board training; (f) developing training tools, such as videos, workbooks, and reference guides to be used by the sub-grantee; (g) providing technical assistance and training on how to develop successful child care facilities; and (h) providing training on effective fundraising techniques.

Applicant Eligibility:

Rural Community Development Initiative grants may be made to a legally qualified private or public (including tribal) organization that provides technical assistance to nonprofit community-based housing and community development organizations, and low income rural communities. The grantee must provide a program of technical

assistance to the sub-grantee. The grantee must have been legally organized for a minimum of three years prior experience working with nonprofit organizations or low-income rural communities in the areas of housing, community facilities, or community and economic development. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam, American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palau, and the Federated States of Micronesia.

Beneficiary Eligibility:

Subgrantees must be legally organized private, nonprofit community-based housing and community development organizations, low income rural communities, and Federally recognized Indian Tribes.

Credentials/Documentation:

Have the legal, financial, administrative, and operational capacity relative to the activity for which assistance is requested. Have necessary background and experience with proven ability to perform responsibly in the areas of housing, community facilities, or community and economic development. Have the ability to work within established guidelines.

Preapplication Coordination:

A complete application for RCDI funds must include the following: (a) a summary page; (b) a detailed table of contents containing page numbers for each component of the application; (c) a project overview; (d) the evaluation criteria; (e) a separate one-page information sheet listing each of the evaluation criteria followed by the page numbers of all relevant material and documentation contained in the application which supports these criteria; (f) a breakdown of specific time increments and steps to accomplish goals; (g) a detailed breakdown of estimated costs and a project budget; (h) organizational documents for the grantee; (i) Form SF-424, Application for Federal Assistance; (j) Form SF-424B, Assurances - Non-Construction Programs; (k) Form AD-1047, Certification Regarding Debarment, Suspension, and Other Responsibility Matters Primary Covered Transactions; (l) Form AD-1048, Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions; (m) Form AD-1049, Certification Regarding Drug-Free Workplace Requirements; (n) Certification of Non- Lobbying Activities; (o) Standard Form LLL, Disclosure of Lobbying Activities; (p) Form RD 400-4, Assurance Agreement; and (q) report any association or relationship with Rural Development employees. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application procedures will be announced in the Federal Register and on the Grants.gov web site.

Award Procedure:

Award is made by the Administrator, Housing and Community Facilities Programs.

Deadlines:

Announced in Federal Register.

Range of Approval/Disapproval Time:

As required, 90 days to 120 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of the decision from the National Appeals Division, USDA.

Renewals:

Not applicable.

Formula and Matching Requirements:

The grantee must provide matching funds at least equal to the amount of the grant in the form of cash or committed funding. (No in-kind contributions.)

Length and Time Phasing of Assistance:

Proposals must be structured to utilize the Rural Community Development Initiative grant funds within 3 years from the execution of the Rural Community Development Initiative grant agreement.

Reports:

The grantee will perform self-evaluations by preparing quarterly financial and project performance reports in accordance with the grant agreement for RCDI.

Audits:

Periodic audits should be made as part of the grantee's system of financial management and internal control to meet terms and conditions of the grant. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Non-Profit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

The borrower must maintain adequate records and accounts related to the technical assistance performed.

Account Identification:

12-2081-0-1-371; 12-4215-0-3-371.

Obligations:

(Grants) FY 07 \$6,200,000; FY 08 est \$6,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$50,000 to \$500,000. Average: \$250,000.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 38 grants were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations 7 CFR 3015, 7 CFR 3016, 7 CFR 3019, 7 CFR 3052; H.R. 5426 Guidelines announced in NOFA, published in the Federal Register.

Regional or Local Office:

Consult your local telephone directory for Rural Development state office numbers. If no listing, contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Administrator, Community Programs, Housing and Community Facilities Programs, Department of Agriculture, Washington, DC 20250- 3222. Telephone: (202) 720-1490.

Web Site Address:

<http://www.rurdev.usda.gov/rhs/rcdi/index.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Grants were made to (a) hire a staff person to provide technical assistance to the sub-grantee; (b) the sub-grantee organization hires a staff person, under the supervision of the grantee, to carry out the technical assistance; (c) develop the capacity of sub-grantees to conduct community development programs; (d) develop the capacity of sub-grantees to conduct home-ownership education programs; and (e) develop the capacity of sub-grantees to conduct training for minority business entrepreneurs.

CRITERIA FOR SELECTING PROPOSALS:

Factors considered for project selection include but are not limited to median household income, rural population, and points for evaluation criteria.

Program Text for 10.447

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10.447 The Rural Development (RD) Multi-Family Housing Revitalization Demonstration Program (MPR)

(Restructuring Program)

FEDERAL AGENCY:

RURAL HOUSING SERVICE (RHS), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Housing Act of 1949, as amended, Sections 514/516 and 515, and The Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2008 (Public Law 110-161)

OBJECTIVES:

To preserve and revitalize existing rural rental housing and farm labor housing projects financed by RHS under Section 515 and Sections 514/516 of the Housing Act of 1949 and to ensure that sufficient resources are available in order to continue to provide safe and affordable housing for low-income residents.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds will be used to meet the physical needs of rental and farm labor housing properties financed under Section 515 and Sections 514/516 of the Housing Act of 1949. Related soft costs are also eligible. Owners or buyers are required to agree to a Restrictive Use Covenant for 20 years or the remaining term of any loans, or the remaining term of any existing restrictive-use provisions whichever ends later. This ensures the property will be used for low income housing as defined by the Housing Act of 1949.

Applicant Eligibility:

Owners or buyers of financially viable Section 515 financed rental or Section 514/516 labor housing properties.

Beneficiary Eligibility:

Low-income rural residents needing safe, decent and sanitary rental housing.

Credentials/Documentation:

Applicants must provide a Capital Needs Assessment (CNA) to identify the physical needs of the property as well as the estimated cost to make the needed repairs over a 20-year period.

Preapplication Coordination:

Multi-Family Housing Revitalization Demonstration Program (MPR) Pre-application. An electronic version of this form can be found on the internet at <http://www.rurdev.usda.gov/rd/nofas/index.html> or obtained by contacting the RD State Office in the state where the project is located. This program is excluded from coverage under E.O.12372.

Application Procedure:

For FY 08, the MPR Program will be awarded through a Notice of Funding Availability announced in the Federal Register on March 12, 2008. The NOFA pre-application period is 60 days from the date of the announcement. The NOFA deadline is May 12, 2008.

Award Procedure:

Pre-applications will be scored on the following factors: (1) Contribution of third party funds; (2) Owner contribution sufficient to pay transaction costs; (3) Age of Project; (4) Transfer and revitalization of troubled projects; (5) Prior Agency approvals of CNAs; (6) Installation of energy generation systems; (7) Energy conservation; and (8) New tenant services to be provided by a non-profit organization at no cost to the project and that are available to all tenants.

Deadlines:

May 12, 2008.

Range of Approval/Disapproval Time:

Within 45 days from Pre-application submission, pre-applications will be scored and ranked, eligibility will be confirmed, and applicants will be notified of selection for participation and requested to submit full applications.

Appeals:

Applicant may request reconsideration on the basis of pertinent facts concerning the application.

Renewals:

Applicants may reapply at the next Notice of Funding Availability (NOFA).

Formula and Matching Requirements:

This program is now a Notice of Funding Availability (NOFA). This is a demonstration program.

Length and Time Phasing of Assistance:

Debt deferral is the lesser of the remaining term of the existing loan or 20 years. A balloon payment of accrued principal and interest will be due at the end of the deferral period. A revitalization grant for non-profit applicants/borrowers only, is limited to the cost of correcting health and safety violations as identified by a CNA. A revitalization zero percent loan will be amortized over 30 years. A soft-second loan with a one percent interest rate will have its interest and principal deferred, to a balloon payment, due at the time the latest maturing Section 514 or 515 loan becomes due. An additional 30-year Section 515 loan at an effective one percent interest rate amortized over a period not to exceed 50 years. An additional 33-year Section 514 loan at an effective one percent interest rate amortized over a period not to exceed 33 years. An additional Section 516 grant not to exceed the lesser of 90 percent of the total development cost, or that portion of the total development cost which exceeds the sum of any amount provided by the applicant from their own resources plus the amount of any Section 514 loans approved for the applicant.

Reports:

Quarterly or annual financial reports.

Audits:

Quarterly or annual financial statements completed using agreed-upon procedures and performance standards described in the RHS Multi-Family Housing Audit Program.

Records:

Business records must be retained.

Account Identification:

12-2081-0-1-371.

Obligations:

FY 07 \$0; FY 08 \$20,000,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

The underwriting guidelines include, but are not limited to, the following: The maximum soft-second loan will be limited to no more than \$5,000 per unit; revitalization grants limited to \$5,000 per unit; total assistance provided from a revitalization grant, revitalization zero percent loan, and/or a soft-second loan is limited to \$10,000 per unit; and the maximum Section 515 loan or Section 514/516 loan and grant is limited to no more than \$20,000 per unit.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2007, 87 properties received assistance for revitalization and preservation.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Refer to the NOFA which lists contacts at the National Office and consult your local telephone directory for Rural Development District or State Office number. Also, contact information is available on the internet at http://www.rurdev.usda.gov/recd_map.html.

Headquarters Office:

Office of Rental Housing Preservation, Attention: Sandra L. Mercier, Rural Development, Department of Agriculture, Washington, DC 20250. Telephone: (202) 720-1617.

Web Site Address:

<http://www.rurdev.usda.gov/>.

RELATED PROGRAMS:

10.415, Rural Rental Housing Loans.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Proposals will be selected based on eligibility and ranking and scoring criteria listed in the NOFA.



Program Text for 10.760

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10.760 Water and Waste Disposal Systems for Rural Communities

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

To provide basic human amenities, alleviate health hazards and promote the orderly growth of the rural areas of the nation by meeting the need for new and improved rural water and waste disposal facilities.

TYPES OF ASSISTANCE:

Project Grants; Direct Loans; Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Funds may be used for the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and costs related thereto, and the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection, and treatment of sanitary, storm, and solid wastes. Grant funds may not be used to pay: interest on loans, operation and maintenance costs, or acquisition or refinancing of an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. However, no repayment period will exceed any statutory limitation or the organization's borrowing authority or the useful life of the improvement or facility to be financed. There are currently three interest rates for direct loans: a 4.5 percent rate when the loan is required to meet health or sanitary standards and the median household income of the service area is below the higher of the poverty line or 80 percent of the statewide nonmetropolitan median household income; an intermediate rate halfway between 4.5 percent and market rate if the median household income of the service area is not more than 100 percent of the

nonmetropolitan median household income of the State; and a market rate for those applicants that do not qualify for 4.5 percent or the intermediate rate. The interest rate on guaranteed loans is negotiable between the applicant and the lender.

Applicant Eligibility:

Municipalities, counties, other political subdivisions of a State such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on Federal and State reservations and other Federally recognized Indian tribes. The applicant must: (1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. Plans and specifications must be developed to comply with State and local health and pollution regulations and other requirements. Grants are made only when necessary to reduce the average annual benefited user charges to a reasonable level. Normally, grants are considered only when the debt service portion of the cost to grant eligible users exceeds the following percentages of median household incomes (MHI) for the applicant service area: 0.5 percent when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's non-metropolitan household income (NMHI); 1.0 percent when the NMHI of the service area exceeds the 0.5 percent requirement but is not more than 100 percent of the State's NMHI; no RUS grant funds will be used in any project when the MHI of the service area is above the poverty line and more than 100 percent of the State's NMHI. The RUS grant may not exceed 75 percent of the eligible project development cost when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the State's NMHI and the project is necessary to alleviate a health or sanitary problem; 45 percent when the MHI of the service area exceeds the 75 percent requirements, but is not more than 100 percent of the State's NMHI. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility:

Farmers, ranchers, rural residents, rural businesses and other users in eligible applicant areas.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial

responsibility relative to the activity for which assistance is requested. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments and OMB Circular No. A-122 for nonprofit organizations.

Preapplication Coordination:

The standard application forms furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

USDA Rural Development (RD) offices administer the program on the local level. Application Form SF-424 is filed at the local RD Office from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

After the application has been reviewed at the local level, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds are made available to the applicant.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the RD or RUS National level.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula. Funds are allocated to States based upon rural population, number of households in poverty, and unemployment. This program has no matching requirements.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of RUS loan or grant

funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period.

Reports:

Periodic reports are to be made to the RD servicing official.

Audits:

In accordance with the provisions of OMB Circular No. A- 133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records and accounts are required to reflect the operations of the facility.

Account Identification:

(Loans) 12-4155-0-3-452; (Grants and Loans) 12-0400-0-1-452.

Obligations:

Direct Loans) FY 07 est \$990,000,000; FY 08 est \$1,080,236,000; and FY 09 est not reported. (Guaranteed Loans) FY 07 est \$75,000,000; FY 08 est \$75,000,000; and FY 09 est not reported. (Grants) FY 07 est \$349,385,000; FY 08 est. \$349,385,000; and FY 09 est not reported. Note: Technical Assistance and Training (TAT) and grants earmarked under Section 306C of the Consolidated Farm and Rural Development Act to Note: Colonias are included in these estimates.

Range and Average of Financial Assistance:

(FY 2005) (Direct Loans) \$3,400 to \$9,999,900; \$1,064,000; (Grants) \$600 to \$8,090,000; \$619,000.

PROGRAM ACCOMPLISHMENTS:

It is estimated that 800 direct, 2 guaranteed loans, and 700 grants will be made in fiscal year 2007. It is estimated that 900 direct, 2 guaranteed loans, and 800 grants will be made in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1780, Water and Waste Loans and Grants, 7 CFR 1779, Water and Waste Disposal Program Guaranteed Loans.

Regional or Local Office:

Consult your local telephone directory for Rural Development local

number. If no listing, get in touch with the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

11.300, Investments for Public Works and Economic Development Facilities; 14.218, Community Development Block Grants/Entitlement Grants.

EXAMPLES OF FUNDED PROJECTS:

The following areas received funds for specified projects: Construct new water system consisting of water lines, pumping stations, wells, storage tanks and treatment plants; water system improvements consisting of additional water lines, new water treatment facility and booster pump; renovation of existing water system which includes new distribution lines, wells and pressure tanks; construct new waste water collection and treatment systems; replace waste water treatment plant and improve waste water collection lines; rehabilitate waste water collection lines and construct lift station; purchase site for landfill; and purchase trucks and equipment for solid waste disposal.

CRITERIA FOR SELECTING PROPOSALS:

In selecting applications for funding, the agency cooperates with appropriate State agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as: Population; low income unemployment; and health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the State, multi-jurisdictional area, county, or municipality in which the proposed project is located.

Program Text for 10.762

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10.762 Solid Waste Management Grants

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 101-624, 7 U.S.C. 1932.

OBJECTIVES:

To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used to: Evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural areas which are closed or will be closed in the near future with the development/implementation of closure plans, future land use plans, safety and maintenance planning, and closure scheduling within permit requirements. Grant funds may not be used to: Recruit pre-applications/applications for any loan and/or grant program including RUS Water and Waste Disposal Loan and/or Grant Program; duplication of current services, replacement or substitution of support previously provided such as those performed by an association's consultant in developing a project; fund political activities; pay for capital assets, the purchase of real estate or vehicles, improve and renovate office space, or repair and maintain privately-owned property; pay for construction or operation and maintenance costs of water and waste facilities; and

pay costs incurred prior to the effective date of grants made under this subpart.

Applicant Eligibility:

Entities eligible for grants are nonprofit organizations, including: Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service (IRS); and public bodies including local governmental-based multijurisdictional organizations. Applicants must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

Beneficiary Eligibility:

Municipalities, counties, districts, authorities, and other political subdivisions of a State, organizations operated on a not-for-profit basis, such as associations, cooperatives, and private nonprofit corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes.

Credentials/Documentation:

This program is subject to the provisions of OMB Circular Nos. A-87, and A-122.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. An environmental impact assessment is required for this program.

Application Procedure:

This program is subject to the provisions of OMB Circular Nos. A-87, A-102, A-110, and A-122. Preapplication Form SF 424.1, will be filed with the appropriate Rural Development (RD), formerly FMHA, State or Rural Utilities Service (RUS) National Office between October 1 and December 31 each fiscal year.

Award Procedure:

Pre-applications received by an RD State Office will be reviewed and forwarded with any written comments within seven working days to the RUS approval official.

Deadlines:

Pre-applications must be filed between October 1 and December 31 of each fiscal year in which funds are appropriated.

Range of Approval/Disapproval Time:

Form AD-622, "Notice of Preapplication Review Action," will normally be issued within 45 days after December 31 of each year. SF-424.1, "Application for Federal Assistance (for Non-Construction)," will be submitted by the applicant upon notification of funding eligibility.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of USDA.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less.

Reports:

All grantees will submit quarterly reports to the RUS approval official.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," non federal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least three years after closing.

Account Identification:

12-0400-0-1-452.

Obligations:

(Grants) FY 07 \$3,465,000; FY 08 est \$3,465,000; and FY 09 est not reported.

Range and Average of Financial Assistance:

\$18,000 to \$205,000. Average: \$86,625.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2006, 39 grants were made; FY 2007, 40 grants were made.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1775, Technical Assistance and Training Grants.

Regional or Local Office:

Consult your local telephone directory for RD District Office number. If no listing, get in touch with the appropriate RD State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Assistant Administrator, Water and Environmental Programs, Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: (202) 690-2670.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Recipients of this program received funds to administer technical assistance in areas of solid waste management to small communities.

CRITERIA FOR SELECTING PROPOSALS:

Grant funds will be directed to those applicants who; (1) Have demonstrated ability to provide technical assistance on a regional basis to rural communities; (2) propose to assist small, low income communities; (3) propose to serve multi-State, regional or nationwide areas; (4) the extent to which the proposed project is cost effective; and (5) meet the objectives of RUS Water and Waste Disposal Program.

Program Text for 10.766

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10.766 Community Facilities Loans and Grants

FEDERAL AGENCY:

RURAL DEVELOPMENT (RD), DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 306, Public Law 92-419, 7 U.S.C. 1926.

OBJECTIVES:

To construct, enlarge, extend, or otherwise improve community facilities providing essential services to rural residents.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans; Direct Loans; Project Grants.

USES AND USE RESTRICTIONS:

Community facilities include but are not limited to those providing or supporting overall community development such as child care facilities, food recovery and distribution centers; assisted-living facilities; group homes, mental health clinics, and shelters; and education facilities. Projects comprise, community, social, cultural, transportation, industrial park sites, fire and rescue services, access ways, and utility extensions. All facilities financed in whole or in part with RHS funds shall be for public use.

Applicant Eligibility:

City, county, and State agencies; political and quasi-political subdivisions of States and associations, including corporations, Indian tribes on Federal and State reservations and other federally recognized Indian tribes; and existing private corporations which: (1) are operated on a not-for-profit basis; (2) have or will have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service and for obtaining, giving security for, and repaying the loan; and (3) are unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms. Assistance is authorized for eligible applicants in rural areas of the States, Puerto Rico, the Virgin Islands, Guam,

American Samoa, the commonwealth of the Northern Mariana Islands, the Marshall Islands, the Republic of Palaw, and the Federated States of Micronesia.

Beneficiary Eligibility:

Farmers, ranchers, rural residents, rural businesses, and other users of such public facilities in eligible applicant areas as set out above.

Credentials/Documentation:

Evidence of legal capacity and financial responsibility of the applicants and the economic feasibility of the project relative to the activity for which assistance is requested. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

The standard application forms as provided by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires in applying for assistance if the State has selected the project for review.

Application Procedure:

For direct loans and grants, applicants must file Standard Form SF-424 and supporting documentation at the local Rural Development Area Office. For guaranteed loans, the lender must file For RD 3575-1 and supporting documentation.

Award Procedure:

After the preapplication has been reviewed by the Rural Development Area Office, it is forwarded to the Rural Development State Office for review and processing instructions. Following review by the State Office, the applicant is notified about eligibility, availability of funds, and if an application should be filed. Upon completion of application processing requirements and approval by the State Office, funds are made available to the Rural Development Area Office for delivery.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision.

Renewals:

Not applicable.

Formula and Matching Requirements:

Matching funds are not required but may be used in connection with the funds provided from the applicant or other sources. Funds are allocated to States based upon rural population, number of households below the poverty level, and rural unemployment. The statistical factor for eligibility is cities, towns or incorporated areas under 20,000 population for direct and guaranteed loans and 20,000 population for grants. The source is "Latest Decennial Census." This program has no statutory formula.

Length and Time Phasing of Assistance:

A time limitation is not specified for the use of Community Facilities loan or grant funds. Funds will be awarded when all program requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by RHS to cover expenses for a 30-day period.

Reports:

Periodic reports are made to Rural Development. Each borrower will monitor and report to RHS on actual performance during the construction of each project financed, or to be financed, in whole or in part with Community Facilities loan funds.

Audits:

Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of loans and other agreements. In accordance with the provisions of 7 CFR Part 3052, "Audits of States, Local Governments, and Nonprofit Organizations," which implement OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 7 CFR 3052.

Records:

The borrower must maintain adequate records and accounts of the operation of the facility developed.

Account Identification:

12-4155-0-3-452; 12-1951-0-1-452.

Obligations:

(Direct Loans) FY 07 \$300,000,000; FY 08 est not available; and FY 09 est not reported. (Guaranteed Loans) FY 07 \$210,000,000; FY 08

est not available; and FY 09 est not reported. (Grants) FY 07 est \$17,000,000; FY 08 est not available; and FY 09 est not reported.

Range and Average of Financial Assistance:

Direct Loans: \$3,000 to \$11,000,000. Average: \$847,971. Guaranteed Loans: \$20,000 to \$17,000,000. Average: \$2,377,243. Grants: \$570 to \$120,750. Average: \$36,753.

PROGRAM ACCOMPLISHMENTS:

Not available.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, subpart A, Community Facilities Loans; 7 CFR 1942, subpart C, Fire and Rescue Loans; 7 CFR 3575, subpart A, Community Facilities Guaranteed Loans; 7 CFR 3570, subpart B, Community Facilities Grants.

Regional or Local Office:

Consult your local telephone directory for Rural Development county or district office numbers. If no listing, contact the appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Deputy Administrator, Community Programs, STOP 0788, Department of Agriculture, 1400 Independence Avenue SW, Washington, DC 20250-0788. Telephone: (202) 720-1490.

Web Site Address: <http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.500, Cooperative Extension Service; 10.664, Cooperative Forestry Assistance; 15.124, Indian Loans_Economic Development.

EXAMPLES OF FUNDED PROJECTS:

Loans were made to: (1) establish rural health clinics in medically underserved areas; (2) purchase fire fighting, rescue, and public safety equipment for rural areas; (3) construct new municipal buildings; (4) build new schools to serve rural communities; and (5) renovate hospitals to meet current life/safety codes.

CRITERIA FOR SELECTING PROPOSALS:

Projects are selected for funding giving due consideration to State development strategies, serving the largest number of low income rural residents, and priority recommendations. Priority for funding will be given to those projects that will enhance public safety such as fire, police, rescue, and ambulance services, and projects for health care facilities.



Program Text for 10.768

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10.768 Business and Industry Loans

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 92-419, 7 U.S.C. 1932.

OBJECTIVES:

To assist public, private, or cooperative organizations (profit or nonprofit), Indian tribes or individuals in rural areas to obtain quality loans for the purpose of improving, developing or financing business, industry, and employment and improving the economic and environmental climate in rural communities including pollution abatement and control.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

Guaranteed loans may be extended for: (a) modernization, development costs; (b) purchasing and development of land, easements, rights-of-way, buildings, facilities, leases or materials; (c) purchasing equipment, leasehold improvements, machinery and supplies; (d) projects involving agricultural production, when not eligible for Farm Service Agency farmer program assistance and when it is part of an integrated business also involved in the processing of agricultural products and the agricultural production portion of the loan does not exceed the lesser of 50 percent of the total loan or \$1 million; and (e) pollution control and abatement. Maximum loan size is \$25 million. For cooperative organizations under certain conditions, the maximum loan size is \$40 million. Maximum time allowable for final maturity is limited to 30 years for land and buildings, the usable life of machinery and equipment purchased with loan funds, not to exceed 15 years, and 7 years for working capital. Interest rates for guaranteed loans are negotiated

between the lender and the borrower. For loans of \$5 million or less, the maximum percentage of guarantee is 80 percent. For loans over \$5 million but not over \$10 million, the maximum percentage of guarantee is 70 percent. For loans in excess of \$10 million up to \$40 million, the maximum percentage of guarantee is 60 percent. Losses on principal advanced, including protective advances, and accrued interest, may be guaranteed by the Agency, but the maximum loss paid by RBS will never exceed the original principal plus accrued interest. Loans may not be made for: (a) distribution or payment to the owner, partners, shareholders, or beneficiaries of the applicant or members of their families when such persons shall retain any portion of their equity in the business; (b) the transfer of ownership of a business unless the loan will keep the business from closing, or prevent the loss of employment opportunities in the area, or provide expanded job opportunities; (c) the guarantee of lease payments; (d) charitable institutions, churches, fraternal organizations, lending and investment institutions and insurance companies; (e) any legitimate business activity where more than 10 percent of the annual gross income is derived from legalized gambling; (f) the guarantee of loans made by other Federal agencies except those made by Banks for Cooperatives, Federal Land Bank or Production Credit Associations; (g) golf courses; (h) racetracks where individual prizes are awarded in the amount of \$500 or more; (i) owner-occupied housing; (j) projects eligible for the Rural Rental Housing and Rural Cooperative Housing loans under sections 515, 521, and 538 of the Housing Act of 1949, as amended; and (k) any project which is likely to result in the transfer of business or employment from one area to another or cause production which exceeds demand. Interested parties should contact the Rural Business-Cooperative Service (RBS) or the nearest Rural Development State Office which administers the programs at the local level. Insurance companies; (e) any legitimate business activity where more Than 10 percent of the annual gross income is derived from legalized gambling; (f) the guarantee of loans made by other Federal agencies except those made by Banks for Cooperatives, Federal Land Bank or Production Credit Associations; (g) golf courses; (h) racetracks where individual prizes are awarded in the amount of \$500 or more; (i) owner-occupied housing; (j) projects eligible for the Rural Rental Housing and Rural Cooperative Housing loans under sections 515, 521, and 538 of the Housing Act of 1949, as amended; and (k) any project which is likely to result in the transfer of business or employment from one area to another or cause production which exceeds demand. Interested parties should contact the Rural Business-Cooperative Service (RBS) or the nearest Rural Development State Office which administers the programs at the local level.

Applicant Eligibility:

An applicant may be a cooperative, corporation, partnership, trust, or other legal entity organized and operated on a profit or nonprofit basis; an Indian tribe; a municipality, county, or other political subdivision of a State; or individuals in rural areas. Applicants for

guaranteed loans must be located in one of the fifty States, Puerto Rico, Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of Palau, the Federated States of Micronesia, or the Republic of the Marshall Islands in rural areas other than a city, having a population of fifty thousand or more and its contingent adjacent urbanized area, as determined by the Secretary of Agriculture according to the latest decennial census of the United States. Preference is given to loans in open country, rural communities and towns with populations of 25,000 or less, and, on applications of equal priority, to veterans. Applicants must be U.S. citizens or reside in the U.S. after being legally admitted for permanent residence and, if corporations, at least 51 percent owned by such individuals.

Beneficiary Eligibility:

Beneficiaries include cooperatives, corporations, partnerships, trust or legal entities organized and operated for profit or nonprofit, Federally recognized Indian Tribal Governments and individuals in rural cities and towns of less than 50,000 population.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. All pre-application letters must be coordinated fully with appropriate State agencies in keeping with E.O. 12372, "Intergovernmental Review of Federal Programs," in a manner that will assure maximum support of the State's strategies for development of its rural areas. The application form as furnished by the Federal agency must be used for this program. An environmental assessment is required and an environmental impact statement may be required for this program. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Form 4279-1 is used for guaranteed loans and filed at the Rural Development State Office. These programs are excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Applications are forwarded to the Rural Development State Director for review and final approval.

Deadlines:

None.

Range of Approval/Disapproval Time:

From 60 to 120 days.

Appeals:

If an application is denied, the reasons for denial are fully stated. The lender or applicant may individually or jointly request mediation or an appeal hearing by the National Appeals Staff within 30 days provided the request is in writing. The requestor and State Director are notified of the decision by the Hearing Officer.

Renewals:

None.

Formula and Matching Requirements:

Matching funds are not required. For existing businesses, applicants are required to provide a minimum of 10 percent tangible balance sheet equity for guaranteed loans. For new businesses, at least 20 percent is required for guaranteed loans. Feasibility studies are normally required. Exceptions to the feasibility study requirement may be made to businesses with adequate financial history.

Length and Time Phasing of Assistance:

The letter of conditions for the direct loan and the Conditional Commitment for the guaranteed loan specifies the time limit for the use of funds. The Loan Note Guarantee for a guaranteed loan will be issued when all of the requirements of the Conditional Commitment have been or will be met.

Reports:

Each guaranteed lender will be required to monitor and report to RBS on actual performance during the construction of each project financed, in whole or in part, with RBS assistance. When the project is in operation, field visits will be made and customary financial statements provided as determined by the guaranteed lender and RBS.

Audits:

Independent accountant compiled or reviewed financial statements prepared in accordance with Generally Accepted Accounting Principles are required annually for guaranteed loans and for direct loans with a principal and interest balance of less than \$ 1 million. RBS may require audited statements for guaranteed loans in excess of \$3 million.

Records:

Records and accounts must be maintained to reflect the operations of the project.

Account Identification:

12-4155-0-3-452; 12-0400-0-1-452.

Obligations:

(Guaranteed Loans) FY 06 \$766,314,301; FY 07 \$830,435,486; and FY 08 est \$990,000,000.

Range and Average of Financial Assistance:

\$35,000 to \$17.5 million for guaranteed loans. \$2.0 million (average size) for B&I guaranteed loans.

PROGRAM ACCOMPLISHMENTS:

There were 350 guarantees made in fiscal year 2006. There was 390 guarantees made in fiscal year 2007 and it is anticipated 395 guarantees made in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guaranteed Loans: 7 CFR Part 4279-A, Section 4279.1 through 4279.100, Part 4279-B, Section 4279.101 through 4279.200, and Part 4287-B, Section 4287.101 through 4279.200.

Regional or Local Office:

Consult your local telephone directory for appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Administrator, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250-3201. Telephone: (202) 690-4730. Fax: (202) 690-4737.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.766, Community Facilities Loans and Grants; 10.769, Rural Business Enterprise Grants.

EXAMPLES OF FUNDED PROJECTS:

Loans made and guaranteed by RBS have been in order to assist a wide variety of manufacturing, retail, wholesale, and service businesses. Some recent loans and loan guarantees have assisted an agribusiness in expanding its service area, helped a radio station begin operations, constructed and equipped an ethanol plant, assisted a textile firm in modernizing and expanding its operations, and provided working capital and financing for machinery and equipment for a printing company.

CRITERIA FOR SELECTING PROPOSALS:

(1) Those projects that will save existing jobs, (2) improve existing

business and industry, (3) create the greatest number of permanent jobs, (4) contribute to the overall economic stability of rural areas.

General Services Administration
Integrated Acquisition Environment (IAE)

CFDA

The Catalog of Federal Domestic Assistance

Program Text for 10.769

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10.769 Rural Business Enterprise Grants

(RBEG)

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Consolidated Farm and Rural Development Act, as amended, Section 310B, Public Law 92-419, 7 U.S.C. 1989, Public Law 101- 624, Public Law 102-142, 7 U.S.C. 1932.

OBJECTIVES:

To facilitate the development of small and emerging private business, industry, and related employment for improving the economy in rural communities.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Rural business enterprise grant (RBEG) funds may be used to create, expand or operate rural distance learning networks or programs that provide educational or job training instruction related to potential employment or job advancement to adult students; develop, construct or acquisition land, buildings, plants, equipment, access streets and roads, parking areas, utility extensions, necessary water supply and waste disposal facilities; refinancing; services and fees; and to establish a revolving loan fund. Television demonstration grant (TDG) funds may be used for television programming to demonstrate the effectiveness of providing information on agriculture and other issues of importance to farmers and other rural residents. All uses must assist a small and emerging private business enterprise except for the TDG Program.

Applicant Eligibility:

Applicants eligible for RBE grants are public bodies and nonprofit

corporations serving rural areas such as States, counties, cities, townships, and incorporated towns and villages, boroughs, authorities, districts and Indian tribes on Federal and State reservations which will serve rural areas. Applicants eligible for TD grants are statewide, private, nonprofit, public television systems whose coverage is predominantly rural. Rural area for this program is defined as a city, town, or unincorporated area that has a population of 50,000 inhabitants or less, other than an urbanized area immediately adjacent to a city, town, or unincorporated area that has a population in excess of 50,000 inhabitants.

Beneficiary Eligibility:

A small and emerging private business enterprise which will employ 50 or less new employees and has less than \$1.0 million in projected gross revenue. Public bodies, private non-profit corporations, and Federally recognized Indian tribes receive the grant to assist a business. Grants are not made directly to the business.

Credentials/Documentation:

Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested.

Preapplication Coordination:

The standard application forms as furnished by the Federal agency and required by OMB Circular Nos. A-110 and A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Preapplication Form SF-424 is filed at the Rural Development local office. The standard application forms as furnished by the Federal agency and required by OMB Circular Nos. A-110 and A-102 must be used for this program.

Award Procedure:

After the pre-application has been reviewed by the RD local office, it is forwarded to the RD State Office for review and processing instructions. Following approval by the State Office, funds are made available to the local office for final delivery. Notification of awards must be made to the designated State Central Information Reception Agency.

Deadlines:

None.

Range of Approval/Disapproval Time:

30 to 90 days.

Appeals:

If an application is rejected, the reasons for rejection are fully stated. Applicant may request a review of this decision from the next higher management level of Rural Business-Cooperative Service.

Renewals:

None.

Formula and Matching Requirements:

Funds are allocated to States based on rural population and percent of nonmetropolitan per capita income. On occasion, the allocation to States may not be practical due to funding or administrative constraints. In these cases, funds will be controlled by the National Office.

Length and Time Phasing of Assistance:

None.

Reports:

Periodic reports are made to RD.

Audits:

Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations", State, local governments or Nonprofit Organizations that expend Federal financial assistance of \$500,000 or more within the fiscal year shall have an audit made for that year.

Records:

The grantee shall maintain adequate records and accounts to assure that grant funds are used for authorized purposes.

Account Identification:

12-0400-0-1-452.

Obligations:

FY 06 \$41,807,120; FY 07 \$41,925,672; and FY 08 est \$44,223,000. Fiscal Year 2009, the program is not anticipated to be funded by Congress and is listed to go to the Department of Commerce.

Range and Average of Financial Assistance:

\$25,000 to \$500,000. Average: \$96,668.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 572 grants were made. It is anticipated that in fiscal year 2008, the level of activity will be approximately the same. The program is listed to go to Department of Commerce in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1942, Subpart G.

Regional or Local Office:

Consult your telephone directory for RD local office number. If no listing, get in touch with appropriate Rural Development State Office listed in Appendix IV of the Catalog.

Headquarters Office:

Director, Specialty Lenders Division, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250-3222. Telephone: (202) 720-1400. Use the same number for FTS.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

10.766, Community Facilities Loans and Grants; 10.768, Business and Industry Loans.

EXAMPLES OF FUNDED PROJECTS:

(1) a \$25,000 grant was made for business development in an Empowerment Zone; (2) a \$500,000 grant was made to establish a revolving loan fund; and (3) a \$200,000 grant was made for construction of a manufacturing building.

CRITERIA FOR SELECTING PROPOSALS:

Projects selected for funding should, as much as practical, adhere to the following priorities: (1) Projects which will be located in communities having a large portion of their population with low incomes; (2) projects which will save existing jobs; (3) projects which will create jobs; and (4) projects located in areas with high unemployment rate.

CFDA

The Catalog of Federal Domestic Assistance

Program Text for 10.854

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10.854 Rural Economic Development Loans and Grants

FEDERAL AGENCY:

RURAL BUSINESS-COOPERATIVE SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Rural Electrification Act of 1936, as amended, Title III, 7 U.S.C. 930-940c.

OBJECTIVES:

To promote rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects, and other reasonable expenses for the purpose of fostering rural development.

TYPES OF ASSISTANCE:

Direct Loans; Project Grants.

USES AND USE RESTRICTIONS:

Projects which promote rural economic development and job creation. For fiscal year 2007, maximum amount of grant is \$300,000; maximum amount of loan is \$740,000. Maximum term of loan is ten years at zero interest rate.

Applicant Eligibility:

Electric and telephone utilities that have current loans with the Rural Utilities Service (RUS) or Rural Telephone Bank loans or guarantees outstanding and are not delinquent on any Federal debt or in bankruptcy proceedings.

Beneficiary Eligibility:

Rural/General Public.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Application Form: OMB Standard Form 424. This program except for feasibility studies is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs." The RUS utility should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is excluded from coverage under OMB Circular No. A-102. An environmental review is conducted by the agency for the projects. An environmental assessment without scooping is occasionally required.

Application Procedure:

Submit application as described in 7 CFR 4280.39, no State plan required.

Award Procedure:

RBS selects projects to be funded. The funds pass through the RUS utility to rural economic development projects.

Deadlines:

Applications may be filed on any working day during the month.

Range of Approval/Disapproval Time:

Open ended.

Appeals:

None.

Renewals:

Renewal of same application for one year. Submit new or revised application as often as desired.

Formula and Matching Requirements:

This program requires supplemental funds in the amount of 20 percent of the RBS application amounts.

Length and Time Phasing of Assistance:

None.

Reports:

Reporting need established in 7 CFR 4280, Subpart A.

Audits:

Financial audit of the RUS utility is required.

Records:

Record retention requirements established in 7 CFR 4280, Subpart A.

Account Identification:

12-4230-0-3-271; 12-3108-0-1-452; 12-3105-0-1-452.

Obligations:

(Loans) FY 06 \$25,110,309; FY 07 \$26,167,000; and FY 08 est \$33,121,000. (Grants) FY 06 \$10,000,000; FY 07 \$9,963,000; and FY 08 est \$10,000,000. (Note: Grants to establish Revolving Loan Fund Programs.)

Range and Average of Financial Assistance:

(Loans) \$450,000 to \$740,000; \$467,353 average. (Grants) \$120,000 to \$300,000; \$261,935 average.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 81 projects were funded. It is anticipated that in fiscal year 2008, the level of activity will be approximately the same. Considering the estimated funding level for fiscal year 2009, funding activity is anticipated to be 100.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 4280, Subpart A and the Rural Electrification Act of 1936.

Regional or Local Office:

Consult your local telephone directory for RD District Office number. If no listing, get in touch with appropriate Rural Development State Office listed in Appendix IV of the catalog.

Headquarters Office:

Director, Specialty Lenders Division, Rural Business-Cooperative Service, Department of Agriculture, Washington, DC 20250.
Telephone: (202) 720-1400.

Web Site Address:

<http://www.rurdev.usda.gov>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

(1) a \$740,000 loan to construct an addition to a hospital to house a Rural Health Clinic. (2) a \$450,000 loan to purchase equipment to be used in a business expansion. (3) a \$300,000 grant to establish a revolving loan fund to provide business loans to businesses in 10 counties.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR 4280.42.

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Program Text for 10.855

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10.855 Distance Learning and Telemedicine Loans and Grants

FEDERAL AGENCY:

RURAL UTILITIES SERVICE, DEPARTMENT OF AGRICULTURE

AUTHORIZATION:

Federal Agriculture Improvement and Reform Act of 1996, Title VII, Public Law 104-127, 7 U.S.C 950.

OBJECTIVES:

To encourage and improve the use of telemedicine, telecommunications, computer networks, and related advanced technologies to provide educational and medical benefits through distance learning and telemedicine projects to people living in rural areas and to improve rural opportunities.

TYPES OF ASSISTANCE:

Project Grants; Direct Loans.

USES AND USE RESTRICTIONS:

Telecommunications, computer networks and related advanced technologies that provide educational and/or medical benefits to students, teachers, medical professionals and rural residents. Eligible costs depend on the type of financial assistance being requested; i.e., grant or loan. See 7 CFR Part 1703 for details.

Applicant Eligibility:

To be eligible to receive a grant, loan and grant combination, or loan, the applicant must be legally organized as an incorporated organization or partnership, an Indian tribe or tribal organization, a state or local unit of government, a consortium, or other legal entity. The applicant must have legal capacity to contract with RUS. The applicant also must propose to utilize the financing to: (i) operate a rural community facility; or (ii) deliver distance learning or telemedicine services to entities that operate a rural community facility or to residents of rural areas at rates calculated to ensure that the benefit of the financial assistance is passed through to such entities or to residents of rural areas. The applicant must not be delinquent on

any Federal debt. RUS electric and telecommunications borrowers are not eligible for grants. Generally, applicants are organizations such as schools, libraries, hospitals, medical centers, or other eligible organizations that will be users of a telecommunications, computer network, or related advanced technology system to provide educational and/or medical benefits to rural residents.

Beneficiary Eligibility:

The people living in rural areas and to improve rural opportunities, particularly in the areas of education, training and medical services.

Credentials/Documentation:

See 7 CFR Part 1703 for details.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. This program is subject to the provisions of OMB Circular No. A-110 (7 CFR 3015). This program is not subject to the provisions of OMB Circular No. A-102.

Application Procedure:

Submit application Form 424; non-delinquent on any Federal obligations; consultation with USDA State Director (for grant applicants); evidence of legal existence and authority to perform the proposed grant activities; a comprehensive rural telecommunications plan; scope of work plan, executive summary for the project; financial information; a statement of experience; funding commitment from other sources; compliance with Federal statutes and regulations (compliance with scoring criteria) and environmental impact; supplemental information and required additional RUS information.

Award Procedure:

Based on a determination that the loan is economically feasible and serves the purposes of the Rural Electrification Act, loans are approved. For grants, RUS rates the applicants based on specified scoring criteria and determines awards based on those ratings.

Deadlines:

See the federal register notice for grant application deadline. Loan applications may be submitted anytime and loans are approved throughout the year.

Range of Approval/Disapproval Time:

Applications are approved each fiscal year for which funding is made available. Applications that have not been approved may be resubmitted each year for consideration.

Appeals:

A grant applicant may appeal the numerical scoring to the Secretary in writing within 10 days after applicant is notified of the scoring level.

Renewals:

Not applicable.

Formula and Matching Requirements:

This program has no formula requirements. A 15 percent matching contribution will be required from the applicant. No matching is required for applicants requesting loans.

Length and Time Phasing of Assistance:

Funds may be advanced monthly or as needed to reimburse disbursements for approved grant purposes. Applicants generally have three years to spend funds. Extensions may be granted.

Reports:

SF 269, "Financial Status Report," and project performance activity report required annually.

Audits:

For a grant recipient, an audit report is required for the years in which funds are received. For a loan recipient, an audit report is required annually until all RUS loans have been repaid.

Records:

Grantee will provide evidence that funds are expended for approved purposes.

Account Identification:

12-1232-0-1-452.

Obligations:

(Grants) FY 06 \$\$26,502,657; FY 07 \$15,000,000; and FY 08 est \$24,750,000. (Loans) FY 06 \$4,123,636; FY 07 \$127,914,000; and FY 08 est \$0.

Range and Average of Financial Assistance:

The minimum grant is \$50,000. The maximum varies and is not to exceed the amount established by the RUS Administrator. The average grant in FY 06 was \$253,915. Only one DLT loan was approved in FY 06.

PROGRAM ACCOMPLISHMENTS:

There were 105 DLT grants awarded in FY 06.

REGULATIONS, GUIDELINES, AND LITERATURE:

7 CFR 1703, subparts D through G. Distance Learning and Telemedicine Program Grant Application Guide - Fiscal Year 2007

Distance Learning and Telemedicine Program Loan and Combination Loan-Grant Application Guide - Fiscal Year 2007.

Regional or Local Office:

None.

Headquarters Office:

Assistant Administrator, Telecommunications Program, Rural Utilities Service, U.S. Department of Agriculture, 1400 Independence Avenue, SW., STOP 1590, Room 5151, Washington, DC 20250 1590.
Telephone number (202) 720 9554, Facsimile (202) 720 0810.

Web Site Address:

<http://www.usda.gov/rus/telecom/dlt/dlt.htm>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Depending on the type of financial assistance: interactive rural education networks, remote health care units linkups, computer terminals that are required for a distance learning or telemedicine system, video and audio equipment, site development and alteration of buildings, telecommunications transmission facilities, and other telemedicine or distance learning projects.

CRITERIA FOR SELECTING PROPOSALS:

See 7 CFR Part 1703, subparts D through G.

Program Text for 11.300

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11.300 Investments for Public Works and Economic Development Facilities

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 201 of the Public Works and Economic Development Act of 1965, as amended (PWEDA),(42 U.S.C. 3141).

OBJECTIVES:

The Public Works and Economic Development Program of the Economic Development Administration (EDA) is designed to enhance regional competitiveness and promote long-term economic development in regions experiencing substantial economic distress. Through the program, EDA provides Public Works investment assistance to support the construction or rehabilitation of essential public infrastructure and facilities necessary to generate or retain long-term private sector jobs and investments, attract private sector capital, and promote regional competitiveness. Grants (referred to throughout this document as investments) may be used for projects such as expanding and upgrading infrastructure to attract new industry, supporting technology-led development, redeveloping brownfield sites, promoting eco-industrial development, and supporting heritage preservation development investments such as those promoted by the Executive Order on Preserve America.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible activities include the acquisition or development of public land and improvements for use in public works, public services, or development facilities. Other appropriate activities include acquisition, design and engineering, construction, rehabilitation, alteration, expansion, or improvement of publicly-owned and operated development facilities, including related machinery and equipment.

Characteristic projects include investments in facilities such as water and sewer systems, industrial access roads, industrial and business parks, port facilities, railroad sidings, distance learning facilities, skill-training facilities, business incubator facilities, brownfield redevelopment, eco-industrial facilities, and telecommunications and broadband infrastructure improvements necessary for business creation, retention and expansion. A project must be located in or impact a region that, on the date EDA receives an application for investment assistance, satisfies one or more of the economic distress criteria set forth in 13 CFR 301.3(a). In addition, the project must fulfill a pressing need of the region and must: (a) improve the opportunities for the successful establishment or expansion of industrial or commercial plants or facilities in the region; (b) assist in the creation of additional long-term employment opportunities in the region; or (c) primarily benefit the long-term unemployed and low-income residents. All proposed investments must be consistent with a current EDA-approved Comprehensive Economic Development Strategy (CEDS) or equivalent strategic economic development plan for the region in which the project will be located, and the applicant must have the required local share of funds committed, available, and unencumbered. Applicants must be able to start and complete proposed projects in a timely manner consistent with award terms and conditions.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a State, city, county, or other political subdivision of a State, including a(n): (a) District Organization as defined in 13 CFR 304.2; (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. Projects eligible for Public Works investment assistance include those located in regions meeting "Special Need" criteria as defined in 13 CFR 300.3. For-profit, private-sector entities do not qualify for Public Works assistance under PWEDA. EDA may not provide investments directly to individuals or for-profit entities seeking to start or expand a private business. Such requests may be referred to State or local agencies, or to non-profit economic development organizations. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

Not applicable. Public Works and Economic Development

investments are intended to help recipients in the nation's most distressed communities to revitalize, expand, or upgrade their physical infrastructure to attract new industry, encourage business expansion, diversify their local economies, and generate or retain long-term private sector jobs and capital investments.

Credentials/Documentation:

An application for investment assistance must describe the purpose of the proposed projects, the type of facility proposed, estimated project costs, permanent private sector job impact (estimated payrolls, estimated private-sector job investment, etc.), the estimated schedule for construction commencement and completion, and assurances that the project will satisfy EDA's statutory and regulatory requirements. The application must demonstrate how the project will satisfy a pressing need of the region and assist local economic development efforts.

Preapplication Coordination:

An applicant interested in a Public Works investment assistance award may request application information by contacting the EDA regional office serving the region in which the proposed project will be located or will serve. Please visit EDA's internet website at www.eda.gov for regional office contact information. An environmental assessment is necessary; an environmental impact statement may also be required. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs".

Application Procedure:

For information on the application process you may access the applicable federal funding opportunity (FFO) announcement for Public Works investment assistance at www.grants.gov and at www.eda.gov. EDA will review each application for Public Works investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO. Applications are subject to the intergovernmental review process authorized under Executive Order 12372 and implementing regulations at 13 CFR 302.9 and 15 CFR part 13.

Award Procedure:

Successful applications are selected by the appropriate Regional Director and announced by the Assistant Secretary of Commerce for Economic Development (Assistant Secretary).

Deadlines:

EDA regional offices accept applications for Public Works investments on an ongoing basis. EDA will notify the applicant if EDA has accepted a completed application and forwarded the application for further review and processing in accordance with EDA and Department of Commerce procedures.

Range of Approval/Disapproval Time:

Generally, two months are required for EDA to reach a final decision after receipt of a complete application that meets all requirements.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Generally, EDA investment assistance may not exceed 50 percent of the project cost. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See section 204(a) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(1). In the case of investment assistance to a(n) (a) Indian Tribe; (b) State or political subdivision of a State that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity; or (c) non-profit organization that the Assistant Secretary determines have exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent of the total project cost. See sections 204(c)(1) and (2) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(5). Potential applicants should contact the appropriate EDA regional office to make these determinations. While cash contributions are preferred, in-kind contributions, such as contributions of space, equipment, assumptions of debt, and services, may provide the required non-federal share of the total project cost. See section 204(b) of PWEDA (42 U.S.C. 3144). EDA will fairly evaluate all in-kind contributions, which must be eligible project costs and meet applicable Federal financial assistance awards are considered matching share funds only if authorized by statute, which may be determined by EDA's reasonable interpretation of the statute. See 13 CFR 300.3. The applicant must show that the required matching share is committed to the project for the project period, available as needed, and not conditioned or encumbered in any way that precludes its use consistent with the requirements of EDA investment assistance. See 13 CFR 301.5.

Length and Time Phasing of Assistance:

Public Works investments, which are disbursed for eligible costs incurred, are generally not disbursed until after all contracts for construction have been awarded. See 13 CFR 305.9.

Reports:

Recipients are subject to all federal laws and federal, Department of Commerce and EDA policies, regulations, and procedures applicable to federal financial assistance awards, including but not limited to 15 CFR Parts 14 or 24, as applicable. Standard financial and

performance reports are required, and special reports for specific projects may be requested. See 13 CFR 302.6 and 302.16.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, non-profit organizations (including hospitals), and institutions of higher education shall be subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). States, local governments, and non-profit governments that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year. In addition, recipients must provide the EDA, the Inspector General of the Department of Commerce, and the Comptroller General of the United States with access to its properties to examine all materials necessary to verify compliance with investment requirements. See 13 CFR 302.14(b).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the grant agreement. The recipient must retain records for three years from the date when the final expenditure report is submitted.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$176,990,281; FY 08 \$146,430,000; and FY 09 est \$7,200,000.

Range and Average of Financial Assistance:

In FY 2007, Public Works investments ranged from \$12,500 to \$3,215,427. The average investment was \$1,246,410.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 142 Public Works investments were approved.

REGULATIONS, GUIDELINES, AND LITERATURE:

Title 13 CFR Chapter III, Parts 300-302, 305, 310, and 314; Department of Commerce Organization Order 10-4, as amended.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Economic Development Administration, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230.

Web Site Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.303, Economic Development Technical Assistance; 11.307, Economic Adjustment Assistance.

EXAMPLES OF FUNDED PROJECTS:

Projects funded through the Public Works and Economic Development Program include: (a) infrastructure for industrial park development; (b) port development and expansion; (c) infrastructure necessary for economic development (e.g. water quality infrastructure, sewer facilities, etc.); (d) renovation and recycling of former industrial buildings; (e) construction of vocational/technical facilities and skill centers; (f) incubator facility construction; (g) brownfield redevelopment; (h) eco-industrial development; and (i) broadband infrastructure.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for investment assistance for the feasibility of the budget presented and conformance with EDA statutory and regulatory requirements. EDA will assess the economic development needs of the affected region in which the proposed project will be located, or the region which the project will serve, as well as the capability of the proponent to implement the proposed project. Furthermore, EDA will select applications competitively based on strategic areas of interest and priority considerations identified in the applicable announcement of federal funding opportunity (FFO). EDA also may consider the degree to which an investment in the proposed project will satisfy one or more of the following criteria: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes and diversifies the local and regional economy; and (e) demonstrates a high degree of local commitment. Public Works investment applications must meet EDA's investment policy guidelines as set out in 13 CFR 301.8 and other requirements as provided in the applicable FFO.

Program Text for 11.302

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11.302 Economic Development Support for Planning Organizations

(Section 203 Grants for Planning and Administrative Expenses)

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 203 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3143).

OBJECTIVES:

The Economic Development Administration (EDA) provides Planning assistance to provide support to Planning Organizations (as defined in 13 CFR 303.2) for the development, implementation, revision, or replacement of a Comprehensive Economic Development Strategy (CEDS), short-term planning efforts, and State plans designed to create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the nation's most economically distressed regions. Planning activities supported by these grants (referred to throughout this document as investments) must be part of a continuous process involving the active participation of Private Sector Representatives (as defined in 13 CFR 300.3), public officials, and private citizens. In addition, they must include: (a) analysis of local economies; (b) identification of economic development goals; (c) determination of project opportunities; and (d) formulation and implementation of an economic development program that includes systematic efforts to reduce unemployment and increase incomes. Current investment priorities include applications that help develop and enhance regional industry clusters and assist local leaders in understanding and applying the principles of entrepreneurship and technological innovation. See 13 CFR 303.1 and 303.7.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Planning investment assistance helps bear the direct and indirect costs incurred by a Planning Organization in developing and implementing a CEDS.

Applicant Eligibility:

Pursuant to PWEDA, eligible applicants for and recipients of EDA investment assistance include a(n): (a) District Organization (as defined in 13 CFR 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR 300.3. As used in this paragraph, State includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. See 13 CFR 300.3 and 301.2.

Beneficiary Eligibility:

Not applicable. EDA Planning investments provide support to Planning Organizations for the development, implementation, revision, or replacement of a CEDS. They also may provide support for related short-term planning investments and State plans designed to create and retain higher-skill, higher-wage jobs, particularly for the unemployed and underemployed in the nation's most economically distressed regions.

Credentials/Documentation:

Applicants must satisfy all applicable federal, Department of Commerce and EDA standards and regulations, including all terms and conditions of the financial assistance award. Costs will be determined in accordance with applicable federal cost principles and administrative requirements.

Preapplication Coordination:

None.

Application Procedure:

For information on the application process, you may access the federal funding opportunity (FFO) announcement for planning assistance at www.grants.gov and at www.eda.gov. EDA will review each application for planning investment assistance in accordance with the evaluation criteria, funding priorities, and selection procedures set forth in the applicable FFO. Applications are subject to

the intergovernmental review process authorized under Executive Order 12372. See 13 CFR 302.9 and 15 CFR Part 13.

Award Procedure:

The Assistant Secretary of Commerce for Economic Development (Assistant Secretary) approves the initial investment to District Organizations, Indian Tribes, and other Planning Organizations. The appropriate regional office approves continuation awards.

Deadlines:

Not applicable.

Range of Approval/Disapproval Time:

Generally, 45 to 60 days are required for EDA to reach a final decision after receipt of a completed application that meets all requirements.

Appeals:

None.

Renewals:

EDA will provide Planning assistance for the period of time required to develop, revise, replace, or implement a CEDS, generally in 36-month renewable investment project periods. EDA will consider a recipient's performance over previous investment project periods in order to determine whether additional Planning investments should be awarded. See 13 CFR 303.4.

Formula and Matching Requirements:

Generally, an EDA investment may not exceed 50 percent of the total cost of a project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See section 204(a) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(1). For Planning assistance, the minimum EDA investment rate for projects under 13 CFR part 303 is 50 percent, and the maximum allowable EDA investment rate is 80 percent. See 13 CFR 301.4(b)(3). In the case of EDA investment assistance to (a) Indian Tribe, (b) State or political subdivision of a State that the Assistant Secretary determines has exhausted its effective taxing and borrowing capacity, or (c) nonprofit organization that the Assistant Secretary determines has exhausted its effective borrowing capacity, the Assistant Secretary has the discretion to establish a maximum allowable EDA investment rate of up to 100 percent of the total project cost. See sections 204(c)(1) and (2) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(5). Potential applicants should contact the appropriate EDA regional office to make these determinations. While cash contributions are preferred, in-kind contributions, such as contributions of space, equipment, assumptions of debt, and services, may provide the required non-federal share of the total project cost. Applicants must show that the required matching share is committed to the project for

the project period, available as needed, and not conditioned or encumbered in any way that precludes its use consistent with the requirements of EDA investment assistance. See section 204(b) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.5.

Length and Time Phasing of Assistance:

A project period generally consists of increments of 12 months for a total of 36 months, depending on past performance and the availability of funds. Funds are disbursed quarterly. EDA recently has increased the number of its Planning investments being funded on a 3-year basis.

Reports:

Recipients are subject to all federal laws and to all federal, Department of Commerce and EDA policies, regulations, and procedures applicable to federal financial assistance awards, including but not limited to 15 CFR Parts 14 or 24, as applicable. Standard financial and performance reports are required, and special reports for specific projects may be requested. See 13 CFR 302.6 and 302.16.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, non-profit organizations, and institutions of higher education are subject to the requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501, et seq.). States, local governments, and non-profit organizations that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year. In addition, recipients must provide EDA, the Inspector General of the Department of Commerce, and the Comptroller General of the United States with access to its properties to examine all materials necessary to verify compliance with investment requirements. See 13 CFR 302.14(b).

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients must be maintained according to the terms of the investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$29,597,524; FY 08 \$27,000,000; and FY 09 est \$27,000,000.

Range and Average of Financial Assistance:

In FY 2007, Planning Investments ranged from \$2,000 to \$742,000. The average award was \$71,839. The average award for District

Organizations was \$72,450; the average for Indian Tribes' projects was \$51,058; and the average for States and all other area projects was \$105,160.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 412 Planning investments were awarded to District Organizations, Indian Tribes, States, urban areas, and sub-state political subdivisions. These entities carried out a variety of Planning efforts aimed at generating jobs and income for their region and for unemployed and underemployed residents.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-303.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Economic Development Administration, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230.

Web Site Address:

<http://www.eda.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Examples of projects funded through the Planning Program include: (a) support for Planning Organizations in the creation, implementation, revision, or replacement of a CEDS; (b) development and implementation of training programs for Planning Organization members; (c) support for community planning events; (d) implementation of CEDS-related projects; (e) development of economic strategies in the wake of a military base realignment; and (f) disaster-mitigation planning.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all applications for Planning assistance for the feasibility of the budget presented and conformance with EDA's statutory and regulatory requirements. EDA will assess the economic development needs of the affected region in which the proposed project will be located, as well as applicant's capacity to implement the proposed project. Furthermore, EDA will select applications competitively based on strategic areas of interest and priority considerations identified in the applicable FFO. EDA also may consider the degree to which a proposed project: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks

beyond the immediate economic horizon, anticipates economic changes and diversifies the local and regional economy; and (e) demonstrates a high degree of local commitment. Planning investment applications must meet EDA's investment policy guidelines as set out in 13 CFR 301.8, the requirements of 13 CFR Part 303, as applicable, and other requirements provided in the applicable FFO.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 11.303

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11.303 Economic Development Technical Assistance

(National, University Center and Local Technical Assistance)

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 207 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3147).

OBJECTIVES:

EDA oversees three technical assistance programs (National, Local and University Center) that promote economic development and alleviate unemployment, underemployment, and out-migration in distressed regions. These programs provide grants or cooperative agreements (referred to throughout this document as investments) to: (1) invest in institutions of higher education to establish and operate University Centers to provide technical assistance to public and private sector organizations with the goal of enhancing local economic development; (2) support innovative approaches to stimulate economic development in distressed regions; (3) disseminate information and studies of economic development issues of national significance; and (4) finance feasibility studies and other projects leading to local economic development. These programs aid the long-range economic development of regions with severe unemployment and low per capita income.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Technical assistance is used to provide information, data, and know-how in evaluating, shaping and implementing specific projects and programs that promote economic development in economically distressed regions.

Applicant Eligibility:

Most technical assistance recipients are private or public nonprofit organizations and educational institutions. As defined in 13 CFR 300.3, 'eligible recipients' include a State, city, county, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivision, an institution of higher education or a consortium of institutions of higher education, an Economic Development District organization, a private or public nonprofit organization or association, including a faith-based nonprofit organization, acting in cooperation with officials of a political subdivision of a State, or an Indian Tribe, or a consortium of Indian Tribes. Individuals, companies, corporations, and associations organized for profit are not eligible. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau. Program funds cannot be awarded to individuals and for profit organizations to start or expand a private enterprise.

Beneficiary Eligibility:

None. Investments are intended to finance projects that would be useful in alleviating or preventing conditions of excessive unemployment or underemployment.

Credentials/Documentation:

A nonprofit organization is required to submit its articles of incorporation charters and a certificate of good standing issued by the State in which it is incorporated. Applicants must demonstrate capability to complete the work program. In addition, a recipient that is a non-profit organization must work in cooperation with a political subdivision of a State unless EDA waives that requirement because the project has a significant regional or national scope.

Preapplication Coordination:

None.

Application Procedure:

Except for applications that have a national or multi-regional focus, applications are subject to the requirements of Executive Order 12372, "Intergovernmental Review of Federal Programs" and implementing regulations at 13 CFR 302.9.

Award Procedure:

EDA will award investment assistance in accord with the procedures set forth in the applicable announcement of federal funding opportunity (FFO).

Deadlines:

See deadlines announced in the applicable FFO.

Range of Approval/Disapproval Time:

From one month to four months.

Appeals:

None.

Renewals:

Each fiscal year, two EDA regional offices will provide a three-year project period for each University Center selected for funding under the requisite competition, with the initial award being made for the first year of the project period. Funding beyond the initial year is dependent upon the availability of funds and satisfactory performance, as determined by EDA and expressed in written notice. The servicing EDA regional office will contact current University Center operators regarding the procedures for applying for continuation funding. National and local technical assistance projects are typically funded for a single project period without any pre-set expectation of renewal. Renewal of an award to increase funding or extend the period of performance is at EDA's sole discretion.

Formula and Matching Requirements:

Generally, the amount of the EDA grant may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See Section 204(a) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4. For projects of a national scope under 13 CFR part 306 (Training, Research and Technical Assistance), and for all other projects under part 306, after the application of the first two sentences of this paragraph, the Assistant Secretary of Commerce for Economic Development has the discretion to establish a maximum EDA investment rate of up to 100 percent where the project (i) merits and is not otherwise feasible without an increase to the EDA investment rate; or (ii) will be of no or only incidental benefit to the recipient. See Section 204(c)(3) of PWEDA (42 U.S.C. 3144) and 13 CFR 301.4(b)(4). Potential applicants should contact the appropriate EDA regional office to make this determination.

Length and Time Phasing of Assistance:

Generally, the University Center Program funds applications on a three-year basis with continuation awards made for each of the three years within a project period. The length of the project period for national and local technical assistance projects varies, but is usually for a period of one year. Funds are disbursed as required.

Reports:

Recipients must submit financial and performance reports as required by the award agreement.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher education are subject to the audit requirements contained in Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement. The recipient must retain records for three years after completion of the project or submission of the final financial reports, whichever is later, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$8,161,852; FY 08 \$9,400,000; and FY 09 est \$9,400,000.

Range and Average of Financial Assistance:

In FY 2007 range of investments: \$690 to \$430,000. The average grant for the University Center Program in fiscal year 2007 was \$130,934; for National Technical Assistance projects, \$266,394; and for Local Technical Assistance projects, \$34,253.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 74 projects were funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-302, 306.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

For University Center and Local Technical Assistance grants, refer to Appendix IV of the Catalog for EDA regional office addresses and telephone numbers. For National Technical Assistance grants, contact

EDA, U.S. Department of Commerce, Office of Regional Affairs, 1401 Constitution Ave NW, HCHB Room 7816, Washington DC 20230.
Telephone: (202) 482-4208.

Web Site Address:

<http://www.eda.gov>

RELATED PROGRAMS:

11.800, Minority Business Enterprise Centers; 23.009, Appalachian Local Development District Assistance; 59.037, Small Business Development Center.

EXAMPLES OF FUNDED PROJECTS:

University Center projects provide management and technical assistance services to communities, counties, districts, nonprofit development groups, and technology transfer assistance to firms. Local technical assistance projects help to determine the economic feasibility of various local development projects involving industrial, commercial, and other activities. National technical assistance projects may fund reports on innovative economic development or the dissemination of economic development information.

CRITERIA FOR SELECTING PROPOSALS:

EDA will screen all applications for the feasibility of the budget presented and conformance with EDA's statutory and regulatory requirements. EDA will assess the economic development needs of the affected region in which the proposed project will be located (or will service) as well as the capability of the proponent to implement the proposed project. Furthermore, EDA will select proposals competitively based on strategic areas of interest and priority considerations identified in the applicable FFO. EDA may also consider the degree to which an investment in the proposed project will satisfy one or more of the following criteria: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes and diversifies the local and regional economy and; (e) demonstrates a high degree of local commitment. An application for investment assistance must meet EDA's investment policy guidelines (as set out in 13 CFR 301.8) and other requirements as set out in the applicable FFO.

Program Text for 11.307

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11.307 Economic Adjustment Assistance

(Economic Adjustment)

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 209 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3149).

OBJECTIVES:

To address the needs of distressed communities experiencing adverse economic changes that may occur suddenly or over time, and generally result from industrial or corporate restructuring, new Federal laws or requirements, reduction in defense expenditures, depletion of natural resources, or natural disaster. Economic Adjustment Assistance grants (referred to throughout this document as investments) are intended to enhance a distressed community's ability to compete economically by stimulating private investment in targeted areas. Current investment priorities include proposals that: (a) promote comprehensive, entrepreneurial, and innovation-based economic development efforts that enhance the competitiveness of regions in the global economy. The investments will be part of a long-term strategy to promote the region's success in achieving a rising standard of living by supporting existing industry clusters, developing emerging new clusters, or attracting new regional economic drivers; (b) support technology-led economic development and reflect the important role of linking universities and industry and technology transfers; and (c) advance community-and faith-based social entrepreneurship in redevelopment strategies for regions of chronic economic distress.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Strategy investments help organize and carry out a planning process in order to develop a Comprehensive Economic Development Strategy (CEDS) tailored to the community's specific economic problems and opportunities. Implementation investments support one or more activities identified in an EDA-approved CEDS. Awards may be used for activities such as developing and updating a CEDS and for implementing the CEDS by carrying out projects for site acquisition and preparation, construction, rehabilitation, and equipping facilities, technical assistance, market or industry research and analysis, and other activities set out in 13 CFR 307.3.

Applicant Eligibility:

Eligible applicants for EDA investment assistance include a State, city, county, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of such political subdivision, an institution of higher education or a consortium of institutions of higher education, an Economic Development District organization, a private or public nonprofit organization or association, including a faith-based non-profit organization, acting in cooperation with officials of a political subdivision of a State, or an Indian Tribe, or a consortium of Indian Tribes. Individuals, companies, corporations, and associations organized for profit are not eligible. As used in this paragraph, 'State' includes the Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, and the Republic of Palau.

Beneficiary Eligibility:

None. Investments are intended to alleviate long-term deterioration and sudden and severe economic dislocation in distressed communities and regions.

Credentials/Documentation:

Applicants for assistance must develop a CEDS that identifies the actual or anticipated adjustment problem and prescribe steps to address that problem. Implementation investment applications must be consistent with an approved CEDS.

Preapplication Coordination:

EDA's Regional Office representative or Economic Development Representative (EDR) will meet with the proponent to determine whether preparation of a project proposal is appropriate. If appropriate, the proponent will be requested to prepare a brief project proposal according to an outline provided by the EDR. Following a review by the EDR and regional office staff, the Regional Director will determine whether to invite a formal application. An environmental

impact assessment is necessary; an environmental impact statement may also be required.

Application Procedure:

If an application is invited by the regional office, an EDR or regional office representative will provide necessary application materials. This program is subject to the provisions of OMB Circular No. A-110 and 15 CFR part 24. Applications for funding under section 209 of PWEDA are subject to the intergovernmental review process authorized under Executive Order 12372 "Intergovernmental Review of Federal Programs" and implementing regulations at 13 CFR 302.9 and 15 CFR part 13.

Award Procedure:

Applications are invited and approved by the Regional Director.

Deadlines:

Proposals are accepted on a continuing basis and applications are invited and processed as received.

Range of Approval/Disapproval Time:

Normally, two months are required for a final decision after the receipt of a completed application invited by EDA that meets all statutory and regulatory requirements.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

The maximum investment rate shall not exceed 50 percent of the project cost, except that the project may receive an investment rate up to 80 percent based on relative needs as measured by the severity and duration of unemployment and the per capita income level and extent of underemployment in the region. Indian Tribes may be eligible for an investment rate of 100 percent. In addition, States or political subdivisions of a State that have exhausted their effective borrowing and taxing capacity or nonprofit organizations that have exhausted their effective borrowing capacity may also be eligible for a 100 percent rate. On average, EDA investment assistance covers approximately 50 percent of project costs.

Length and Time Phasing of Assistance:

None.

Reports:

With the exception of strategy grants, quarterly financial reports are required until one year after final disbursement of funds. Reports on

revolving loan fund investments are initially required semi-annually but may be changed to annual reports with the consent of the agency. Recipients also are required to report on program performance and project outcomes at intervals prescribed by the agency in compliance with Government Performance Results Act of 1993.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher learning are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). Commercial organizations shall be subject to the audit requirements as stipulated in the award document. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in Federal awards shall have a single or program-specific audit conducted for that year.

Records:

All financial and programmatic records, supporting documents, statistical reports and other records of recipients and sub-recipients are required to be maintained by the terms of the agreement. The grantee must retain records for three years after completion of the project or submission of the final financial report and be readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$64,228,016; FY 08 \$42,300,000; and FY 09 est \$40,330,000.

Range and Average of Financial Assistance:

In FY 2007, grants ranged from \$13,382 to 6,080,000. The average grant for Economic Adjustment Assistance in FY 2007 was \$568,390.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, 113 projects were funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR Parts 300-302, 307.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Economic Development Administration, Department of Commerce, 1401 Constitution Avenue, N.W., Washington, DC 20230.

Web Site Address:

<http://www.eda.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Investments awarded under the Economic Adjustment Assistance Program have included: (a) strategies for recovery from plant closure and major permanent job loss; (b) rehabilitation of vacant industrial facilities for multi-tenant use or entrepreneurship or innovation incubators; and (c) revolving loan funds or recapitalization of revolving loan funds.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all investment applications for the feasibility of the budget presented and conformance with EDA statutory and regulatory requirements. EDA will assess the economic development needs of the region in which the proposed project is located, as well as the capacity of the applicant to implement the proposed project. Furthermore, EDA will select proposals competitively based on strategic areas of interest and priority considerations identified in the applicable announcement of federal funding opportunity (FFO). EDA also may consider the degree to which a proposed project: (a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes, and diversifies the local and regional economy; and (e) demonstrates a high degree of local commitment. Applications for Economic Adjustment investment assistance must meet EDA investment policy guidelines as set out in 13 CFR 301.8, the requirements set out in 13 CFR part 307, as applicable, and other requirements as provided in the applicable FFO.

Program Text for 11.312

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11.312 Research and Evaluation Program

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Section 207 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), 42 U.S.C. 3147.

OBJECTIVES:

The Research and Evaluation Program of the Economic Development Administration (EDA) supports rigorous, practitioner accessible research of leading, world class economic development practice that advances our understanding of economic development. Current funding priorities include proposals that enhance regional competitiveness by focusing on entrepreneurship and innovation strategies.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

The Research and Evaluation Program funds research, including evaluative research, and national technical assistance projects to promote competitiveness and innovation in urban and rural regions throughout the United States.

Applicant Eligibility:

In accordance with PWEDA, eligible applicants for and recipients of EDA investment assistance include a(n): (a) District Organization (as defined in 13 CFR Part 304.2); (b) Indian Tribe or a consortium of Indian Tribes; (c) State, a city, or other political subdivision of a State, including a special purpose unit of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (d) institution of higher education or a consortium of institutions of higher education; or (e) public or private non-profit organization or association acting in cooperation

with officials of a political subdivision of a State. See section 3 of PWEDA (42 U.S.C. 3122) and 13 CFR Part 300.3. For-profit, private-sector entities do not qualify for investment assistance under this program.

Beneficiary Eligibility:

Not applicable. Research and Evaluation investments are designed to finance projects for research into techniques that promote competitiveness and innovation in urban and rural regions throughout the United States.

Credentials/Documentation:

Researcher qualifications are considered in the application review process. Applicants must have demonstrated expertise in the proposed area of study.

Preapplication Coordination:

None.

Application Procedure:

Applicants should submit applications in accordance with the requirements of 13 CFR Part 306 and the applicable federal funding opportunity (FFO) announcement. EDA Headquarters can provide assistance in connection with proposed Research and Evaluation projects. This program is not subject to Executive Order 12372. This program is subject to provisions of 15 CFR Part 14 for institutions of higher education and other nonprofit organizations or provisions of 15 CFR Part 24 for State, local and Indian Tribal governments, as applicable. Costs will be determined in accordance with applicable federal cost principles and uniform administrative requirements.

Award Procedure:

The Assistant Secretary of Commerce for Economic Development (Assistant Secretary) is the selecting official for Research and Evaluation projects. EDA will award investment assistance in accord with the procedures set forth in the applicable FFO.

Deadlines:

Please see the deadline set forth in the applicable FFO.

Range of Approval/Disapproval Time:

Generally, two months are required for EDA to reach a final decision after receipt of a completed application that meets all requirements.

Appeals:

None.

Renewals:

Not applicable.

Formula and Matching Requirements:

Generally, EDA investment assistance may not exceed 50 percent of the total cost of the project. Projects may receive an additional amount that shall not exceed 30 percent, based on the relative needs of the region in which the project will be located, as determined by EDA. See section 204(a) of PWEDA (42 U.S.C. 3144) and 13 CFR Part 301.4(b)(1). For Research and Evaluation projects of a national scope under 13 CFR Part 306, the Assistant Secretary has the discretion to establish a maximum EDA investment rate of up to 100 percent where the project (a) merits and is not otherwise feasible without an increase of EDA's investment rate; or (b) will be of no or only incidental benefit to the recipient. See section 204(c)(3) of PWEDA (42 U.S.C. 3144) and 13 CFR Part 301.4(b)(4). While cash contributions are preferred, in-kind contributions, such as contributions of space, equipment, assumptions of debt, and services, may provide the required nonfederal share of the total project cost. If required, applicants must show that the matching share is committed to the project for the entire project period, available as needed and not conditioned or encumbered in any way that precludes its use consistent with the requirements of EDA Investment Assistance. See section 204(b) of PWEDA (42 U.S.C. 3144) and 13 CFR Part 301.5.

Length and Time Phasing of Assistance:

The length of time of a project period varies, but is generally one year. Grant funds are disbursed as required and justified. See 13 CFR Part 306.3.

Reports:

Recipients are subject to all federal laws and to federal, Department of Commerce and EDA policies, regulations, and procedures applicable to federal financial assistance awards, including, but not limited to, 15 CFR Parts 14 or 24, as applicable. Standard financial and performance reports are required and special reports for specific projects may be requested. See 13 CFR Parts 302.6 and 302.16.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher education are subject to the requirements of the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). Commercial organizations shall be subject to the audit requirements as stipulated in the award agreement. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year.

Records:

All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients must be maintained pursuant to the terms of investment award.

Account Identification:

13-2050-0-1-452.

Obligations:

(Investments) FY 07 \$993,236; FY 08 \$470,000; and FY 09 \$470,000.

Range and Average of Financial Assistance:

In FY 2007, grants ranged from \$160,000 to \$349,865. The average EDA support was \$248,309.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, four projects were funded.

REGULATIONS, GUIDELINES, AND LITERATURE:

13 CFR parts 300-302, 306.

Regional or Local Office:

Refer to Appendix IV of the Catalog for EDA regional office contact information.

Headquarters Office:

Economic Development Administration, U.S. Department of Commerce, 1401 Constitution Avenue, NW, Washington DC 20230.

Web Site Address:

<http://www.eda.gov>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects funded under the Research and Evaluation Program have included reports on innovative economic development strategies such as: (a) "Regional Clusters of Innovation, Driving a Long-Term Strategy" by Monitor Company Group; (b) "Unlocking Rural Competitiveness: The Role of Regional Clusters" by Purdue and Indiana Universities; and (c) "A Resource Guide for Technology-Based Economic Development: Positioning Universities as Drivers, Fostering Entrepreneurship, Increasing Access to Capital" by the State Science and Technology Institute.

CRITERIA FOR SELECTING PROPOSALS:

EDA will review all Research and Evaluation applications for the feasibility of the budget presented and conformance with EDA statutory and regulatory requirements. EDA will assess the economic development needs of the region served by the proposed project, as well as the applicant's capacity to implement the proposed project. Further, EDA will select proposals competitively based on strategic areas of interest and priority considerations identified in the applicable FFO. EDA also may consider the degree to which a proposed project:

(a) is market-based and results driven; (b) has strong organizational leadership; (c) advances productivity, innovation and entrepreneurship; (d) looks beyond the immediate economic horizon, anticipates economic changes and diversifies the local and regional economy; and (e) demonstrates a high degree of local commitment. Applications must meet EDA's investment policy guidelines as set forth in 13 CFR Part 301.8, the requirements of 13 CFR Part 306, as applicable, and other requirements as provided in the applicable FFO.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 11.313

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11.313 Trade Adjustment Assistance for Firms

FEDERAL AGENCY:

ECONOMIC DEVELOPMENT ADMINISTRATION, DEPARTMENT OF COMMERCE

AUTHORIZATION:

Chapters 3 and 5, Title II of the Trade Act of 1974, as amended (Trade Act), 19 U.S.C. 2341 et seq.

OBJECTIVES:

To provide trade adjustment assistance to firms and industries adversely affected by an increase in imports of directly competitive or similar articles to those with articles produced by the firm, and to help implement the firms' strategies to guide their economic recovery.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Under Chapter 3 of Title II of the Trade Act of 1974, a firm may apply to the Economic Development Administration (EDA) of the Department of Commerce for certification of eligibility to apply for trade adjustment assistance (TAA). Interested firms that believe they meet the requirements set forth in 13 CFR part 315 may contact EDA or one of the EDA-funded Trade Adjustment Assistance Centers (TAACs). TAACs will assist firms, at no cost, in completing and submitting a petition (Form ED-840P or any successor form) to EDA. Before a firm receives technical assistance under the program, the firm must have an adjustment proposal approved by EDA. Usually the adjustment proposal, which includes an unbiased diagnostic of the firm's strengths, weaknesses, opportunities and threats, is prepared by the TAAC in cooperation with the certified firm. The adjustment proposal also identifies technical assistance tasks, which when implemented, will guide the firm toward economic recovery. The adjustment proposal must also demonstrate that the implementation of the technical assistance tasks has a reasonable chance helping the firm recover. The firm must pay at least 25 percent of the cost of

preparing the adjustment proposal. After a firm's adjustment proposal is accepted by EDA, the firm is authorized to apply for technical assistance to implement the recovery strategy. The technical assistance is usually provided by a private consultant, who is jointly hired by the certified firm and the TAAC. The certified firm and the TAAC each typically pay one half of the consultant's fees. Actual provision of TAA will depend on the feasibility of the firm's adjustment proposal, compliance with the requirements of the Trade Act, EDA's regulations and guidelines, and the availability of funds. Organizations representing trade-injured industries are eligible under the Trade Act to apply to EDA for industry-wide assistance.

Applicant Eligibility:

Section 253 of the Trade Act (19 U.S.C. 2343(b)) provides that grants may be awarded to "intermediary organizations (including Trade Adjustment Assistance Centers)" to provide assistance to trade-injured firms. For an industry association or other organizations to be eligible for industry assistance under section 265 of the Trade Act (19 U.S.C. 2355(a)), the applicant must submit evidence demonstrating that the industry faces import competition and includes a substantial number of Trade Act-certified firms or worker groups.

Beneficiary Eligibility:

Only manufacturing and producing firms certified by EDA on behalf of the Secretary of Commerce are eligible for assistance under the TAA program. Industries that can demonstrate they have been injured by imports and have a substantial number of Trade Act certified firm or worker groups may also benefit. The principal benefit is cost-shared technical assistance: the TAA program pays up to one-half of the cost of assistance by private-sector consultants and contractors for operational improvements at certified firms.

Credentials/Documentation:

The TAACs act as intermediaries for this program between EDA and the firm. Entities seeking industry-wide assistance must contact EDA staff to discuss their needs, describe the import injury and determine if funding is available for industry-wide projects.

Preapplication Coordination:

Not applicable. This program is excluded from coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs".

Application Procedure:

The nationwide network of 11 TAACs apply to EDA each year for funding for the coming fiscal year. Industry associations or other organizations seeking industry assistance must submit an application (SF-424) if invited to do so by EDA.

Award Procedure:

Awards to TAACs are made based upon submitted applications, previous performance under cooperative agreements with EDA, and the availability of funds. Acceptance of an application or amended application for a cooperative agreement does not ensure funding by EDA. Awards to industries are made based on conformance with program requirements and availability of funds.

Deadlines:

There are no deadlines, as long as the application allows enough time for processing to receive fiscal year funds.

Range of Approval/Disapproval Time:

EDA makes a determination on a firm's certification for eligibility to apply for TAA within 60 days of receipt of its petition. Decisions on adjustment proposals are typically made within two to three weeks of receipt by EDA. Decisions on TAAC applications or industry-wide assistance requests are normally made within two months of receipt of a fully complete application by EDA.

Appeals:

There is no appeal procedure for proponents or recipients. A petitioning firm whose petition for certification was denied may appeal pursuant to 13 CFR 315.11.

Renewals:

EDA's cooperative agreements with each of the 11 TAACs are usually renewed; industry grants generally are not renewed.

Formula and Matching Requirements:

Matching share requirements are as follows: Each certified firm must pay at least twenty-five (25) percent of the cost of the preparation of its adjustment proposal. Each firm requesting \$30,000 or less in total adjustment assistance in its approved adjustment proposal must pay at least twenty-five (25) percent of the cost of that adjustment assistance. Each firm requesting more than \$30,000 in total technical assistance in its approved adjustment proposal must pay at least fifty (50) percent of the cost of that adjustment assistance. Organizations representing trade-injured industries must pay at least fifty (50) percent of the total cash cost of the adjustment assistance, in addition to appropriate in-kind contributions. There are no matching share requirements for TAA provided by the TAACs to firms for certification or for administrative expenses of the TAACs.

Length and Time Phasing of Assistance:

Generally one year for TAAC investments and the amount of time needed to complete the project for industry grants. The TAA program is a nationwide federal program designed to help U.S. firms that have experienced business decline and loss of employment due to imports of like or directly competitive products.

Reports:

Periodic reporting of operating results and progress, financial statements, and summary of funds spent.

Audits:

In accordance with the provisions of OMB Circular No. A-133, recipients that are States, local governments, nonprofit organizations, and institutions of higher education are subject to the audit requirements contained in the Single Audit Act Amendments of 1996 (31 U.S.C. 7501 et seq.). Commercial organizations shall be subject to the audit requirements as stipulated in the award documents. States, local governments, and nonprofit organizations that expend \$500,000 or more in a year in federal awards shall have a single or program-specific audit conducted for that year.

Records:

Records are required to be maintained for three years. All financial and programmatic records, supporting documents, statistical reports, and other records of recipients or sub-recipients are required to be maintained by the terms of the agreement. The recipient must retain records for three years after submission of the final financial report, and have them readily available for inspection and audit.

Account Identification:

13-2050-0-1-452.

Obligations:

(Cooperative Agreements) FY 07 \$12,912,953; FY 08 \$14,100,000; and FY 09 est \$14,100,000.

Range and Average of Financial Assistance:

TAAC investments in FY 2007 ranged from \$930,939 to \$1,642,629. The average grant was \$1,173,905.

PROGRAM ACCOMPLISHMENTS:

In FY 2007, EDA, through the TAACs, provided assistance to petitioning firms as follows: 135 petitions were certified as eligible to receive trade adjustment assistance; 126 adjustment proposals were accepted.

REGULATIONS, GUIDELINES, AND LITERATURE:

Copies of pertinent regulations (13 CFR Part 315), guidelines, and forms are available from EDA, the TAACs or the TAAC website at www.taacenters.org. Allowable costs will be determined in accordance with OMB Circular A-87 for State, local, and Indian Tribal governments; OMB Circular A-122 for nonprofit organizations; OMB Circular A-21 for educational institutions; and 48 CFR Part 31 for commercial organizations. Uniform administrative requirements will be in accordance with 15 CFR Part 14 for institutions of higher education

and other non-profit and commercial organizations, and with 15 CFR Part 24 for State and local governments.

Regional or Local Office:

Not applicable.

Headquarters Office:

Economic Development Administration, Department of Commerce, 1401 Constitution Avenue N.W., Washington, DC 20230. Telephone: (202) 482-4495.

Web Site Address:

<http://www.eda.gov> and
<http://www.taacenters.org>

RELATED PROGRAMS:

17.245 Trade Adjustment Assistance for Workers.

EXAMPLES OF FUNDED PROJECTS:

There are 11 Trade Adjustment Assistance Centers (TAACs) funded by EDA, which cover the 50 States, and the District of Columbia. The TAACs, through cooperative agreements with EDA, help firms become certified in order to receive TAA. Common types of technical assistance that firms may receive under the TAA program include market research, quality assurance completion (ISO-9000 designation, for example) and management information systems guidance. Industries have been aided in developing new manufacturing machines and procedures, technological innovations, expanding exporting opportunities, marketing studies, and product diversification.

CRITERIA FOR SELECTING PROPOSALS:

EDA invites existing (currently funded) TAACs to submit either new or amended applications provided they have performed successfully and complied with previous conditions in their cooperative agreements with EDA and contingent upon the availability of funds. EDA also may invite new TAACs to submit applications through an applicable Federal Funding Opportunity (FFO) announcement. EDA generally evaluates existing (currently funded) TAACs based on: (i) performance under cooperative agreements with EDA and compliance with terms and conditions if such cooperative agreements; (ii) proposed scope of work, budget and application or amended application; and (iii) availability of funds.



Program Text for 12.607

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12.607 Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Military Base Reuse Studies and Planning Assistance, 10 U.S.C Section 2391; Defense Base Closure and Realignment Act of 1990, Section 2905 of Public Law 101-510, as amended.

OBJECTIVES:

Assistance for States and communities to: plan and carry out adjustment strategies; engage the private sector in order to plan and undertake community economic development and base redevelopment; and, partner with the Military Departments in response to the proposed or actual expansion, establishment, realignment or closure of a military installation by the Department of Defense (DoD).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is structured through an assigned Project Manager working with an affected State and/or local government, and may be used to plan and carry out local economic adjustment programs, including, but not limited to: base redevelopment and business/financial plans; infrastructure assessments and feasibility studies; organizational staffing, operating, and administrative expenses; redevelopment and economic development capacity-building; architecture and engineering activities; land use plans; specialized environmental and legal services; public outreach; and, other activities necessary for a community to capably respond to Defense actions. Assistance may not be used to duplicate or supplant

DoD activities in carrying out an expansion, establishment, realignment, or closure of a military installation.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment to have a Project Manager assigned who, in turn, will work with the applicant to determine eligibility for assistance under this program. States, cities, counties, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there is a proposed or actual establishment, realignment, or closure of a military installation. In the case of the establishment or expansion of a military installation, assistance may be made only if community impact assistance is not otherwise available.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests that may be affected by Department of Defense activity.

Credentials/Documentation:

Applicants must document: (1) an establishment, expansion, realignment, or closure of a military installation has occurred or will occur; and (2) any known or anticipated local impacts from the action on the beneficiary. The assigned OEA Project Manager will work with the Applicant to identify other necessary information.

Preapplication Coordination:

Parties interested in obtaining assistance under this program should contact OEA at: Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704, (703)604-6020, or by E-mail at oeafeedback@wso.whs.mil. OEA, in turn, will assign a Project Manager to work closely with the affected State or community to craft a responsive program of technical and, where eligible, project grants. The Project Manager will provide necessary information to prepare and submit an application for financial assistance under this program. Additionally, E.O. 12372, "Intergovernmental Review of Federal Programs," applies to this program. An applicant should consult their respective office or official designated as the single point of contact for their State for more information on the process that the State may require to be followed in applying for assistance.

Application Procedure:

Applications are necessary for the project grants available under this program and must be invited by the Office of Economic Adjustment through an assigned Project Manager. The standard application forms required by 32 CFR Part 33, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments will comprise an application for assistance once they are invited.

Applications and supporting documentation should be submitted to the Director of the Office of Economic Adjustment, Department of Defense. Applicants will generally be invited to submit their requests for assistance electronically. In instances where, for whatever reason, an electronic application cannot be submitted, provisions will be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704.

Award Procedure:

None.

Deadlines:

None.

Range of Approval/Disapproval Time:

To the extent practicable, OEA will inform an Applicant of approval within seven business days of the receipt of a final, completed application. OEA will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Generally, not less than 10 percent of the project's total proposed funding is to be comprised of non-federal sources.

Length and Time Phasing of Assistance:

A grant period is typically one year. Funds are disbursed on a quarterly basis, or as required.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Nonprofit Organizations".

Records:

None.

Account Identification:

97-0100-0-7-051.

Obligations:

(Grants) FY 06 \$15,000,000; FY 07 \$60,000,000; and FY 08 est \$45,000,000.

Range and Average of Financial Assistance:

\$50,000 - \$2,000,000; \$500,000.

PROGRAM ACCOMPLISHMENTS:

Through the previous four rounds of base closures and realignments, more than \$280 million has been provided to over 70 local adjustment efforts that, in turn, have converted former defense facilities to productive mixed civilian use, including residential, commercial, office, retail, and manufacturing. These efforts, beyond expanding the local tax base, have created over 135,000 jobs to more than offset the 130,000 jobs lost as a result of the Defense activity. Additionally, important public uses on over 48,000 acres have been facilitated, including public airports, educational facilities, parks and recreational facilities, public health and safety centers, ports, and correctional facilities.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-133 Audits of States, Local Governments, and Nonprofit Organizations and A-87 Cost Principles for State, Local, and Indian Tribal Governments. 32 CFR Part 25 - Government-wide Debarment and Suspension (Non-procurement); 32 CFR Part 26 - Drug-Free Workplace; 32 CFR Part 28 - New Restrictions on Lobbying; 32 CFR Part 33 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. These and related publications are available on the OEA Website at <http://www.oea.gov>, under eGrants, Other Federal Grant Links.

Regional or Local Office:

Western Region Director, Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone: (703) 604-6020. E-mail: oeafeedback@wso.whs.mil.

Web Site Address:

<http://www.oea.gov> .

RELATED PROGRAMS:

11.307, Economic Adjustment Assistance; 12.610, Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614,

Community Economic Adjustment Diversification Planning; 14.218, Community Development Block Grants/Entitlement Grants; 14.219, Community Development Block Grants/Small Cities Program; 17.260, WIA Dislocated Workers; 59.005, Internet-Based Technical Assistance; 59.012, 7(a) Loan Guarantee.

EXAMPLES OF FUNDED PROJECTS:

Assistance was provided to support the actions of a Local Redevelopment Authority to organize itself to speak with one voice on behalf of an impacted area; conduct outreach to identify local homeless and community economic development needs and to balance them in the form of an economically- and environmentally-sustainable local redevelopment plan; and undertake business planning analyses and engage in other economic development activity to redevelop former military installations. Communities anticipating mission growth were provided assistance to work across local political jurisdictions to gauge likely demands/need for hard (housing, roads, schools, water and sewer) and soft (health, child care, public safety) infrastructure, including business plans to identify sustainable public financing and opportunities to leverage private and third party investment. States have received assistance to coordinate various State programs of assistance to assist local adjustment efforts, including staff assistance and regional capacity-building.

CRITERIA FOR SELECTING PROPOSALS:

Magnitude of impact, statutory compliance, immediacy of need, reasonableness of proposed work program and the proposed level of funding.

Program Text for 12.610

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12.610 Community Economic Adjustment Planning Assistance for Joint Land Use Studies

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

Defense Authorization Act, 10 U.S.C. 2391.

OBJECTIVES:

To assist local and State governments in preparing and adopting a planning study to mitigate or prevent incompatible community development that is likely to impair the continued operational utility of a Department of Defense (DoD) military installation. Grantees and participating governments are expected to adopt and implement the study recommendations.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

DoD funding may be provided to develop and recommend civilian measures that need to be taken to prevent public or private development that either presently or in the future will likely impair the operations of a military installation. Funding may also be made available to carry out the recommendations of a study.

Applicant Eligibility:

Local governments, States on behalf of local governments, or regional organizations composed of State or local governments may be eligible if the criteria below are met and the installation they are responding to is located within any of the several States, the District of Columbia, the Commonwealth of Puerto Rico, or Guam. An applicant may be eligible for DoD funding if: (a) the Secretary of Defense determines that encroachment of the civilian community on a military installation is likely to impair the continued operational utility of the military installation; (b) the installation has been nominated for a study by the

appropriate Military Department; and (c) the affected jurisdictions desire to work with the Military Department to address civilian encroachment through local land use planning.

Beneficiary Eligibility:

Local governments, States on behalf of local governments, and regional organizations composed of State and local governments that represent the affected area.

Credentials/Documentation:

Documentation in the form of locally-adopted resolutions or similar actions that indicate the affected government(s) will cooperate and participate in the development and implementation of the Joint Land Use Study. Also required is evidence that the proposed planning process and implementation of study recommendations will prevent further encroachment on the military installation.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact for the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Communities interested in obtaining DoD funding for community economic adjustment planning activities should contact DoD's Office of Economic Adjustment for pre-application assistance.

Application Procedure:

The standard application forms required by 32 CFR Part 33 must be used for this program. Applications and supporting documentation should be submitted to the Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Applicants are encouraged to use the web-based eGrants application option available on the OEA homepage at <http://www.oea.gov>.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

None.

Range of Approval/Disapproval Time:

To the extent practicable, complete applications for planning assistance are responded to within seven business days from receipt.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. A minimum of 25 percent of the project's total proposed funding is to be comprised of non-Federal sources.

Length and Time Phasing of Assistance:

A grant period is typically one year. Funds are disbursed quarterly or as required.

Reports:

Quarterly financial and progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

In accordance with 32 CFR 33.

Account Identification:

97-0100-0-7-051.

Obligations:

(Grants) FY 06 \$1,239,713; FY 07 est \$1,200,000; and FY 08 est not available.

Range and Average of Financial Assistance:

\$45,000 - \$90,000; \$70,000.

PROGRAM ACCOMPLISHMENTS:

Communities developed joint land use studies and implemented recommendations that helped limit encroachment and avoid inappropriate development near military bases.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-133 and A-87, and 32 CFR Parts 25, 28 and 33. Related publications are available on the OEA website at no charge.

Regional or Local Office:

Regional Director, Western Region Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400

Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone:
(703) 604-6020.

Web Site Address: E-mail address: oeafeedback@osd.mil Website:
<http://www.oea.gov>.

RELATED PROGRAMS:

12.600, Community Economic Adjustment.

EXAMPLES OF FUNDED PROJECTS:

Funds were provided to develop Joint Land Use Studies that helped communities plan for compatible use near military bases.

CRITERIA FOR SELECTING PROPOSALS:

Seriousness of the problem, immediacy of need, benefits to DoD and the participating government(s), and reasonableness of proposed work program and level of funding, and likelihood that the recommendations will be implemented.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 12.611

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12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

Assistance for States and communities to prepare and carry out community adjustment and economic diversification activities in response to reductions in defense industry employment resulting from: a publicly announced planned major reduction in Department of Defense (DoD) spending; the closure or significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the defense facility; the cancellation or termination of a DoD contract; or the failure to proceed with an approved major weapon system program.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is structured through an assigned Project Manager working with an affected State and/or local government, and may be used to plan and carry out local economic adjustment programs, including, but not limited to; assessment of local economic strengths, weaknesses, opportunities and threats; staffing, operating and administrative costs for an organization to represent the affected community; review of local Defense prime and sub contracting activity; outreach to local small businesses, workers, and other community interests; and, facility evaluations. Funds provided under this program may not be used to directly identify or assist a business, including a business expansion, in the relocation of a plant, facility, or operation from one Labor Market Area (LMA) to another if the

relocation is likely to result in the loss of jobs in the LMA from which the relocation occurs.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment to have a Project Manager assigned who, in turn, will work with the applicant to determine eligibility for assistance under this program. States, cities, counties, other political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if there is; a publicly announced planned major reduction in Department of Defense (DoD) spending; the closure or significantly reduced operations of a defense facility as the result of the merger, acquisition, or consolidation of the defense contractor operating the defense facility; the cancellation or termination of a DoD contract; or the failure to proceed with an approved major weapon system program.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests, that may be affected by Defense actions.

Credentials/Documentation:

Applicants must document; 1) the publicly announced Defense action that has occurred or will occur; and 2) the known or anticipated impacts on the applicant due to the closure, reduction, cancellation, termination, or failure to proceed.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact for the State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Communities interested in obtaining DoD funding for community economic adjustment planning activities should contact DoD's Office of Economic Adjustment for pre-application guidance.

Application Procedure:

The standard application forms required by 32 CFR Part 33 must be used for this program. Applications and supporting documentation should be submitted to the Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Applicants are encouraged to use the web-based eGrants application option available on the OEA homepage at <http://www.oea.gov>.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

None.

Range of Approval/Disapproval Time:

To the extent practicable, complete applications for planning assistance are responded to within 7 business days from receipt. Complete applications for assistance to carry out community adjustment programs are responded to within 30 business days from receipt, to the extent practicable.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. A minimum of 25 percent of the project's total proposed funding is to be comprised of non-Federal sources.

Length and Time Phasing of Assistance:

A grant period is typically one year. Funds are disbursed quarterly or as required.

Reports:

Quarterly financial and progress reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

In accordance with 32 CFR 33.

Account Identification:

97-0100-0-7-051.

Obligations:

(Grants) FY 06 \$1,900,000; FY 07 est \$2,000,000; and FY 08 est \$2,000,000.

Range and Average of Financial Assistance:

\$75,000 - \$250,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

In past years communities received economic adjustment planning grant assistance for defense industry impacts that resulted in a variety of diversification and job creation initiatives.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-133, and A-87, and 32 CFR Parts 25, 28 and 33. Related publications are available on the OEA website at no charge.

Regional or Local Office:

Regional Director, Western Region Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone: (703) 604-6020.

Web Site Address: E-mail address: oeafeedback@wso.whs.mil

Website:

<http://www.oea.gov>.

RELATED PROGRAMS:

11.307, Economic Adjustment Assistance; 12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.611, Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 12.614, Community Economic Adjustment Diversification Planning; 14.218, Community Development Block Grants/Entitlement Grants; 14.219, Community Development Block Grants/Small Cities Program; 17.260, WIA Dislocated Workers; 59.012, 7(a) Loan Guarantee.

EXAMPLES OF FUNDED PROJECTS:

Funds were awarded to develop and implement community economic adjustment strategies to create jobs and businesses to replace those lost due to defense industry cutbacks.

CRITERIA FOR SELECTING PROPOSALS:

Magnitude of impact, statutory compliance, immediacy of need, reasonableness of proposed work program and the proposed level of funding.

Program Text for 12.614

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12.614 Community Economic Adjustment Diversification Planning

(COMMUNITY ECONOMIC ADJUSTMENT ASSISTANCE FOR ADVANCE PLANNING)

FEDERAL AGENCY:

OFFICE OF ECONOMIC ADJUSTMENT, DEPARTMENT OF DEFENSE

AUTHORIZATION:

10 U.S.C. 2391.

OBJECTIVES:

Assistance for States and communities to plan community adjustment and economic diversification activity to lessen an area's dependence on Defense expenditures.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Assistance is structured through an assigned Project Manager working with a Defense-dependent State and/or local government, and may be used to plan and carry out local economic adjustment programs, including , but not limited to: development of diversification studies; assessment of local economic strengths, weaknesses, opportunities and threats; analyses of local economic Standard Industry Code clusters; enhancement of local economic development capacity to diversify local Defense dependencies; review of local Defense prime and sub contracting activity; and, outreach to local small businesses, workers, and other community interests. Funds provided under this program may not be used to directly identify or assist a business, including a business expansion, in the relocation of a plant, facility, or operation from one Labor Market Area (LMA) to another if the relocation is likely to result in the loss of jobs in the LMA from which the relocation occurs.

Applicant Eligibility:

Applicants for this assistance are to contact the Office of Economic Adjustment to have a Project Manager assigned who, in turn, will work with the Applicant to determine eligibility for assistance under this program. Stated, cities, counties, political subdivisions of a State, special purpose units of a State or local government, and tribal nations are eligible for this assistance if a substantial portion of the economic activity or population of the applicant's geographic area is dependent on defense expenditures.

Beneficiary Eligibility:

States and communities, including workers, businesses, and other community interests that may be dependent upon Defense expenditures.

Credentials/Documentation:

Applicants must document that a substantial portion of the economic activity of a local area is dependent on Defense expenditures, including a statement explaining the direct Defense wage and salary employment as a share of regional total employment and as a share of recent annual changes in regional total employment.

Preapplication Coordination:

Parties interested in obtaining assistance under this program should contact OEA at: Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704, (703)604-6020, or by e-mail at oeafeedback@wso.whs.mil. OEA, in turn, will assign a Project Manager to work closely with the affected State or community to craft a responsive program of technical and, where eligible, project grants. The Project Manager will provide necessary information to prepare and submit an application for financial assistance under this program. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are necessary for the project grants available under this program and must be invited by the Office of Economic Adjustment through an assigned Project Manager. The standard application forms required by 32 CFR Part 33, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and local Governments will comprise an application for assistance once they are invited. Applications and supporting documentation should be submitted to the Director of the Office of Economic Adjustment, Department of Defense. Applicants will generally be invited to submit their requests for assistance electronically. In instances where, for whatever reason, an electronic application cannot be submitted, provisions will be made to accept a paper application addressed to: Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704.

Award Procedure:

Applications are approved by the Director, Office of Economic Adjustment.

Deadlines:

None.

Range of Approval/Disapproval Time:

To the extent practicable, OEA will inform an Applicant of approval within seven (7) business days of the receipt of a final, completed application. OEA will promptly inform an applicant of the rejection of any application once its due diligence has been completed.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Generally, not less than 10 percent of the project's total proposed funding is to be comprised of non-Federal sources.

Length and Time Phasing of Assistance:

A grant period is typically one year. Funds are disbursed quarterly or as required.

Reports:

Interim and final progress and financial reports are required.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."

Records:

In accordance with 32 CFR 33.

Account Identification:

97-0100-1-7-051.

Obligations:

(Grants) FY 06 \$3,500,000; FY 07 est \$1,500,000; and FY 08 est \$1,500,000.

Range and Average of Financial Assistance:

\$175,000 - \$500,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

Communities have developed strategies to diversify regional economies to reduce Defense dependency.

REGULATIONS, GUIDELINES, AND LITERATURE:

OMB Circular Nos. A-133 Audits of States, Local Governments, and Nonprofit Organizations and A-87 Cost Principles for State, Local, and Indian Tribal Governments. 32 CFR Part 25 - Government-wide Debarment and Suspension (Non-procurement); 32 CFR Part 26 - Drug-Free Workplace; 32 CFR Part 28 - New Restrictions on Lobbying; 32 CFR Part 33 - Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Related publications are available on the OEA Website.

Regional or Local Office:

Western Region Director, Office of Economic Adjustment, Department of Defense, 1325 J Street, Suite 1500, Sacramento, CA 95814. Telephone: (916) 557-7365.

Headquarters Office:

Director, Office of Economic Adjustment, Department of Defense, 400 Army Navy Drive, Suite 200, Arlington, VA 22202-4704. Telephone: (703) 604-6020. E-mail: oeafeedback@wso.whs.mil.

Web Site Address:

<http://www.oea.gov> .

RELATED PROGRAMS:

11.307, Economic Adjustment Assistance; 12.607, Community Economic Adjustment Assistance for Establishment, Expansion, Realignment, or Closure of a Military Installation; 12.610, Community Economic Adjustment Planning Assistance for Joint Land Use Studies; 12.611 Community Economic Adjustment Planning Assistance for Reductions in Defense Industry Employment; 14.218, Community Development Block Grants/Entitlement Grants; 14.219, Community Development Block Grants/Small Cities Program; 17.260, WIA Dislocated Workers; 59.012, 7(a) Loan Guarantee.

EXAMPLES OF FUNDED PROJECTS:

Funds were provided to plan and carry out community adjustment and economic diversification activities.

CRITERIA FOR SELECTING PROPOSALS:

The level of economic dependence on military, DoD civilian and Defense industry employment, an appropriate and clear project design to address the need identified, the innovative quality of the proposed approach to stimulating economic adjustment and economic diversification, reasonableness of the proposed work program and the proposed level of funding.



Program Text for 14.218

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14.218 Community Development Block Grants/Entitlement Grants

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, as amended, Public Law 93-383.

OBJECTIVES:

To develop viable urban communities, by providing decent housing and a suitable living environment, and by expanding economic opportunities, principally for persons of low and moderate income.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Recipients may undertake a wide range of activities directed toward neighborhood revitalization, economic development, and provision of improved community facilities and services. Entitlement communities develop their own programs and funding priorities as long as programs/activities conform to the statutory standards and program regulations. Some of the specific activities that can be carried out with Community Development Block Grant (CDBG) funds include acquisition of real property; relocation; clearance and demolition; rehabilitation of residential and nonresidential structures; provision of public facilities and improvements, such as water and sewer facilities (which require reviews by the State single point of contact or a Regional Planning Agency in accordance with Executive Order 12372), streets, and neighborhood centers. In addition, CDBG funds may be used to pay for public services within certain limits. Recipients may contract with other local agencies or nonprofit organizations to carry out part or all of their programs. Community-based development organizations may carry out neighborhood revitalization, community economic development or energy conservation projects to further

achieve the national objectives of the CDBG program. Recipients may provide assistance to microenterprises or other for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. All eligible activities must either benefit low- and moderate-income persons, aid in the prevention or elimination of slums or blight, or meet other community development needs having a particular urgency that the grantee is unable to finance on its own.

Applicant Eligibility:

Cities in Metropolitan Areas designated by OMB as a central city of the Metropolitan Area; other cities over 50,000 in Metropolitan Areas; and qualified urban counties of at least 200,000 (excluding the population in entitlement cities located within the boundaries of such counties) are eligible to receive CDBG entitlement grants determined by a statutory formula.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low- and moderate-income persons (generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by HUD). The grantee must certify that at least 70 percent of the grant funds received during a 1, 2, or 3-year period, that it designates, are expended for activities that will principally benefit low- and moderate-income persons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

A grantee is required to prepare a consolidated plan in accordance with the requirements of Part 91; have and follow a detailed citizen participation plan; provide information to citizens on the amount of CDBG funds available and the range of community development and housing activities that may be undertaken; hold public hearings; publish a proposed action plan which includes a description of activities in sufficient detail, including location, to afford affected citizens an opportunity to submit views and comments prior to the preparation of a final action plan; prepare and submit a final action plan to HUD. This program is covered under E.O. 12372, "Intergovernmental Review of Federal Programs." Recipients should consult the office or the official designated as the single point of contact in its respective State for more information on the process the State requires to be followed when funds are used for the planning or the construction (reconstruction or rehabilitation) of water or sewer facilities.

Application Procedure:

Submit a Consolidated Plan, an annual action plan, SF Form 424, and

certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD Community development programs, including CDBG. The annual action plan must include the local community development objectives and show the proposed use of the funds. If the grantee makes a complete submission within the established deadlines, the Department will make a grant award unless a determination is made by HUD that the grantee's performance is unsatisfactory. HUD will approve the submission generally within 45 days of receipt of the annual action plan and required certifications unless a determination has been made that the grantee has failed to carry out its CDBG program in a timely manner or has failed to conform to the requirements of the statute or other applicable laws. Under such circumstances, HUD may take appropriate actions, including reductions in the amount of the final grant.

Award Procedure:

None.

Deadlines:

For formula grants, action plans associated with the Consolidated Plan must be submitted based on the grantee's program year, but no earlier than November 15 or no later than August 16 of the fiscal year for which the funds are allocated.

Range of Approval/Disapproval Time:

Generally within 45 days.

Appeals:

Administrative appeals process followed if entitlement grant funds are withheld or reduced, or repayment proposed for non-compliance or non-performance.

Renewals:

Every 3 to 5 years, localities submit a Consolidated Plan. Each year localities submit an annual action plan and certifications.

Formula and Matching Requirements:

Entitlements are based on a dual formula under Section 106 of the Act using statistical factors. Each metropolitan city and urban county is entitled to receive an amount equaling the greater of the amounts calculated under two formulas. The factors involved in the first formula are population, extent of poverty and extent of overcrowded housing, weighted 0.25, 0.50, and 0.25, respectively. The factors involved in the second formula are population growth lag, poverty, and age of housing, weighted 0.20, 0.30, and 0.50, respectively. The statistical factors used for fund allocation are (1) most current population estimates from the Bureau of Census 90 days before the end of the fiscal year; (2) number of persons with incomes below the poverty level from the source 2000 Census; (3) number of housing units with 1.01 or more persons per room from the source 2000 Census; (4) age

of housing; number of year-round housing units built in 1939 or earlier from the source 2000 Census; (5) growth lag; the lag in population growth as computed from population in 1960 to current population from the source 1960 Census and P25, Census Report. Statistical factors used for eligibility are (1) metropolitan city: principal city of a Metropolitan Area (MA) or city within MA with 50,000 population from the source Census and OMB; (2) urban county: generally, counties in MA having a net population of 200,000 or more, excluding entitlement cities located therein, from the source Census and OMB. Questions concerning the formula should be addressed to the Systems Development and Evaluation Division, Community Planning and Development, 451 7th Street S.W., Washington, DC 20410. Telephone: (202) 708-0790.

Length and Time Phasing of Assistance:

Assistance is for an annual program of activities, but activities generally may be continued beyond one year until completed.

Reports:

An annual performance report is required on the use of funds to meet program requirements including the grantee's objectives and the national objectives of the program.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in Federal awards in a year shall generally have a single audit conducted for that year. (The auditee may elect to have a program-specific audit conducted under certain limited circumstances.)

Records:

The applicant must maintain records with regard to eligibility, national objectives, financial management, citizen participation, relocation, other resources, acquisition, housing assistance to units and households, equal opportunity, environmental impact, labor standards and other requirements set forth in regulations. Records shall be retained for four years after submission of the report in which the activity is reported as completed, except as otherwise prescribed in the published regulations.

Account Identification:

86-0162-0-1-451.

Obligations:

FY 07 \$2,592,790,000; FY 08 estimate \$2,510,501,000; FY 09 estimate \$1,909,184,000. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

Determined by formula.

PROGRAM ACCOMPLISHMENTS:

There are approximately 1,150 units of local government eligible to receive entitlement grants during fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

Administrative Regulations for Community Development Block Grants, 24 CFR 570.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Entitlement Communities Division, Office of Block Grant Assistance, Community Planning and Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-1577. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/cpd/index.cfm>.

RELATED PROGRAMS:

14.228 - Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

Program Text for 14.227

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14.227 Community Development Block Grants/Technical Assistance Program

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Section 107 (b)(4), as amended.

OBJECTIVES:

To help States, units of general local government, Indian tribes and area-wide planning organizations to plan, develop and administer local Community Development Block Grant programs.

TYPES OF ASSISTANCE:

Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS:

Assistance may be used to transfer skills and knowledge in planning, developing and administering the Community Development Block Grant programs from those individuals and institutions which possess them to eligible block grant entities and affiliated CDBG participants which need them. Project activities show how they will increase the effectiveness with which eligible block grant communities can use CDBG funds to meet community development national and local program objectives. Assistance may take several forms, such as the provision of written information, person-to-person exchange, seminars, workshops or training sessions.

Applicant Eligibility:

States, units of general local government, national or regional nonprofit organizations that has membership comprised predominately of entities or officials of entities of CDBG recipients, for-profit or nonprofit professional and technical services companies or firms that have demonstrated knowledge of the CDBG program and the capacity to provide technical assistance services, public or private

nonprofit or for-profit organizations, including educational institutions and area-wide planning organizations. Specifications for respondents to competitive requests for proposals are stated in the request documents.

Beneficiary Eligibility:

States, units of general local government, Indian tribes and Alaska natives, entities participating or actively intending to participate in the planning, developing, or administering of a Community Development Block Grant program.

Credentials/Documentation:

Applicants need to obtain a DUNS number to receive an award and submit other documentation as described in a solicitation document.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Proposals may be submitted in response to competitions and solicitations. The Federal Register publication detailing each competition spells out the submission requirements.

Award Procedure:

The Secretary has final approval authority; applicants are notified of acceptance or rejection.

Deadlines:

Deadlines are shown in solicitation documents.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None specified by statute.

Length and Time Phasing of Assistance:

Generally, projects will be funded to operate one to three years. Assistance is released on a reimbursement basis through electronic funds transfers.

Reports:

Quarterly and final financial and performance reports.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records applicable to the assistance project must be kept for three years following the submission of the final expenditure report or until all audit findings have been resolved.

Account Identification:

86-0162-0-1-451.

Obligations:

(Grants) FY 07 \$0; FY 08 est \$3,000,000; and FY 09 est \$5,000,000 (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts).

Range and Average of Financial Assistance:

(Cooperative Agreements) FY 2005 range: \$100,000-\$539,000; average: \$234,750.

PROGRAM ACCOMPLISHMENTS:

Technical assistance was offered to all of the 50 States and over 1,100 entitlement communities through training programs, satellite broadcasts, manuals and publications. This program did not have funds appropriated between 2000 and 2003, nor in FY 2006 or FY 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart E, 24 CFR 571.

Regional or Local Office:

Contact the appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Technical Assistance Division, Community Planning and Development, Department of Housing and Urban Development, 451 7th St., SW., Washington, DC 20410. Telephone: (202) 708-3176. Use the same number for FTS.

Web Site Address:

<http://www.hud.gov/offices/cpd/communitydevelopment/programs/index.cfm>.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants;
14.219, Community Development Block Grants/Small Cities Program.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to criteria set forth in solicitation documents.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 14.228

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14.228 Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Title I, as amended; Public Law 93-383; 88 Stat. 633; 42 U.S.C. 5301-5321.

OBJECTIVES:

The primary objective of this program is the development of viable urban communities by providing decent housing, a suitable living environment, and expanding economic opportunities, principally for persons of low and moderate income. Each activity funded must meet one of the program's National Objectives by: Benefiting low and moderate income families; aiding in the prevention or elimination of slums or blight; or meeting other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

Thirty percent of funds available for distribution under each annual appropriation for the Community Development Block Grant (CDBG) program is allocated among the States and Puerto Rico (which is considered to be a state for the State CDBG program) for use in areas which are not a metropolitan city or part of an urban county (non-entitlement areas). All States except Hawaii have elected to administer these CDBG funds for areas that do not receive CDBG entitlement grants (14.218). HUD awards the formula grants to these States under the CDBG/State Program. In Hawaii, HUD awards the state's share of these funds to three non-entitlement counties. States must distribute CDBG funds to units of general local government

(counties, towns, etc.) in non-entitlement areas. States develop their own programs and funding priorities. Units of general local government then carry out community development activities funded by the State. Eligible activities are directed toward neighborhood revitalization, economic development, or provision of improved community facilities and services. Specific activities that can be carried out with block grant funds include: Acquisition, rehabilitation or construction of certain public works facilities and improvements, such as streets, water and sewer facilities, neighborhood centers, recreation facilities, and other public works; demolition and clearance; rehabilitation of public and private buildings including housing; code enforcement; relocation payments and assistance; economic development; planning activities; certain public services with some restrictions; and administrative expenses. The projected use of funds must be developed to ensure that all activities will meet one of the three national objectives described under "OBJECTIVES". Communities receiving CDBG funds from a state may select sub-grantees to carry out approved projects. Such sub-grantees may include: Neighborhood-based nonprofit organizations; local development corporations; Small Business Investment Companies; or other nonprofit organizations serving the development needs of non-entitlement areas. Grant recipients may provide assistance to for-profit entities when the recipient determines that the provision of such assistance is appropriate to carry out an economic development project. Communities are restricted from constructing or rehabilitating public facilities for the general conduct of government and from making housing allowances or other income maintenance-type payments. Each State may use a limited portion of its grant, subject to a matching requirement, to administer the program. Each State may also use a limited portion of its grant, with no matching requirement, to provide technical assistance to local governments and nonprofit program recipients. At least seventy percent of the funds received must benefit low and moderate income persons.

Applicant Eligibility:

Forty-nine State governments and the Commonwealth of Puerto Rico receive funds from HUD under this program. The state of Hawaii does not participate and HUD allocates the state's share of funds to the three Hawaii non-entitled counties. Funds are allocated to each state based on a statutory formula. States must distribute the funds to units of general local government in non-entitlement areas.

Beneficiary Eligibility:

The principal beneficiaries of CDBG funds are low and moderate income persons. For non-metropolitan areas, low and moderate income is generally defined as 80 percent of the median income for non-metropolitan areas of the State or of the county, whichever is higher, adjusted for family size. For eligible localities in metropolitan areas, low- and moderate-income is generally defined as 80 percent of the median income for the metropolitan area, adjusted for family size.

Credentials/Documentation:

The State must certify that with respect to non-entitlement areas the State will: (1) engage in planning for community development activities; (2) provide technical assistance; (3) consult with local officials from non-entitlement areas in determining methods for distributing funds, and (4) each unit of general local government to receive funds must identify its housing and community development needs, the needs of low and moderate-income persons, and the activities to be undertaken to meet such needs. The State must submit a Consolidated Plan and annual action plan, which contains its method of distributing CDBG funds. The State must also certify that (1) it has met the statutory citizen participation requirements, (2) it will conduct its grant in conformance with the Civil Rights Act of 1964 and the Fair Housing Act, (3) all activities will meet one of the three national objectives described under "OBJECTIVES", and (4) it will comply with the other provisions of Title I and all applicable laws. This program is covered under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under 24 CFR Part 85 and E.O. 12372.

Application Procedure:

Each state must submit a Consolidated Plan, an annual action plan and certifications to HUD. The Consolidated Plan and annual action plan cover four major formula-distribution HUD community development programs, including CDBG. The annual action plan must contain the method by which the State will distribute its CDBG funds.

Award Procedure:

HUD will provide funds after the State submits its Consolidated Plan, annual action plan and Certifications.

Deadlines:

Consolidated Plans may be submitted between November 15 and August 16 of each fiscal year in which the State will administer funds.

Range of Approval/Disapproval Time:

HUD will review plan submissions for completeness and consistency with the purposes of the Act, within 45 days of receipt from a State.

Appeals:

The procedure is described in regulations (24 CFR Part 570 Subpart I).

Renewals:

Not applicable.

Formula and Matching Requirements:

Allocations to States are based on Census data using a dual formula

prescribed under Section 106 of the Act. Allocations for each State are based on an amount equaling the greater of the amounts calculated under the two formulas. The factors involved in the first formula are population, extent of poverty and extent of overcrowding, weighted 0.25, 0.50, and 0.25, respectively. The factors involved in the second formula are population, poverty, and age of housing, weighted 0.20, 0.30, and 0.50, respectively. The statistical factors used for fund allocation are (1) total resident population for all places in the nation (2) number of persons with incomes below the poverty level; (3) number of housing units with 1.01 or more persons per room; and (4) age of housing (number of year-round housing units built in 1939 or earlier). The statistical factors for each State are adjusted to reflect only the non-entitled area; that is, the State area excluding metropolitan cities and urban counties. There is no matching requirement for the allocation of funds under the formula. Address questions concerning the formula to the Systems Development and Evaluation Division Rm. 7224, Community Planning and Development, 451 7th Street, S.W. Washington, DC 20410. Telephone: (202) 708-0790.

Length and Time Phasing of Assistance:

Assistance is provided to States on an annual basis. Individual States may impose time limitations on the implementation of grants to recipients.

Reports:

Annual performance report covering all programs included in the Consolidated Plan as prescribed at 24 CFR 91.520; SF-272 Federal Cash Transactions Report.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local Governments and Non-Profit Organizations", nonfederal entities that expend \$500,000 or more in a year shall have a single audit conducted for that year in accordance with the provisions of the circular.

Records:

Records must demonstrate that the State has met the requirements of Title I of the Act.

Account Identification:

86-0162-0-1-451.

Obligations:

FY 07 est \$1,111,196,000; FY 08 \$1,075,929,000; and FY 09 \$818,221,000. (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

State grant amounts are determined by formula. Of the 50 state grants awarded under the State CDBG program in FY 2008, the average (mean) grant amount was \$21,414,231. Grant amounts ranged from \$1,982,003 to \$71,779,088. The average grant amount in FY 2007 was \$22,117,187. These totals do not include Hawaii's allocations awarded to its 3 non-entitled counties. Hawaii's share of the 2008 allocation is \$5,217,450.

PROGRAM ACCOMPLISHMENTS:

Accomplishments reported by states in fiscal year 2007 provided 17,492 housing benefits for non-entitlement communities, and created or retained 27,487 jobs.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR Part 570, 24 CFR Part 91, 24 CFR Part 58.

Regional or Local Office:

Contact the appropriate HUD Field Office listed in Appendix IV of the print edition of this Catalog. Or on the Internet, see: <http://www.hud.gov/local/index.cfm>. Contact the Honolulu Field Office for the non-entitled Hawaii counties.

Headquarters Office:

State and Small Cities Division, Office of Block Grant Assistance, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, SW., Washington, DC 20410. Telephone: (202) 708-1322; e-mail: Diane.L.Lobasso@hud.gov.

Web Site Address:

http://www.hud.gov/offices/cpd/about/cpd_programs.cfm.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants;
14.225 Community Development Block Grants/Special Purpose Grants/Insular Areas.

EXAMPLES OF FUNDED PROJECTS:

Projects are selected by States.

CRITERIA FOR SELECTING PROPOSALS:

All States are eligible if they meet the requirements outlined in Credentials/Documentation. States are responsible for determining the methods of distribution of funds to their units of general local government in non-entitlement areas.

Program Text for 14.247

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14.247 Self-Help Homeownership Opportunity Program

(Self-Help Homeownership Opportunity Program-SHOP)

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing Opportunity Extension Act of 1996, Section 11, as amended.

OBJECTIVES:

To facilitate and encourage innovative homeownership opportunities through the provision of self-help housing where the homebuyer contributes a significant amount of sweat equity toward the construction of the dwellings.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Eligible expenses for program funds are land acquisition and infrastructure improvements, which taken together may not exceed an average of \$15,000 in assistance per dwelling. Additionally, up to 20 percent of the grant amount may be used for administrative costs.

Applicant Eligibility:

Funds are awarded competitively to national or regional nonprofit organizations or consortia that have experience in providing self-help housing homeownership opportunities.

Beneficiary Eligibility:

Eligible homebuyers are low-income families who are otherwise unable to afford to purchase a dwelling, and who provide significant amounts of sweat equity or volunteer labor to the development of the dwellings.

Credentials/Documentation:

This program is subject to the provisions of 24 CFR part 84 for nonprofit organizations.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Application Procedure: Applications may be submitted electronically in response to the annual publication of the SHOP NOFA in the Federal Register. The General Section of the SuperNOFA and SHOP NOFA detail the submission requirements.

Award Procedure:

Based upon the requirements published in the Federal Register SuperNOFA, respondents are notified of acceptance or rejection.

Deadlines:

July 11, 2008, as indicated in the Federal Register SuperNOFA publication.

Range of Approval/Disapproval Time:

From 60 to 90 days.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

Leveraging of other public and/or private resources and homebuyer sweat equity and volunteer labor are required to complete housing construction.

Length and Time Phasing of Assistance:

Assistance is released on an as-needed basis over a 24-month period. For affiliates that develop 5 or more dwellings units, up to a 36-month period.

Reports:

Quarterly and annual progress reports and final close-out reports.

Audits:

The audit requirements in 24 CFR part 45 (implementing OMB Circular No. A-133) apply. In accordance with the provisions of OMB Circular No. A-133, (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year.

Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for the year, except as noted in Circular No. A-133.

Records:

All records applicable to the program must be kept for three years following close-out.

Account Identification:

86-0176-0-1-604; 86-0162-0-1-451.

Obligations:

(Grants) FY 07 \$19,800,000; FY 08 est \$26,500,000; and FY 09 est \$40,000,000 (NOTE: Amounts reported reflect allocation of new budget authority rather than obligation amounts.)

Range and Average of Financial Assistance:

Four grants were awarded in FY 2007. They ranged from \$2,241,245 to \$8,404,670. The average grant was \$4,699,260.

PROGRAM ACCOMPLISHMENTS:

1,999 units were completed in FY 2007 and over 2,500 units are under development.

REGULATIONS, GUIDELINES, AND LITERATURE:

The statute and SHOP NOFA constitute the regulations.

Regional or Local Office:

None.

Headquarters Office:

Lou Thompson, Office of Affordable Housing Programs, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410. Telephone: (202) 708-2684. E-mail: louise.d.thompson@hud.gov.

Web Site Address:

<http://www.hud.gov/progdsc/cpdindx.html>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed according to the rating factor requirements set forth in the SHOP NOFA and SuperNOFA.

Program Text for 14.248

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14.248 Community Development Block Grants Section 108 Loan Guarantees

(Section 108)

FEDERAL AGENCY:

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT,
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Housing and Community Development Act of 1974, Section 108 as amended.

OBJECTIVES:

To provide communities with a source of financing for economic development, housing rehabilitation, public facilities, and large scale physical development projects.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

For purposes of determining eligibility, the Community Development Block Grant (CDBG) rules and requirements apply. As with the CDBG program, all projects and activities must either principally benefit low- and moderate-income persons, aid in the elimination or prevention of slums and blight, or meet urgent needs of the community. The President's FY 2009 budget proposes to combine several categorical programs, including the Section 108 program, into the CDBG program.

Applicant Eligibility:

Eligible Applicants include: metropolitan cities and urban counties, i.e., CDBG entitlement recipients; non-entitlement communities that are assisted in their submission of applications by States that administer the CDBG program; non-entitlement communities eligible to receive CDBG funds under the HUD-Administered Small Cities CDBG program; and Insular Areas (American Samoa, Guam,

Northern Mariana Islands, and the Virgin Islands). The public entity may be the borrower or it may designate a public agency to be the borrower. Furthermore, HUD's FY 2008 appropriations act authorizes HUD to provide loan guarantees to States borrowing on behalf of local governments in non-entitlement areas.

Beneficiary Eligibility:

The principal beneficiaries are low and moderate income persons.

Credentials/Documentation:

Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

Preapplication requirements are found in 24 CFR Section 570.704. Pre-submission requirements are similar to those applicable for the Community Development Block Grants program. Proposed activities must be consistent with a unit of local government's Consolidated Plan submitted for HUD's Community Planning and Development Programs. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

Application requirements are found in 24 CFR Section 570.704.

Award Procedure:

Upon approval of an application, HUD will issue to the applicant an offer of commitment to guarantee notes or other obligations issued by the applicant or its public agency designee. The commitment will be subject to compliance with applicable requirements and such conditions as HUD may specify in the commitment document. Furthermore, borrowers are required to pledge current and future CDBG funds as security for the loan guarantee. HUD also requires that the loan guarantee be secured with other collateral.

Deadlines:

None; applications can be submitted at any time.

Range of Approval/Disapproval Time:

Approximately 75 days from submission of complete application to appropriate HUD Field Office.

Appeals:

None.

Renewals:

Not Applicable.

Formula and Matching Requirements:

Formula and Matching Requirements: Section 108 has no matching requirement but Section 108 funds (like CDBG funds) may be used as local matching funds for most other Federal programs. Maximum commitment amounts are limited as follows: Entitlement Public Entities an entitlement public entity may apply for up to five times the public entity's latest (approved) CDBG entitlement amount, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans; State assisted public entities a non-entitlement public entity may apply for up to five times the latest (approved) CDBG amount received by its State, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans for which the State has pledged its CDBG funds as security; and Non-entitlement public entities eligible under the HUD administered Small Cities Program in Hawaii and Insular Areas, the maximum commitment amount is five times the public entity's latest grant under Subpart F of 24 CFR Part 570, minus any outstanding Section 108 commitments and/or principal balances on Section 108 loans.

Length and Time Phasing of Assistance:

The maximum repayment period for a Section 108 loan is twenty years. Repayment schedules and forms are negotiable.

Reports:

The reporting requirements for Section 108 are the same as the reporting requirements for the CDBG program.

Audits:

In accordance with the provisions of OMB Circular No. A-133, "Audits of State and Local governments and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

The record keeping requirements of Subpart J of 24 CFR Part 570 apply to entitlement public entities receiving grants under Subpart F of 24 CFR Part 570. The record keeping requirements of Subpart I of 24 CFR Part 570 apply to State-assisted public entities.

Account Identification:

86-0198-0-1-451.

Obligations:

(Loan limitation) FY 07 \$137,500,000; FY 08 est \$200,000,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

\$223,000 to \$20,890,000; \$5,425,000.

PROGRAM ACCOMPLISHMENTS:

For fiscal year 2007, 37 commitments are estimated to have generated 4,108 jobs. Fiscal year 2008 estimate: 35 commitments issued and 4,100 jobs created or retained.

REGULATIONS, GUIDELINES, AND LITERATURE:

24 CFR 570 Subpart M.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Financial Management Division, Room 7180, Community Planning and Development, Department of Housing and Urban Development, 451 7th Street, S.W., Washington, DC 20410.

Web Site Address:

<http://www.hud.gov/offices/cpd/communitydevelopment/programs/>.

RELATED PROGRAMS:

14.218, Community Development Block Grants/Entitlement Grants;
14.228, Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii.

EXAMPLES OF FUNDED PROJECTS:

A wide-range of community and economic development projects includes public facilities, housing rehabilitation projects, and economic development loan funds.

CRITERIA FOR SELECTING PROPOSALS:

Applications are reviewed against criteria set forth at 24 CFR S 570.704.

Program Text for 14.250

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14.250 Rural Housing and Economic Development

FEDERAL AGENCY:

COMMUNITY PLANNING AND DEVELOPMENT, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AUTHORIZATION:

Public Law 105-276 - October 21, 1998.

OBJECTIVES:

To build capacity at the State and local level for rural housing and economic development and to support innovative housing and economic development activities in rural areas.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Grants can be used to support innovative housing and economic development activities for this program. More specific information is provided in the Notice of Funding Availability (NOFA).

Applicant Eligibility:

Local rural nonprofit organizations, community development corporations, Federally recognized Indian Tribes, State Housing Financing Agencies and State Community and/or Economic Development Agencies.

Beneficiary Eligibility:

Local and rural communities.

Credentials/Documentation:

As required by the NOFA.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

The Federal Register NOFA will detail each competition's submission requirements and the competitive funding.

Award Procedure:

Competition is required for grants. Awards to applicants securing the highest scores in relation to the selecting factors outlined in the NOFA.

Deadlines:

The NOFA will contain deadlines.

Range of Approval/Disapproval Time:

September 1, 2008.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

For a period of 36 months.

Reports:

Semi-Annual narrative and statistical reports are required.

Audits:

In accordance with provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Non-Profit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal Awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Each recipient must maintain records to facilitate audits of funds, disposition of proceeds, costs from all sources, in-kind/cash contributions and information on housing, and other facilities connected with the use of the funds.

Account Identification:

86-0324-0-1-604.

Obligations:

FY 07 \$16,830,000; FY 08 est \$17,000,000; and FY 09 est \$0. (Note: Amounts reported reflect allocation of new budget authority rather than obligation amounts).

Range and Average of Financial Assistance:

Maximum award amount is \$300,000.

PROGRAM ACCOMPLISHMENTS:

854 jobs were created for the period October 1, 2006 to September 30, 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

None.

Regional or Local Office:

Contact appropriate HUD Field Office listed in Appendix IV of the Catalog.

Headquarters Office:

Thann Young, Office of Rural Housing and Economic Development, Community Planning and Development 451 7th St., S.W., Rm. 7137, Washington, DC 20410. Telephone: (202) 708-2290.

Web Site Address:

<http://www.hud.gov/offices/cpd/economicdevelopment/programs/>

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Balancing the need for assistance with capacity of applicant to effectively use the assistance.

Program Text for 15.918

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15.918 Disposal of Federal Surplus Real Property for Parks, Recreation, and Historic Monuments

(Surplus Property Program; Federal Lands to Parks Program; Historic Surplus Property Program)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

40 U.S.C. 550(b), (e), and (h), Public Law 107-217, originally under the Federal Property and Administrative Services Act of 1949, Section 203(k), 63 Stat. 385 as amended, 40 U.S.C. 484, Public Law 91-485; Federal Lands for Parks and Recreation, 16 U.S.C. 4601-5.

OBJECTIVES:

To transfer surplus Federal real property for public park and recreation use, or for use of historic real property.

TYPES OF ASSISTANCE:

Use of Property, Facilities, and Equipment.

USES AND USE RESTRICTIONS:

Surplus real property may be conveyed for public park and recreation use at discounts up to 100 percent of fair market value and for historic purposes without monetary consideration. Property conveyed for park and recreation use or historic purposes must be used for these purposes in perpetuity or be reverted to Federal ownership.

Applicant Eligibility:

Only State or local units of government are eligible to apply for surplus real property for public park and recreation and historic purposes, and must agree to manage the property in the public interest and for public use (in the case of park and recreation purposes). Only properties listed in or eligible for listing the National Register of Historic Places may be transferred through the Historic Surplus Property Program.

Beneficiary Eligibility:

Only State or local units of government are eligible to apply for surplus real property for public park and recreation and historic purposes, and must agree to manage the property in the public interest and for public use (in the case of park and recreation purposes). Property conveyed for historic purposes may under certain circumstances be used for revenue-producing activities to support the historic property, and such activities may be eligible for Federal Historic Preservation Tax Incentives.

Credentials/Documentation:

The applicant must submit an application, proposed program of use for the property and evidence of its ability to finance the program. Additionally, applicants under the Historic Surplus Property Program must submit an architectural plan. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Park and recreation and historic applications are coordinated by the National Park Service, Department of the Interior, and the General Services Administration, or in the case of legislated military base closures, the Department of Defense and its military components. This program is excluded from coverage under OMB Circular No. A-102 and E.O. 12372.

Application Procedure:

Applications for park and recreation use and historic uses are submitted to the appropriate National Park Service Regional Office. Application forms are issued by the National Park Service. This program is excluded from coverage under OMB Circular Nos. A-102 and A-110.

Award Procedure:

Upon approval of a park and recreation application, the National Park Service will request GSA or DOD military service, in the case of base closures, to assign the property to the Secretary of the Interior for transfer. Upon assignment by DOD or GSA, the National Park Service will transfer the property to the applicant, in the case of park and recreation transfers. Historic transfers are made by GSA or DOD.

Deadlines:

The National Park Service (or an eligible applicant for a historic monument transfer) must notify the General Services Administration within 20 calendar days after the date of the notice of determination of surplus if it has an eligible applicant interested in acquiring the property, or otherwise comply with the DOD Base Realignment and Closure planning and disposal schedules. For a park and recreation transfer, the National Park Service must request assignment of property from GSA within 25 calendar days after the expiration of the 20 day period, or otherwise comply with DOD Base Realignment and

Closure (BRAC) planning and disposal schedules. For a historic monument transfer, GSA or the DOD military service, in the case of base closures, deeds the property directly to the approved applicant.

Range of Approval/Disapproval Time:

From 3 to 6 months.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

None.

Reports:

Recipients of surplus properties are required to submit biennial compliance reports on the use of the property.

Audits:

The National Park Service conducts periodic on-site compliance inspections to assure that the properties are being utilized for the purposes for which they are conveyed. Properties in noncompliance may be reverted back to the Federal Government.

Records:

The National Park Service maintains official records concerning the property.

Account Identification:

14-1042-0-1-303.

Obligations:

Federal Lands to Parks: FY 07 \$556,000; FY 08 \$565,000; and FY 09 est. \$575,000. Historic Monuments: FY 07 \$145,000; FY 08 \$150,000; and FY 09 est. \$150,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Since 1949, over 1,400 properties comprising over 156,000 acres have been transferred to State and local governments for park and recreation purposes, and approximately 130 properties for historic purposes. In fiscal year 2004, 25 properties (3,494 acres) were

transferred for park and recreation purposes through the Federal Lands to Parks Program.

REGULATIONS, GUIDELINES, AND LITERATURE:

"The Federal Lands to Parks Program," 1997 brochure (no charge), "Historic Surplus Property Program," 1998 brochure published by the National Park Service (no charge), "Disposal of Surplus Real Property," booklet published by the General Service Administration (no charge). 40 U.S.C. 550(b), (e), and (h), Public Law 107-217.

Regional or Local Office:

Applicants for historic purposes are requested to contact the following National Park Service Offices: the Alaska Support Office; Intermountain Region-Santa Fe Office; Midwest Region; Northeast Region-Philadelphia Office; Pacific West Region-Seattle Office; or the Southeast Region. (See Catalog Appendix IV for addresses.) Applicants for parks and recreation purposes should contact the National Park Service Offices: Northeast Region, Boston, MA office; Southeast Region, Atlanta, GA; Western Region, Oakland, CA.

Headquarters Office:

National Park Service, Heritage Preservation Services, Historic Surplus Property Program, 1849 C Street, N.W., (Org. Code 2255), Washington, DC 20240. Contact: Gary Sachau, Telephone (202) 354-2044. National Park Service, Recreation Programs Division, Federal Lands to Parks Program, 1849 C Street N.W., Org. Code 2225, Program Contacts: Michael D. Wilson and Wendy Ormant, Telephone: (202) 354-6915.

Web Site Address: Historic Surplus Property Program:

http://www.cr.nps.gov/hps/tps/hssp_p.htm. Federal Lands to Parks Program:

<http://www.nps.gov/flp>.

RELATED PROGRAMS:

15.916, Outdoor Recreation Acquisition, Development and Planning; 39.002, Disposal of Federal Surplus Real Property; 93.291, Surplus Property Utilization; 15.915, Technical Preservation Services.

EXAMPLES OF FUNDED PROJECTS:

Hiking, Bicycling and/or Equestrian Trails; Nature Study Areas - Wildlife Conservation Areas; Developed Play Areas; State and Regional Parks; Arts/Crafts Centers; Youth and Senior Citizen Areas; Historic Buildings and/or Archeological Areas.

CRITERIA FOR SELECTING PROPOSALS:

Suitability of real property for the proposed use and the ability of the applicant unit of government to carry out the proposed program of use.

Program Text for 15.922

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15.922 Native American Graves Protection and Repatriation Act

(NAGPRA)

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Native American Graves Protection and Repatriation Act of 1990 (NAGPRA); Section 10, Public Law 101-601, 25 U.S.C. 3008.

OBJECTIVES:

To provide grants to museums to assist in the consultation on and documentation of Native American human remains and cultural items; to provide grants to Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to assist in identifying human remains and cultural items, including sacred objects, with which they are culturally affiliated; and to provide grants to museums, Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to assist in the repatriation of human remains and cultural items which they are culturally affiliated.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Project grants may support the following: Consultation/Documentation grants for museums may be used to: (a) bring lineal descendants, traditional religious leaders, and authorized representatives of Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to inspect collections and consult regarding the identification, cultural affiliation, proper care, treatment, and repatriation of Native American cultural items; (b) bring traditional religious leaders, and authorized representatives of Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations to inspect collections and consult regarding culturally unidentifiable Native American human remains; (c) provide NAGPRA training for staff members; (d) fund travel by staff members to consult

with lineal descendants, traditional religious leaders, and Indian tribe, Alaska Native village and corporation, and Native Hawaiian organization officials regarding the proper care, treatment, and disposition of Native American cultural items; and (e) update documentation of unassociated funerary objects, sacred objects, and objects of cultural patrimony in consultation with lineal descendants, traditional religious leaders, and authorized representatives of Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations. Consultation/Documentation grants for Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations may be used to: (a) assess and evaluate summaries, inventories, and other documentation provided by museums and Federal agencies; (b) provide NAGPRA training for staff members; (c) communicate with museum and Federal agency officials regarding culturally affiliated human remains and cultural items; (d) travel to inspect collections and consult with museum and Federal agency officials regarding the identification, cultural affiliation, proper care, treatment, and repatriation of Native American human remains and other cultural items; (e) travel to consult with museum and Federal agency officials regarding culturally unidentifiable Native American human remains; (f) document the cultural affiliation of Native American human remains and other cultural items currently in the control of museums and Federal agencies. Repatriation grants for museums, Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations may be used for: (a) travel by authorized representatives of Indian tribes, Alaska Native villages and corporations, Native Hawaiian organizations, or museums to accept and/or accompany human remains or other cultural items that are being repatriated; (b) transportation of Native American human remains or other cultural items that are being repatriated; and (c) construction of appropriate containers for the transport and disposition of repatriated Native American human remains and other cultural items. Grant funds may not be used for: activities related to the documentation or repatriation of human remains and cultural items from the Smithsonian Institution; activities related to the inadvertent discovery and/or intentional excavation of Native American human remains and other cultural items on Federal or tribal lands pursuant to NAGPRA Section 3 and 43 CFR 10 Subpart B; museum or tribal litigation costs, including expert testimony; care and curation of repatriated Native American human remains and cultural items; construction or renovation of facilities; or purchase of land or buildings; costs associated with the transport of cultural items for which control is not transferred to a lineal descendant, Indian tribe, or Native Hawaiian organization; overhead or indirect cost rates above 25 percent; projects proposed by applicants who have previously received a NAGPRA grant, but whose grant expired without successfully completing major elements of the proposed work, or without meeting the conditions of the grant award.

Applicant Eligibility:

Eligible applicants for museum documentation awards include any

institution or State or local government agency (including any institution of higher learning) that has possession of, or control over, of Native American human remains or other cultural items and which has completed the following NAGPRA obligations: provided a written summary of their Native American collections to the National Park Service and appropriate lineal descendants, Indian tribes, and Native Hawaiian organizations; and submitted an inventory of Native American human remains and associated funerary objects in their collections to the National Park Service and culturally affiliated Indian tribes and Native Hawaiian organizations. Eligible applicants for tribal documentation and repatriation awards are Indian tribes, Alaska Native villages or corporations, and Native Hawaiian organizations that meet the criteria outlined in the Native American Graves Protection and Repatriation Act. An Indian tribe is defined in NAGPRA as any tribe, band, nation, or other organized group or community of Indians, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Alaska Native villages and corporations include those groups or communities defined in, or established pursuant to, the Alaska Native Claims Settlement Act. Native Hawaiian organizations include any organization that: serves and represents the interests of Native Hawaiians; has as a primary and stated purpose the provision of services to Native Hawaiians; and has expertise in Native Hawaiian Affairs. NAGPRA states that such Native Hawaiian organizations shall include the Office of Hawaiian Affairs and Hui Malama I Na Kapuna `O Hawai`i Nei. Applications for repatriation awards will be considered only after publication of the required Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register. Funding is not available for activities that occur prior to the submissions of the application.

Beneficiary Eligibility:

Eligible beneficiaries for museum documentation awards include any institution or State or local government agency (including any institution of higher learning) that has possession of, or control over, of Native American human remains or other cultural items and which has completed the following NAGPRA obligations: provided a written summary of their Native American collections to the National Park Service and appropriate lineal descendants, Indian tribes, and Native Hawaiian organizations; and submitted an inventory of Native American human remains and associated funerary objects in their collections to the National Park Service and culturally affiliated Indian tribes and Native Hawaiian organizations. Eligible beneficiaries for tribal documentation and repatriation awards are Indian tribes, Alaska Native villages or corporations, or Native Hawaiian organizations that meet the criteria outlined in the Native American Graves Protection and Repatriation Act. An Indian tribe is defined in the statute as any tribe, band, nation, or other organized group or community of Indians, which is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Alaska Native villages and corporations include those groups

or communities defined in, or established pursuant to, the Alaska Native Claims Settlement Act. Native Hawaiian organizations include any organization that: serves and represents the interests of Native Hawaiians; has as a primary and stated purpose the provision of services to Native Hawaiians; and has expertise in Native Hawaiian Affairs. NAGPRA states that such Native Hawaiian organizations shall include the Office of Hawaiian Affairs and Hui Malama I Na Kapuna `O Hawai`i Nei. Applications for repatriation awards will be considered only after publication of the required Notice of Inventory Completion or Notice of Intent to Repatriate in the Federal Register. Funding is not available for activities that occur prior to the submissions of the application.

Credentials/Documentation:

Office of Management and Budget (OMB) Circular A-87 "Cost Principles for State and Local Governments" applies for Indian tribes and State institutions. OMB Circular A-122 applies for non-profit institutions. OMB Circular A-21 applies for universities.

Preapplication Coordination:

This program is excluded from coverage under Executive Order 12372. An Environmental Impact Statement/Assessment is not required for this program.

Application Procedure:

Museums, federally recognized Indian tribes, and Native Hawaiian organizations may apply for annual grants in the form of planned activities and projects. Provisions of 43 CFR Part 12 apply. Applicants may contact the National Park Service National NAGPRA program by telephone at (202) 354-2203, by E-mail: NAGPRA_Grants@nps.gov, or on the Web at <http://www.cr.nps.gov/nagpra/grants> OR <http://grants.gov>.

Award Procedure:

An annual appropriation is allocated by the Secretary of the Interior.

Deadlines:

Set dependent upon the date of enactment of appropriations for the fiscal year for which assistance is requested, but generally set approximately February or March each year. For application deadlines, contact the National Park Service National NAGPRA program by telephone at (202) 354-2203, by e-mail at NAGPRA_Grants@nps.gov, or on the Web at <http://www.cr.nps.gov/nagpra/grants>.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

There is no statutory apportionment formula; grants are awarded competitively.

Length and Time Phasing of Assistance:

Grants are awarded for up to 18 months. Payments are made upon request for costs incurred.

Reports:

An Interim Progress Report and a Final Progress Report are required from each grantee. A Federal Cash Transaction Report (SF-272) must be submitted to report expenditure of any Advance Payments made to a grantee.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Full fiscal and project records to be maintained by grantees in accordance with OMB Circular Nos. A-87 and A-102 (for Indian tribes and State and local governments) or OMB Circular Nos. A-110 (for nonprofit institutions) and A-122 or OMB Circular Nos. A-21 and A-110 (for all other grantees).

Account Identification:

14-1042-0-1-303.

Obligations:

(Grants) FY 07 \$2,400,000; FY 08 \$2,400,000; and FY 09 est. \$2,350,000.

Range and Average of Financial Assistance:

\$5,000 to \$75,000 for museum and tribal consultation/documentation grants; any amount up to \$15,000 for repatriation grants. Average amount for a documentation grant: \$63,380. Average amount for a repatriation grant: \$10,931.

PROGRAM ACCOMPLISHMENTS:

As of fiscal year 2006, 523 grants totaling over \$27 million has been awarded to museums, Indian tribes, Alaska Native villages and

corporations, and Native Hawaiian organizations for projects that include the creation of museum/tribe partnerships through staff exchanges; workshops and training opportunities; the coordination of inter-tribal and intra-museum discussions; hiring tribal repatriation coordinators to prepare and review NAGPRA-related documentation, and returning human remains and cultural items to Indian tribes, Alaska Native villages and corporations, and Native Hawaiian organizations.

REGULATIONS, GUIDELINES, AND LITERATURE:

Guidelines and proposal materials are available upon request from the National Park Service, National NAGPRA Program, 1849 C Street, N.W. (2253), Washington, DC 20240. Requests may also be directed to the National NAGPRA Program at (202) 354-2203, or via E-mail: NAGPRA_Grants@nps.gov.

Regional or Local Office:

None.

Headquarters Office:

National NAGPRA, National Park Service, 1849 C Street, N.W. (2253), Washington, DC 20240-0001. Telephone (202) 354-2203; Fax: (202) 371-5197; E-mail: NAGPRA_Grants@nps.gov. Program inquiries should be directed to Sherry Hutt E-mail: Sherry_Hutt@nps.gov.

Web Site Address:

<http://www.cr.nps.gov/nagpra/grants>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

Projects include but are not limited to: NAGPRA Consultation/Documentation Projects: a type of grant which provides tribes with the funds necessary to set-up a NAGPRA office and begin organizing information received from museums, researching cultural affiliation and communicating with museums; Consultation with Tribes: a type of grant which provides museums with the funds necessary to finance tribal visits which facilitate consultation and allow dialogue about collections; NAGPRA Compliance Workshops: a type of grant which provides funding for regional workshops and training sessions allowing the broad dissemination of information on the implementation of NAGPRA as well as information tailored to suit a particular locale.

CRITERIA FOR SELECTING PROPOSALS:

Grant proposals will be evaluated according to four criteria: Criterion A - Are the objectives of the project consistent with the goals of Native American Graves Protection and Repatriation Act? (Projects that are inconsistent with NAGPRA's goals will not be considered for funding).

Are the project objectives clearly described? Special consideration will be given to collaborative proposals, especially proposals that focus on sharing and disseminating information among multiple museums and/or Indian tribes, or that outline a plan to work with specific Indian tribes and/or museums. Criterion B - Is the project designed to accomplish the project objectives efficiently? Are the activities clearly described in detail, with an explicitly stated link between the activities and the stated objectives? Are activities organized logically? Are project methods and techniques described clearly? Are the roles and responsibilities of all participants defined clearly and described? Applicants with previously funded NAGPRA grants will have to include a description of the progress achieved and describe how the new project fits with the previously funded one. Criterion C - Is the budget reasonable to accomplish project tasks and activities? Are budget items necessary to accomplish project activities? Is the budget justification sufficient to explain project costs in detail? Criterion D - Are project personnel qualified to accomplish project objectives? Are project personnel are qualified for the activities and tasks assigned? Are unfilled positions and consultancies clearly described? Are search criteria defined for all unfilled positions and consultancies?

General Services Administration
Integrated Acquisition Environment (IAE)



Program Text for 15.929

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15.929 Save America's Treasures

FEDERAL AGENCY:

NATIONAL PARK SERVICE, DEPARTMENT OF THE INTERIOR

AUTHORIZATION:

Omnibus Parks and Public Lands Management Act of 1996; National Historic Preservation Act of 1966, as amended, Section 108; Public Law 108-108, 110 Stat. 4174; 16 USC 470.

OBJECTIVES:

To provide matching grants for preservation and/or conservation work on nationally significant intellectual and cultural artifacts and nationally significant historic structures and sites. Intellectual and cultural artifacts include artifacts, collections, documents, sculpture and works of art.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Funds may be used for projects resulting in the protection and preservation of nationally significant historic structures and sites, as well as nationally significant collections of intellectual and cultural artifacts, documents, sculpture and works of art. Projects to repair structures must comprise one or more of the 4 allowable treatments defined in the "Secretary of the Interior's Standards for the Treatment of Historic Properties" (36 CFR 78). These treatments are preservation, rehabilitation, restoration, and reconstruction. No funding is given to projects dealing with acquisition of properties or collections, payment of rent on properties or land, fund raising, and lobbying. The Grantee must grant a preservation easement or preservation agreement for each grant-assisted property to the State Historic Preservation Officer (SHPO) for at least 50 years from the end date of the grant agreement.

Applicant Eligibility:

Federal (if part of the Interior and Related Agencies Appropriation),

Intrastate, Interstate, State and Local agencies, Public or Private nonprofit institutions/organizations, State Colleges and Universities, Public and Private Colleges and Universities, and Federally Recognized Indian Tribes. Individuals are not eligible applicants. Properties receiving Save America's Treasures (SAT) grant assistance must be listed in the National Register of Historic Places for National significance.

Beneficiary Eligibility:

Any Federal, Intrastate, Interstate, State and local agencies, Public or Private nonprofit institution/organization, State Colleges or University, Public and Private College or University, or Federally recognized Indian tribes.

Credentials/Documentation:

None.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants should contact the Save America's Treasures Program in January to obtain an application for funding. Applicants will receive a Save America's Treasures Program application and instructions. Forms must be completed and returned to the Save America's Treasures Program by the date listed in the Application Guidelines. The Application Guidelines can be found at www2.cr.nps.gov/treasures. The Program is included in Grants.gov FIND and APPLY. If you don't have internet access, the request for an application may be sent to: Grants Manager, Save America's Treasures, National Park Service, 1849 C Street, NW, Mail Stop 2255, Washington, DC 20240.

Award Procedure:

Award amounts are based on the review panel recommendations. Each application is scored individually based on standardized criteria.

Deadlines:

Contact the Save America's Treasures Program for application deadlines at (202) 513-7270, Ext. 6.

Range of Approval/Disapproval Time:

Applications are reviewed and approved or disapproved by the Save America's Treasures Program by the end of the Federal fiscal year.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

This program has no statutory formula. Grants must be fully matched on a dollar-for-dollar basis.

Length and Time Phasing of Assistance:

Project duration is generally for two years. Funds for the project may be requested as advances and/or reimbursements via SMARTLINK electronic payment system, with the final payment being a reimbursement. All conditions of the project must be complete at the time of requesting the final payment. All awarded funds must be expended within 90 days of the grant end date specified in the Grant agreement.

Reports:

Quarterly performance and financial reports (SF-270 and SF-272), sent directly to the Save America's Treasures Program, are required for all projects. Final performance and financial status reports are required within 90 days of the grant end date. The final payment request will not be approved until an acceptable final report has been submitted.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that receive financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Records for grants awarded to State and Local Governments must be maintained in accordance with the provisions of 43 CFR 12, Subpart C, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." Records for grants awarded to institutions of higher education and other nonprofit organizations must be maintained in accordance with the provisions of 43 CFR 12, Subpart F, "Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Nonprofit Organizations."

Account Identification:

14-1042-0-1-303.

Obligations:

FY 07 \$8,074,000; FY 08 \$24,610,000; and FY 09 est. \$15,000,000.

Range and Average of Financial Assistance:

\$50,000 to \$500,000; \$200,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

Regulated by 43 CFR Part 12.

Regional or Local Office:

None.

Headquarters Office:

Save America's Treasures Program, National Park Service, 1849 C Street, NW., Washington, DC 20240. Telephone: (202) 513-7270, Ext. 6; Fax: (202) 371-1794. Program inquiries should be directed to e-mail: Hampton_Tucker@nps.gov.

Web Site Address: www2.cr.nps.gov/treasures

RELATED PROGRAMS:

15.904, Historic Preservation Fund Grants-In- Aid; 15.914, National Register of Historic Places.

EXAMPLES OF FUNDED PROJECTS:

Star-Spangled Banner Flag House, Baltimore, Maryland - This National Historic Landmark was the home of the seamstress who sewed the flag that inspired Francis Scott Key to write the National Anthem. Funds used to address invasive moisture problems. Historic Drawings and Documents Collection, Yellowstone National Park, Yellowstone, NPS, Wyoming - The 15,000 drawings in this collection document work in the nation's first national park from the 19th century to the present. This grant will support conservation of the collection. Pollock-Krasner House and Study Center, East Hampton - This National Historic Landmark was the home and studio of 20th-century American painters Jackson Pollock and Lee Krasner. It contains their personal effects, artist materials and the studio floor on which Pollock created his masterpieces of direct painting.

CRITERIA FOR SELECTING PROPOSALS:

Applications received are scored on the following criteria: critical preservation need; well-planned objectives, tasks, and schedules; qualifications and coordination of partner organization(s); and realistic budget and matching resources.

Program Text for 17.260

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17.260 WIA Dislocated Workers

(WIA-Dislocated Workers)

FEDERAL AGENCY:

EMPLOYMENT AND TRAINING ADMINISTRATION, DEPARTMENT OF LABOR

AUTHORIZATION:

Workforce Investment Act of 1998, Title I, Subtitle B, Chapter 5, Public Law 105-220, 20 U.S.C. 9201.

OBJECTIVES:

The purpose of the program is to reemploy dislocated workers, improve the quality of the workforce, and enhance the productivity and competitiveness of the nation's economy by providing workforce investment activities that increase the employment, retention, and earnings of participants, and increase occupational skill attainment by the participants. This program is designed to increase employment, as measured by entry into unsubsidized employment, retention in unsubsidized employment after entry into employment, and extent of recovery of prior earnings. For cross cutting goals, the program intends to enhance customer satisfaction for participants and for employers. The employment goals will be measured using Unemployment Insurance Wage Records systems and customer satisfaction goals will be measured by sampling.

TYPES OF ASSISTANCE:

Formula Grants; Project Grants.

USES AND USE RESTRICTIONS:

The Act authorizes three levels of service. which are available to all job seekers. "Core" services include outreach, job search and placement assistance, and labor market information, and are available to all jobseekers. "Intensive" services include more comprehensive assessments, development of individual employment plans and counseling, and career planning, and are available to those who could not find employment through core services. Those customers who

cannot find employment through intensive services may receive "training" services linked to job opportunities in their communities, including both occupational training and training in basic skills. To promote customer choice and involvement in career decisions, participants use an "individual training account" to select an appropriate training program from a qualified training provider. The Act also authorizes the provision of supportive services (e.g., transportation and child care assistance) to enable an individual to participate in the program. National Emergency Grants (NEGs) are used to provide targeted services to workers affected by mass layoffs, natural disasters, Federal government actions, or other specific circumstances. Funds reserved by the Secretary are also used for technical assistance and training and demonstration programs.

Applicant Eligibility:

Under WIA, the organizations eligible to receive formula-based funding from the Department are the 50 states, Puerto Rico, the District of Columbia, and the outlying areas. Funds are allotted based on a statutory formula and states in turn allocate funds to local workforce investment boards (approximately 600), by formula prescribed by the governor. Applicants for NEGs may be states, outlying areas, local workforce boards, and non-profit and private organizations whose purpose is to provide targeted services to eligible beneficiaries.

Beneficiary Eligibility:

Individuals eligible for assistance through the applicants receiving the funds include workers who have lost their jobs, including those dislocated as a result of plant closings or mass layoffs, and are unlikely to return to their previous industry or occupation; formerly self-employed individuals; and displaced homemakers who have been dependent on income of another family member, but are no longer supported by that income. NEG Program has National Emergency Grants have identical eligibility to the above and also includes certain military personnel and defense employees. Services through NEGs are targeted on individuals affected by mass layoffs, natural disasters, Federal government actions, and other circumstances specified by the Secretary.

Credentials/Documentation:

Formula-funded programs are subject to governor/Secretary Agreement and State Plan. States sign a grant document agreeing to comply with the Act and regulations for the formula-allotted program.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires

to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure:

The governor submits a single Strategic State Plan in accordance with one of the Planning guidance, either Stand-Alone or Unified, issued through the Federal Register. The planning guidance provide detailed instruction on what must be included in the State Plan. The Unified Planning Guidance provides a framework for collaboration across programs and integration of services beyond WIA Title I programs and Wagner-Peyser Activities, including non-DOL programs and other DOL programs. The plan is submitted to the Federal Coordinator for Plan Review and Approval, currently Janet Sten, Division of Workforce System Support, Office of Workforce Investment, Employment and Training Administration, Department of Labor, 200 Constitution Avenue, NW, Room S-4231, Washington, DC 20210. Application for National Emergency Grant funds is made through a governor's or other authorized applicant's submission of a proposal in accordance with guidelines issued by the Secretary of Labor. Please see http://www.doleta.gov/neg/apply_neg.cfm for a description.

Award Procedure:

Formula Funds: Those portions of the State Plan over which the Assistant Secretary for Employment and Training exercises authority are reviewed and approved by the Employment and Training Administration. Formula funds are awarded to the states based on a statutory formula provided in the authorizing legislation. NEGs: Submitted applications are reviewed by the Assistant Secretary for the Employment and Training Administration and approved by the Secretary of Labor.

Deadlines:

Each state shall submit its State Plan on or before the date set by the Assistant Secretary for Employment and Training. Contact Headquarters Office for an application deadline date.

Range of Approval/Disapproval Time:

State plans will be reviewed in accordance with 20 CFR 661.220(e), which provides that the Secretary must approve all state plans within 90 days of their submission, unless the Secretary determines in writing that: (1) the state plan is inconsistent with the provisions of Title I of WIA or the WIA regulations, including 29 CFR Part 37; or (2) the portion of the state plan impacting the Wagner-Peyser Act plan does not satisfy the criteria for approval in section 8(d) of the Wagner-Peyser Act or the Wagner-Peyser Act regulations at 20 CFR Part 652.

Appeals:

Contact the Headquarters Office listed below to obtain information on appeal procedures.

Renewals:

None.

Formula and Matching Requirements:

Formula funds are allotted to states under a statutory formula based on the distribution of unemployed individuals by state in three categories of unemployment. There are no matching requirements.

Length and Time Phasing of Assistance:

Formula funds are allotted to states under a statutory formula based on the distribution of unemployed individuals by state in three categories of unemployment. There are no matching requirements.

Reports:

Financial and performance reporting requirements as specified by the Secretary in accordance with 20 CFR 667.300.

Audits:

Subject to audits by the Department of Labor or other authorized Government agencies. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), Audits of states, local governments, and non-profit organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

States are required to maintain adequate records in accordance with 29 CFR 95 and 97.

Account Identification:

16-0174-0-1-504.

Obligations:

(Formula Grants) FY 07 \$1,190,000,000; FY 08 est \$1,151,000,000; and FY 09 est \$1,065,000,000 (NEGs) FY 07 \$117,000,000; FY 08 est \$100,000,000; and FY 09 est \$93,000,000.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

Formula program: The WIA Dislocated Worker program served 306,968 participants. Of those who exited the program, 120,433 entered employment. The entered employment rate was 83.2 percent. Program accomplishments are published annually and posted on the Internet at:

http://www.doleta.gov/performance/results/wia_national_performance.

cfm. A copy of the report may be obtained by writing to: Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210.

REGULATIONS, GUIDELINES, AND LITERATURE:

20 CFR 652 et al., Federal Register of August 2000.

Regional or Local Office:

Contact appropriate Regional Employment and Training Office listed in Appendix IV of the Catalog.

Headquarters Office:

Employment and Training Administration, Department of Labor, 200 Constitution Avenue, N.W., Washington, DC 20210. Contact: Christine Ollis, Division Chief, Adult Services, Office of Workforce Investment, Room S-4209. Telephone: (202) 693-3046.

Web Site Address:

<http://www.doleta.gov>.

RELATED PROGRAMS:

17.207, Employment Service/Wagner-Peyser Funded Activities; 17.245 Trade Adjustment Assistance; 17.258, WIA Adult Program; 17.259, WIA Youth Activities.

EXAMPLES OF FUNDED PROJECTS:

Formula funds: not applicable. National Emergency Grants: (1) Louisiana Department of Employment and Training, \$40,700,000, to hire individuals impacted by Hurricane Katrina in temporary jobs that: (a) worked on projects providing food, clothing, shelter and other humanitarian assistance for disaster victims; (b) provide manpower to help the affected communities with demolition, cleaning, repair, renovation, and reconstruction of damaged or destroyed public structures, facilities and lands located within the disaster areas. (2) Massachusetts Department of Workforce Development, \$2,890,474, for layoffs affecting approximately 1200 workers at Fleet Bank/Bank of America. (3) District of Columbia Department of Employment Services, \$950,000, to respond to the workforce development needs of employers, communities, and workers resulting from scheduled closures and realignments of military installations as a result of the approved actions for Base Realignment and Closing (BRAC) in 2005.

CRITERIA FOR SELECTING PROPOSALS:

Not applicable.

Program Text for 20.106

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20.106 Airport Improvement Program

(AIP)

FEDERAL AGENCY:

FEDERAL AVIATION ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

AUTHORIZATION:

Public Law 103-272.

OBJECTIVES:

To assist sponsors, owners, or operators of public-use airports in the development of a nationwide system of airports adequate to meet the needs of civil aeronautics.

TYPES OF ASSISTANCE:

Project Grants; Advisory Services and Counseling.

USES AND USE RESTRICTIONS:

Grants can be made for integrated airport system planning in a specific area; and airport master planning, construction, or rehabilitation at a public-use airport or portion thereof. Authorizing legislation refers to an airport as any area of land or water used or intended to be used for the landing or taking off of aircraft and includes, within the five categories of airports listed below, special types of facilities such as seaplane bases and heliports. The statute further defines airports by categories which include commercial service, primary, cargo service, reliever, and general aviation airports. They are defined as follows: Commercial Service Airports are publicly owned airports that have at least 2,500 passenger boarding each year and receive scheduled passenger service. Passenger boarding refer to revenue passenger boarding on an aircraft in service in air commerce. The definition also includes passengers who continue on an aircraft in international flight that stops at an airport in any of the 50 states for a non traffic purpose. Passenger boarding at airports that receive scheduled passenger service are also referred to as Enplanements. Non-primary Commercial Service Airports are

Commercial Service Airports that have at least 2,500 and no more than 10,000 passenger boarding each year. Primary Airports are Commercial Service Airports that have more than 10,000 passenger boarding each year. These airports are further categorized as Hub Airports, based on the level of passenger boarding. Hub categories for Primary Airports are defined as a percentage of total passenger boarding in the most current calendar year ending before the start of the current fiscal year. The definition and formulae used for designating Primary Airports by Hub Type and Percentage of Annual Passenger Boarding are: Large 1 percent or more; Medium - at least 0.25 percent, but less than 1 percent; Small - at least 0.05 percent, but less than 0.25 percent; and Non hub - more than 10,000, but less than 0.05 percent. Cargo Service Airports are airports that, in addition to any other air transportation services that may be available, are served by aircraft providing air transportation of only cargo with a total annual landed weight of more than 100 million pounds. Reliever Airports are airports designated by the FAA to relieve congestion at a Commercial Service Airport and to provide more general aviation access to the overall community. The remaining airports, while not specifically defined in Title 49 U.S.C., are referred to as General Aviation Airports and comprise the largest single group of airports in the U.S. airport system. Eligible work at airports consists of: (1) airport master plans; (2) airport noise compatibility plans; (3) land acquisition; (4) site preparation; (5) construction, alteration, and rehabilitation of runways, taxiways, aprons, and certain roads within airport boundaries; (6) construction and installation of airfield lighting, navigational aids, and certain offsite work; (7) safety equipment required for certification of airport facility; (8) security equipment required of the sponsor by the Secretary of Transportation by rule or regulation for the safety and security of persons and property on the airport; (9) snow-removal equipment; (10) terminal development; (11) aviation-related weather reporting equipment; (12) equipment to measure runway surface friction; (13) burn area training structures and land for that purpose, on or off airport; (14) agency-approved noise compatibility projects; (15) relocation of air traffic control towers and navigational aids (including radar) if they impede other projects funded under AIP; (16) land, paving, drainage, aircraft deicing equipment and structures for centralized deicing areas; and (17) projects to comply with the Americans with Disabilities Act of 1990, Clean Air Act, and Federal Water Pollution Control. Under limited criteria construction of hangars and automobile parking is eligible. Grants may not Be made for the construction of hangars, most automobile parking facilities, buildings not related to the safety of persons on the airport, decorative landscaping or artwork, or routine maintenance and repair. Technical advisory services are also provided. Formula funds are available to primary commercial service airports and to cargo service airports. Discretionary funds may be used at any eligible facility.

Applicant Eligibility:

States, counties, municipalities, U.S. Territories and possessions,

other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, and the Federated States of Micronesia, are eligible for airport development grants if the airport on which the development is required is listed in the National Plan of Integrated Airport Systems (NPIAS). Certain units of local government may be eligible for grants to implement noise compatibility projects. Private owners of public-use reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service are eligible.

Beneficiary Eligibility:

States, counties, municipalities, U.S. Territories and possessions, and other public agencies including an Indian tribe or pueblo, the republics of the Marshall Islands and Palau, The Federated States of Micronesia, and private owners of reliever airports or airports having at least 2,500 passenger boarding annually and receiving scheduled passenger aircraft service.

Credentials/Documentation:

Sponsors must submit information establishing financial capability and legal authority to accomplish the project and to operate the airport. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

Preapplication Coordination:

This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. A Preapplication conference is recommended but not required. Consultation and assistance available at FAA Offices. Applications should be reviewed under the provisions of the National Environmental Policy Act of 1969, 83 Stat. 852; and Section 4(f) of the Department of Transportation Act, 49 U.S.C. 1653. An environmental assessment will be needed for some projects. The standard application forms as furnished by the Federal agency and required by 49 CFR 18, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments, must be used for this program.

Application Procedure:

Preapplication for Federal Assistance, SF 424, Part I (face sheet) filed with FAA field office, reviewed by the regional office and/or Washington office for program approval, as appropriate. For master plans (may be combined as part of development project), noise compatibility plans, and system plans, SF-424 and Parts II through V of FAA Form 5100-101, Application for Federal Assistance, must be submitted to FAA field offices. Level of approval is dependent on the type of airport and amount of FAA monies requested. No State plan is

required. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Upon program approval for development projects, applicant submits project application, SF 424, Part I (face sheet) and remaining parts of FAA Form 5100-100 to FAA field office. Master, noise compatibility, and system plan grant applications are submitted to FAA field offices and upon approval, grant offers are made by FAA field offices. Either the district or regional office prepares Grant Offer, FAA Form 5100-37, for planning and development for execution by FAA applicant.

Deadlines:

Primary airport sponsors must notify FAA by January 31 or another date specified in the Federal Register of their intent to apply for funds to which they are entitled under Section 47102 of Title 49, United States Code. A reminder is published annually in the Federal Register. Other sponsors are encouraged to submit early in the fiscal year and to contact the appropriate FAA field office for any local deadlines. Sponsors must formally accept grant offers no later than September 30 for grant funds appropriated in that fiscal year.

Range of Approval/Disapproval Time:

From 90 to 120 days. If the project is challenged on environmental grounds, approval may take longer.

Appeals:

Not applicable.

Renewals:

None.

Formula and Matching Requirements:

Current Federal government share of allowable costs are as follows. (1) Projects at large and medium hub primary commercial service airports: Airport development, 75 percent; terminal development, 75 percent; noise compatibility program implementation, 80 percent; master planning and noise compatibility planning, 75 percent. (2) Projects at all other public use airports (includes commercial service other than large and medium hub general aviation, reliever, other commercial service, and eligible privately owned airports): Airport development, noise compatibility program implementation, terminal development, airport planning and noise compatibility planning all at 90 percent. Currently through FY 2007, there is a temporary increase to 95 percent for these airports. Entities eligible to sponsor system planning studies include State, local and federally recognized tribal governments, designated metropolitan planning organizations, U.S. Territories and possessions, the Republics of the Marshall Islands and Palau, and the Federated States of Micronesia . The range of financial or other matching assistance required from nonfederal sources, varies

from 10 percent to 25 percent depending on the category of the sponsor, the type of project and the amount of public land in the State.

Length and Time Phasing of Assistance:

No set period of time. Assistance is released upon application for reimbursement of expenses or by letter of credit.

Reports:

During the project, the sponsor monitors performance to ensure that time schedules are being met. Periodic reports, as required, are forwarded to FAA.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003); Audits of States, Local Governments, and Nonprofit Organizations, nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in circular No. A-133.

Records:

Sponsors' records are required to be made available for inspection by FAA, OIG/DOT and the General Accounting Office. An airport layout plan must be kept up to date and available as long as the grant agreement lasts, ordinarily a period of 20 years. Accounting records reflecting all project costs, books, documents, and records pertinent to grants are to be retained for 3 years after date of submission of final expenditure report.

Account Identification:

69-8106-0-7-402.

Obligations:

(Grants) FY 07 est \$3,401,659,000; FY 08 \$3,310,112,000; FY 09 est not available.

Range and Average of Financial Assistance:

\$4,180 to \$44,059,334. Average: \$1,682,324.

PROGRAM ACCOMPLISHMENTS:

In fiscal year 2007, 2,022 grant agreements were executed.

REGULATIONS, GUIDELINES, AND LITERATURE:

Federal Aviation Administration Order and Advisory Circulars (FAA Order 5100.38C, Airport Improvement Program Handbook, and FAA Advisory Circulars in the I50/5100 series).

Regional or Local Office:

Persons are encouraged to contact the Federal Aviation Administration Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office:

Federal Aviation Administration, Office of Airport Planning and Programming, Airports Financial Assistance Division, APP-500, 800 Independence Avenue, SW., Washington, DC 20591. Telephone: (202) 267-3831.

Web Site Address:

http://www.faa.gov/airports_airtraffic/AIP/.

RELATED PROGRAMS:

39.002, Disposal of Federal Surplus Real Property; 39.003, Donation of Federal Surplus Personal Property.

EXAMPLES OF FUNDED PROJECTS:

Construct new public airports; improve and rehabilitate existing public airports; extend runways at existing public airports; purchase fire fighting, rescue, security, snow removal and noise suppressing equipment; acquire land; and install navigation aids. Planning at individual airports includes demand/capacity analysis, airport noise control and land use compatibility analysis, environmental studies, and system plans for states, regions, and metropolitan areas.

CRITERIA FOR SELECTING PROPOSALS:

Only those Airport Improvement Program (AIP) projects considered by the FAA Administrator to be necessary to provide for a safe and efficient airport system and to meet the current and projected growth of civil aeronautics will be considered for selection. The airports at which AIP projects are proposed must be included in the National Plan of Integrated Airports Systems (NPIAS).

Program Text for 21.020

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21.020 Community Development Financial Institutions Program

(CDFI)

FEDERAL AGENCY:

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND,
DEPARTMENT OF TREASURY.

AUTHORIZATION:

Public Law 103-325, 12 U.S.C. 4701 et. seq.

OBJECTIVES:

Through Financial Assistance (FA) awards, the CDFI Fund invests in CDFIs that demonstrate that they have the financial and managerial capacity to: provide affordable and appropriate financial products and services that positively impact their communities; be viable financial institutions; and use and leverage CDFI Fund dollars effectively.

Through Technical Assistance (TA) awards, the CDFI Fund provides grants to build the internal capacity of start-up and existing CDFIs by acquiring prescribed types of products or services including technology (usually efficiency enhancing technology such as computers and loan management software), staff training, consulting services to acquire needed skills or services (such as a market analysis or lending policies and procedures), or staff time to conduct discrete, capacity-building activities (such as website development).

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

Awards under this program are issued to provide financial and/or technical assistance that enhance the awardee's ability to make loans or development investments and to provide development services that will promote economic revitalization and community development.

Each awardee is required to enter into an assistance agreement that requires the awardee to comply with performance goals and abide by other terms and conditions pertinent to assistance received under this program. No awards may be issued to Federal Government agencies,

departments or instrumentalities. No awards may be issued to any State or local government or any agency or instrumentality thereof.

Applicant Eligibility:

Private nonprofit institutions/organizations, other private institutions/organizations, profit organizations. Only Community Development Financial Institutions or entities that provide information necessary for the awarding agency to certify the applicant organization as a Community Development Financial Institution or is seeking to become a CDFI, are eligible to apply for this program.

Beneficiary Eligibility:

Private nonprofit institutions/organizations, other private institutions/organizations, profit organizations. Awards to eligible applicants under this program provide financial and /or technical assistance in order to enhance their ability to make loans and development investments and provide development services that benefit a designated investment area(s), targeted population(s), or both.

Credentials/Documentation:

An applicant shall provide information necessary to establish that it is, or will be, a Community Development Financial Institution.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372 and OMB Circular No. A-102.

Application Procedure:

Each applicant must complete a Community Development Financial Institutions application package which includes the submission of a Comprehensive Business Plan.

Award Procedure:

Applications are reviewed and awardees are selected by the Community Development Financial Institutions Fund.

Deadlines:

Annual notice of funds availability, published in the Federal Register, announces deadline dates for submission of applications. Deadline dates generally range from 30 to 90 days subsequent to the notice publication date.

Range of Approval/Disapproval Time:

Generally, approximately 6 months from submission of application.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

All awards of financial assistance shall be matched by the recipient on a one dollar to one dollar basis using funds from sources other than the Federal government. Also matching funds must be at least comparable in form (e.g., equity investments, deposits, credit union shares, loans or grants).

Length and Time Phasing of Assistance:

Generally, awards may be issued up to a 3 year maximum. Disbursement of funds may be provided in a lump sum or over the life of the award depending on the terms and conditions established at time of award issuance.

Reports:

The type and schedule of reports is specified in the Notice of Funding Availability and in the agreement governing the award.

Audits:

See the Notice of Funding Availability.

Records:

Award recipients are expected to maintain records to substantiate total costs incurred and to assure that all performance measures were met. Records must be retained in accordance with the provisions of OMB Circular No. A-110.

Account Identification:

20-1881-0-1-451.

Obligations:

(Grants) FY 07 \$27,386,573; FY 08 est. \$55,250,000; and FY 09 est. \$55,250,000.

Range and Average of Financial Assistance:

(FY 2007 data) \$45,045 to \$960,000; \$396,906.

PROGRAM ACCOMPLISHMENTS:

For FY 2007, 69 awards were made for the FA and TA CDFI program.

REGULATIONS, GUIDELINES, AND LITERATURE:

12 CFR Part 1805, Notice of Funding Availability. Community Development Financial Institutions Program Application. Website: www.cdfifund.gov.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program Office Contact: Ms. Ruth Jaure, Program Manager, Community Development Financial Institution Fund, 601 13th Street, NW., Suite 200 South, Washington, DC 20005. Telephone: (202) 622-9156, Fax: (202) 622-7754. Awards Office Contact: Ms. Ayisa Crowe, Awards Manager, Community Development Financial Institution Fund, 601 13th Street, NW., Suite 200 South, Washington, DC 20005. Telephone: (202) 622-8691, Fax (202) 622-6453.

Web Site Address:

<http://www.cdfifund.gov>.

RELATED PROGRAMS:

21.021, Bank Enterprise Award Program.

EXAMPLES OF FUNDED PROJECTS:

One award of \$1,500,000, along with required matching funds, was issued to retain and expand manufacturing companies and other businesses that employ low-income resident of a city empowerment zone. Another award, with matching funds, is providing funding so that loans can be originated to assist in working to restore or establish small business development and affordable housing opportunities in targeted population areas.

CRITERIA FOR SELECTING PROPOSALS:

Evaluation factors include: (1) Financial strength and organizational capacity of applicant organization, (2) External resources or matching fund commitment/ability to obtain commitments, (3) Community Impact (The community need for award and activities to be executed), (4) Community Partnerships (Ability to carry out activities and work effectively), and (5) Other factors deemed appropriate under this program and published in the notice of funds availability.

Program Text for 59.037

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59.037 Small Business Development Centers

(SBDC)

FEDERAL AGENCY:
SMALL BUSINESS ADMINISTRATION

AUTHORIZATION:
Small Business Act of 1953, Section 21, as amended, Public Laws 96-302, 98-395 and 105-135.

OBJECTIVES:
To provide management counseling, training, and technical assistance to the small business community through Small Business Development Centers (SBDCs).

TYPES OF ASSISTANCE:
Project Grants (Cooperative Agreements); Provision of Specialized Services; Advisory Services and Counseling; Dissemination of Technical Information.

USES AND USE RESTRICTIONS:
Funds restricted to the use of assisting small businesses.

Applicant Eligibility:
SBA is authorized to make grants (including contracts and cooperative agreements) to any public or private institution of higher education, including but not limited to any land-grant college or university, any college or school of business, engineering, commerce, or agriculture, community college or junior college. SBA is also authorized to renew the funding of other entities currently funded as SBDCs providing SBA affirmatively determines that such applicants have their own budget and will primarily utilize institutions of higher education to provide the services to the small business community.

Beneficiary Eligibility:
Current and potential Small business persons.

Credentials/Documentation:

Documentation must include approval and support of the proposal from the State government for state hosted SBDCs. Documentation should also include, but not be limited to: (a) Endorsement of the proposal by State officials; (b) endorsement of the proposal by the university hierarchy; and (c) a statement of commitment by the agencies and organizations listed as resources in the proposal.

Preapplication Coordination:

Submission of proposal (including State endorsement) to the Central Office of SBA through www.grants.gov. The standard application forms as furnished by the Small Business Administration and required by the Code of Federal Regulations Chapter 13, Part 143 (13 CFR 143) must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure:

All eligible entities within a State interested in establishing an SBDC should coordinate with each other in order to develop a proposal for a statewide SBDC service plan. This program is subject to the provisions of 13 CFR 143 (for State and local governments) and A-110 for institutions of higher education and nonprofit organizations. The proposal should be submitted to the local SBA District Office, listed in Appendix IV of the Catalog.

Award Procedure:

Central Office of SBA executes cooperative agreements to approved applicants.

Deadlines:

Refer to the SBDC Program Announcement located at www.sba.gov/sbdc.

Range of Approval/Disapproval Time:

Varies with individual proposals.

Appeals:

Varies with individual proposals.

Renewals:

This program is on-going and is renewed annually subject to availability of funds. SBDCs are required to update their proposal and submit a current year budget.

Formula and Matching Requirements:

The SBA will provide funds, the amount being determined on a pro-rata share of approximately \$89,000,000 based on the population to be served by the SBDC as compared to the total population in the United States, with no State receiving less than \$500,000, or whichever is greater. The entity generally is required to provide nonfederal funds of at least a 1:1 match, although additional funds may also be contributed by nonfederal sources. At least 50 percent of matching funds must be in cash; the balance may be made up of in-kind contributions and indirect costs. Occasionally legislation will not require matching funds.

Length and Time Phasing of Assistance:

Cooperative agreements are usually limited to 1 year. Payments are made by cost reimbursement or in advance as specified in the Notice of Award.

Reports:

Quarterly or semi-annual reports to the local SBA office no later than 30 days following the end of the required time period. A final report is due 90 days after the end of the cooperative agreement year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

The SBDC shall maintain detailed, complete, and accurate client activity records of a sufficiency to reflect clearly the nature and variety of the SBDC service provided. Financial records must be maintained until 3 years after the completion of the grant project or submission of the final report, whichever is later.

Account Identification:

73-0100-0-1-376.

Obligations:

Grants: FY 07 \$88,973,000; FY 08 \$97,120,000; and FY 09 \$87,120,000. Administration: FY 07 \$12,268,000; FY 08 \$13,348,000; and FY 09 \$14,480,000.

Range and Average of Financial Assistance:

From \$500,000 to \$5,927,231. Average: \$1,298,246.

PROGRAM ACCOMPLISHMENTS:

In Fiscal Year 2004, SBDCs reported that they counseled 279,905 individuals and provided 27,371 training sessions to 445,894 people.

REGULATIONS, GUIDELINES, AND LITERATURE:

SBDC Program Announcement, SBDC Policy Guidelines, SBDC Handbook for SBA Project Officers.

Regional or Local Office:

For addresses of the field offices, see Appendix IV of the Catalog under the Small Business Administration.

Headquarters Office:

Small Business Administration, Office of Small Business Development Center, 409 Third Street, S.W., 6th Floor, Washington, DC 20416. Telephone: (202) 205-6766 Fax: (202) 205-7727.

Web Site Address:

<http://www.sba.gov/sbdc>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

(1) Ability to match funds (2) Ability to provide technical assistance, training, counseling, and specialized services to the small business community, and (3) State approval.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 66.806

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66.806 Superfund Technical Assistance Grants (TAG) for Community Groups at National Priority List (NPL) Sites

(TAG)

FEDERAL AGENCY:

OFFICE OF SUPERFUND REMEDIATION AND TECHNOLOGY INNOVATION, OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION:

Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 117(e), Public Law 96-510; Superfund Amendments and Reauthorization Act of 1986, as amended, Public Law 99-499, 42 U.S.C. 9617.

OBJECTIVES:

The statutory authority for this project is CERCLA 117(e) as amended by 42 U.S.C. 9617(e); it authorizes Technical Assistance Grants to be awarded to groups of individuals affected by or threatened by a release at a Superfund site so that they can obtain independent technical assistance to interpret site-related documents and share this information to the rest of the community. The objective of each TAG is to procure an independent technical advisor(s) to review and inform the community on EPA documents related to cleaning up the Superfund site that is affecting them. The goal of each TAG is that the technical advisor's information aids the community in the preparation of public comments and assists the community to more meaningfully participate in the cleanup decision-making process. Funding Priority - Fiscal Year 2008: Only one grant is available per site, at any time. EPA does not prioritize among eligible sites. All TAG applications are given equal priority as long as the site and group are eligible. The applicant group must meet minimum administrative and management capability requirements by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management. In addition, all applications must address: plans for using a technical advisor's services, plans and ability to inform other members of the community, and the group's

membership (representative of the different individuals/groups affected by the site). Funding must be used to provide resources so that community groups can obtain technical assistance to better understand technical issues related to the cleanup of the Superfund site affecting them and to provide comments on technical, cleanup-related documents. Technical issues for which groups obtain technical assistance may include: the nature of the environmental and public health hazards at the site; the various stages of health and environmental investigations; cleanup and operation and maintenance activities for a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

All or most of the Federal funds must be used, at sites listed on the National Priorities List (NPL) or proposed for listing under the National Contingency Plan (NCP) where a response action has begun under CERCLA, to procure a technical advisor(s) to help in understanding the nature of the environmental and public health hazards at the site, the various stages of health and environmental investigations and activities, cleanup, and operation and maintenance of a site, including exposure investigation, health study, surveillance program, health promotion activities (for example, medical monitoring and pediatric health units), remedial investigation, and feasibility study, record of decision, remedial design, selection and construction of remedial action, operation and maintenance, and removal. Limitations: (a) Federal funds cannot be used to develop new primary data, such as conducting independent testing and monitoring activities at a site. (b) Under CERCLA, as amended, grant funds cannot be used for lawsuits or other legal actions, or attorney fees for services connected to any legal action or that could result in a relationship to which attorney/client privileges applies. (c) Grant funds cannot be applied towards a technical advisor's time spent assisting an attorney in preparing a legal action or preparing and serving as an expert witness at any legal proceedings. (d) Grant funds cannot be used for any activities or expenditures for recipient group members' travel. (e) Grant funds cannot be used for political activity and lobbying. (f) Grant funds cannot be used for generation of new health data through biomedical testing (for example, blood or urine testing), clinical evaluations, health studies, surveillance, registries, and/or public health investigations. (g) Grant funds cannot be used for activities that are unallowable under the cost principles stated in Office of Management and Budget (OMB) Circular No. A-122. (h) Grant funds cannot be used for tuition or other training expenses for recipient

group's members. (i) Grant funds cannot be used to reopen or challenge final EPA decisions.

Applicant Eligibility:

A technical assistance grant (TAG) is available to any qualified group of individuals which: may be "affected" by a release or threatened release at any facility listed on the NPL or proposed for listing under the NCP where a "response action" under CERCLA has begun; meets minimum administrative and management capability requirements found in 40 CFR 30.12 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to TAG management; and incorporates as a nonprofit for the specific purpose of the representing "affected" individuals at the site. "Affected" means subject to actual or potential health, economic or environmental threat. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represents a PRP; (b) The group is affiliated with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045.

Beneficiary Eligibility:

This program will benefit groups of individuals affected by Superfund hazardous waste sites. This may include homeowners, land/property owners, as well as any other individuals in the general public who live near a site or are otherwise affected by a site.

Credentials/Documentation:

At the time of the award, a recipient must either be incorporated or demonstrate that they have taken all necessary and appropriate actions to do so. Recipients must show proof of incorporation no later than the time of the group's first request for reimbursement for costs incurred. This program is excluded from coverage under OMB Circular No. A-87. This program is subject to the provisions of OMB Circular No. A-122.

Preapplication Coordination:

(1) Groups wishing to apply for a TAG must first submit to EPA a letter of intent (LOI). Groups interested in applying for a TAG are encouraged to contact their regional TAG coordinator(s), listed in Appendix IV of the Catalog). (2) If site work is already underway or scheduled to begin, EPA will publish a notice in the local newspaper to formally notify other interested parties that they may contact the first group that sent the LOI to form a coalition or they may submit a separate LOI. (3) Competing groups are encouraged to consolidate and submit a single application. (4) Prior to submitting their application to EPA (or concurrently), the applicant must submit the application

package for their State's intergovernmental review process. This intergovernmental review may take up to 60 days. This program is excluded from coverage under OMB Circular No. A-102. This program is subject to the provisions of OMB Circular No. A-110. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. For more information regarding the procedures for submitting a LOI or for application assistance, contact the TAG coordinator(s) for your region (in Appendix IV of the Catalog) or the individuals listed under "Information Contacts."

Application Procedure:

An applicant for a TAG must submit the following materials in accordance with 40 CFR 35.4125 of the Final Rule: (1) An original EPA Form 424, Application for Federal Assistance. The application must have the original signature of the project manager. (2) A budget showing the proposed expenditure of funds, how the funds and other resources, including the required 20 percent match, will be used to complete the project, and how the budget figures were derived. (3) A scope of work which states how the group will organize, use procured personnel, and share and disseminate information to the larger affected community. In addition, the scope of work must explain project milestones and how the group's board of directors, technical advisors(s) and "project manager" will interact with each other. (4) Assurances, certifications and other pre-award paperwork as 40 CFR part 30 requires. Currently, opportunities under this CFDA are not available and electronic submissions are not accepted through www.grants.gov.

Award Procedure:

(1) A TAG review team in the EPA Regional Office to which the application is submitted reviews the application and sends a letter containing written comments telling the applicant what, if any, changes need to be made to the application to make it complete. (2) An applicant group has 90 days from the date of the EPA letter to make the changes to their application and resubmit it to EPA. If the changes are not submitted within the 90-day period, the application will be returned unprocessed. (3) EPA determines the applicant's eligibility under 40 CFR 35.4020 and considers whether and how successfully the group meets three equally weighted criteria in 40 CFR 35.4155 (see "Criteria for Selecting Proposals," below). When multiple groups apply, EPA will rank each applicant relative to other applicants using the three equally weighted criteria. (4) If an applicant is not going to be awarded a TAG, the EPA will send a letter of rejection to the applicant. (5) If an application is approved for an award, a grant agreement document (EPA Form 5700-20A) is signed by the EPA award official. This document becomes an offer from EPA to the applicant. Award offers are mailed to the recipient five working

days after the award official signs the agreement. (6) The applicant must sign and return the agreement to EPA within three weeks of the date the agreement is postmarked or request an extension. EPA will review applications in accordance to terms, conditions and criteria in the TAG regulations and EPA's policy for competing assistance agreements.

Deadlines:

There are no fixed deadlines until EPA receives a LOI from an interested group, for a site listed on the NPL or is proposed for listing on the NPL where a response action is underway. Once a LOI is received, EPA will publish a newspaper announcement saying an LOI has been received for a TAG at a specific site; other interested applicants have 30 days from the date of the announcement to submit their own LOIs or to join the original group in applying for a TAG. After this initial 30-day period, the groups who submitted LOIs have 30 days to submit a completed application to EPA.

Range of Approval/Disapproval Time:

From 4 to 9 weeks.

Appeals:

Disputes will be resolved under 40 CFR 30.63 or 40 CFR 31.70, as applicable. Before a group obtains judicial review of the dispute, they must have requested the Regional Administrator to review the dispute decision official's determination under 40 CFR 31.70(c), and if the group still has a dispute, they must request the Assistant Administrator for the Office of Solid Waste and Emergency Response to review the Regional Administrator's decision under 40 CFR 31.70(h).

Renewals:

Grant waiver requests are made at the end of the budget period and are based on the requirements of 40 CFR 35.4065, which lists criteria which must be met.

Formula and Matching Requirements:

As directed by statute (Section 117(e) of CERCLA, as amended by 42 USC 9617(e)) there may be only one grant per site, there is a \$50,000 award limit unless waived, and recipient must contribute a 20 percent match (including in-kind contributions).

Length and Time Phasing of Assistance:

A grant may be available from the time a site is proposed for listing under the NCP (with a response action underway) until the site is delisted from the NPL - this time span varies based on the complexity of the site cleanup; a group may submit an LOI to apply for a TAG at any eligible site at any point in the site cleanup. For each award, the grant period is determined by the time needed to complete the scope of work stated in the grant application and through negotiations with

EPA (this is generally a period of three years or greater). Grants under this CFDA are reimbursement-based; a grantee may draw down funds to cover grant-related expenses they have incurred (such as a technical advisor's invoice for services/expenses).

Reports:

(1) Progress reports. Each recipient shall submit quarterly progress reports to EPA for the technical assistance grant project 45 days after the end of each calendar quarter. Progress reports shall fully describe in chart or narrative format the progress achieved in relationship to the approved schedule, budget, and the technical assistance grant project milestones. Special problems encountered must be explained. (2) Financial status report. Each recipient shall submit to EPA a financial status report annually, within 90 days of the anniversary date of the start of the TAG project. These reports shall include the status of project's funds through identification of project transactions and within 90 days after the end of the TAG funding period. (3) Final report. Each recipient shall submit to EPA the final report within 90 days after the end of the project. The report shall describe project goals and objectives, activities undertaken to achieve goals and objectives, difficulties encountered, technical advisors' work products and funds spent. (4) Requests for Reimbursement or Federal Cash Transactions Reports. Depending on the method of payment, each recipient shall submit periodic requests for reimbursement as funds are needed, or shall submit to EPA a federal cash transaction report semiannually, within 15 working days following the end of the semiannual period which ends June 30 and December 31 of each year. These reports shall include the amount of funds advanced to the TAG recipient or electronically transferred to the TAG recipient's bank account, and a description of how the funds were spent. (5) Minority-Owned Business Enterprise/Women-Owned Enterprise (MBE/WBE) Utilization. Each recipient shall submit to EPA a MBE/WBE Utilization report annually, even if no contracts have been signed. Each recipient is required to disclose whether they have contracted with a MBE/WBE in the past Federal fiscal year, the value of the contract, if any, and the percentage of the total project dollars on MBE/WBEs. (6) Each recipient shall comply with any reporting requirements in the terms and conditions of the "grant agreement". (7) Each recipient shall comply with any reporting and record keeping requirements in OMB Circular A-122 and 40 CFR Part 30.

Audits:

Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend \$500,000 or more in a year in Federal awards shall have a single or a program-specific audit

conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in OMB Circular No. A-133.

Records:

(1) Each recipient shall keep complete financial records accurately showing how the Federal funds were used and the match, whether it is in the form of cash or in-kind assistance. (2) Each recipient shall comply with any reporting and record keeping requirements of OMB Circular A-122 and 40 CFR Part 30. (3) Each recipient must keep TAG financial records for ten years from the date of the final Financial Status Report, or until any audit, litigation, cost recovery, and/or disputes initiated before the end of the ten-year retention period are settled, whichever is longer. At the ten-year mark, the TAG financial records may be disposed of after first getting written approval to do so from the EPA. Alternately, a recipient may choose to submit financial records to the EPA for safekeeping when the final Financial Status Report is submitted. (4) Each recipient must send to EPA a copy of each final written product prepared by their technical advisor as part of the TAG. EPA will send the final written products to the local Superfund site information repository(ies) where all site-related documents are available to the public.

Account Identification:

68-0108-0-1-304.

Obligations:

(Includes initial awards and additional funds)FY 07 \$926,952; FY 08 est \$600,000 (\$50,000 awarded as of 5/1/2008); and FY 09 est \$500,000.

Range and Average of Financial Assistance:

Initial awards for assistance agreements awarded under this CFDA number will not exceed \$50,000. After the initial award, additional funding may be awarded based on the criteria detailed under 40 CFR 35.4065, and subject to the availability of funds. The average additional award is \$40,000 (per agreement). Since 1988, 307 TAGs have been awarded. Of these, 114 grantees were awarded \$50,000 or less; 160 grantees have received awards with a cumulative value between \$51,000 and \$150,000; 33 grantees have received awards with a cumulative value ranging between \$151,000 and \$250,000; and 9 grantees have received awards whose cumulative value totals of more than \$250,000. The cumulative award value includes the initial award and any supplemental funding awards over the life of the agreement.

PROGRAM ACCOMPLISHMENTS:

Since the program began on March 24, 1988, 307 awards have been issued by EPA, totaling more than \$25,900,000 in TAG awards

(including new awards, additional funds, waivers and deviation). In most cases, EPA receives only one application for a site and in most cases an award is made. In FY 07 ten new grants were awarded (\$375,000) and 12 established grantees were awarded additional funds (\$552,000). As of May 1, 2008, one new grant has been awarded (\$25,000) and one established grantee was awarded additional funds (\$25,000) for FY 08: it is anticipated that five more new awards (\$250,000) and five more existing grantees will be awarded supplemental funding (\$250,000) by the end of FY 08. The grant includes an outreach component wherein the grantee helps to educate the broader community on issues related to the site cleanup. Under this grant, grantees have developed community newsletters, developed web sites, conducted community meetings to help other community members better understand technical information related to the site cleanup and to better respond to site-related technical documents during public comment periods. Technical comments provided to EPA under this grant assist EPA in meeting Superfund strategic targets of identifying and controlling unacceptable human exposures from site contamination; monitoring and controlling migration of contaminated ground water; and identifying a final remedy suitable for stated reuse (under Goal 3.2.2 of EPA's Strategic Plan).

REGULATIONS, GUIDELINES, AND LITERATURE:

40 CFR 31; 40 CFR Part 30; 40 CFR Part 35,(Subpart M); and TAG Program Guidance Documents.

Regional or Local Office:

Questions may be directed to the EPA Regional Office serving a particular state. All TAG paperwork should be submitted directly to the EPA Regional Office. A list of the Regions and their States, plus the name(s) and telephone number(s) for the Regional TAG contact, is presented below and can be found in Appendix IV of the CFDA. U.S. EPA, Region I (CT, MA, NH, RI, ME)- Robert Shewack, Mail Code: HBS, U.S. EPA Region I, Office of Site Remediation and Restoration, One Congress Street, Boston, MA 02114-2023, (617) 918-1428 (Robert), (617) 918-1347 (Art), Toll Free: (1-888) 372-7341, (617) 918-1291 Fax; U.S. EPA, Region II (NY, NH, PR, VI) - Frank Barone, Mail Code: 2 OPM-GCMB, U.S. EPA Region II, Grants & Contracts Management Branch, Office of Policy and Management, 290 Broadway, 27th Floor, New York, NY 10007-1866, (212) 637- 3379, (212) 637-3518 Fax; U.S. EPA, Region III (DC, DE, MD, PA, VA, WV) - Amelia Libertz, Mail Code: (3HS52), U.S. EPA Region III, 1650 Arch Street, Philadelphia, PA 19103, (215) 814-5522, Toll Free: (1-800) 553-2509, (215) 814-3015 Fax; U.S. EPA, Region IV (AL, FL, GA, KY, MS, NC, SC, TN) - Denise Bland, Jackie Dendy, Rhonda Foucher, Paula Walraven, Carol Jackson, Mail Code: WDCSB, U.S. EPA Region IV, Waste Management Division, Program Services Branch, Atlanta Federal Center, 61 Forsyth Street, Atlanta, GA 30303, (404) 562-8880 (Denise), (404) 562-8876 (Jackie), (404) 562-8867 (Rhonda), (404) 562-8858 (Paula), (404) 562-8856 (Carol), Toll Free:

(1-800) 564-7577, (404) 562-8842 Fax; U.S. EPA, Region V (IL, IN, MI, NM, OH, WI) - Susan Pastor (TAG Coordinator), Mail Code: P-19J, U.S. EPA Region V, Office of Public Affairs, Community Involvement Section, 77 W. Jackson Blvd., Chicago, IL 60604-3507, (312) 353-1325, Toll Free: (1-800) 621-8431, (312) 353-1155 Fax; Glynis Landers (TAG Project Officer), Mail Code: SM-5J, U.S. EPA Region, 77 W. Jackson Blvd., Chicago, IL 60604-3507, (312) 886-1816, (312) 886- Fax; U.S. EPA, Region VI (AR, LA, NM, OK, TX) - Beverly Negri, Zana Halliday, Mail Code: 6SF-TS, U.S. EPA Region VI, Tower at Fountain Place, 1445 Ross Avenue, Suite 1200, Dallas, TX 75202-2733, (214) 665-8157 (David), (214) 665-8363 (Zana), Toll Free: (1-800) 533-3508, (214) 655-6660 Fax; U.S. EPA, Region VII (IA, KS, MO, NE) - Hattie Thomas, Mail Code: PBAF, U.S. EPA Region VII, Office of External Programs, 901 North 5th Street, Kansas City, KS 66101, (913) 551-7762, Toll Free: (1-800) 223-0425, (913) 551-7066 Fax; U.S. EPA, Region VIII (CO, MT, ND, SD, UT, WY) - Linda Armer; Mail Code: 8EPR-PS, U.S. EPA Region VIII, Office of Communications & Public Involvement, 1595 Wynkoop Street, Denver, CO 80202-1129, (303) 312-6696, Toll Free: (1-800) 227-8917, (303) 312-6065 Fax; U.S. EPA, Region IX (AZ, CA, HI, NV) - Jackie Lane, Mail Code: SFD-3, U.S. EPA Region IX, Office of Community Involvement, 75 Hawthorne Street, San Francisco, CA 94105, (415) 972-3236, Toll Free: (1-800) 231-3075, (415) 947-3528 Fax; U.S. EPA, Region X (AK, ID, OR, WA) - Sally Hanft, Mail Code: ECO-O86, U.S. EPA Region X, Ecosystem and Community Health Unit, 1200 6th Avenue, Seattle, WA 98101, (206) 553-1207 (Sally), Toll Free: (1-800) 424-4372, (206) 553-2955 Fax.

Headquarters Office:

Freya Margand; Community Involvement and Outreach Branch, Office of Superfund Remediation and Technology Innovation, Mail code: 5204P), Environmental Protection Agency; Ariel Rios Bldg., 1200 Pennsylvania Ave.; N.W., Washington, DC 20460. Telephone: (703) 603-8889. Fax: (703) 603-9102. E-mail: margand.freya@epa.gov
Center web site address: <http://www.epa.gov/superfund/community/>.

Web Site Address:

<http://www.epa.gov/superfund>.

RELATED PROGRAMS:

None.

EXAMPLES OF FUNDED PROJECTS:

A TAG allows a group to procure independent technical advisors to help in interpreting and commenting on site-related information and decisions. Examples of how a technical advisor can help a group include, but are not limited to: (a) Reviewing preliminary site assessment/site investigation data; (b) Participating in public meetings to help interpret information about site conditions, proposed remedies, and the implementation of a remedy; (c) Visiting the site vicinity

periodically during cleanup, if possible, to observe progress and provide technical updates to the group; (d) Interpreting information related to redevelopment; and (e) Evaluating future land use options based on land use assumptions found in the remedial investigation/feasibility study.

CRITERIA FOR SELECTING PROPOSALS:

The evaluation and selection criteria for competitive awards under this CFDA description are described in the programmatic regulations (40 CFR Part 35) as follow. In selecting a proposal: 1) EPA will determine if a group meets the following eligibility criteria: (a) The community group is a group of people who may be "affected" by a release or a threatened release at any facility listed on the NPL or proposed for listing under the NCP where a response action is underway; and (b) The group meets the minimum administrative and management capability requirements found in 40 CFR 30.21 by demonstrating they have or will have reliable procedures for record keeping and financial accountability related to managing the TAG. 2) EPA will also determine if there are factors which make the group ineligible. A group is ineligible if: (a) The group is a "potentially responsible party" (PRP), receives money or services from a PRP, or represent a PRP; (b) The group is "affiliated" with a national organization; (c) The group is an academic institution; (d) The group is a political subdivision; (e) The group was established or is presently sustained by any of the ineligible entities listed above; or (f) The group is not incorporated as a nonprofit organization for the specific purpose of representing affected people except as provided in 40 CFR 35.4045. 3) Once EPA determines if eligibility requirements have been met, the Agency considers whether and how successfully a group meets the following, equally weighted, criteria: (a) Representation of groups and individuals affected by the site; (b) The group's plans to use the services of a technical advisor throughout the Superfund response action; and (c) The group's ability and plan to inform others in the community of the information provided by the technical advisor. 4) Additionally, a group must incorporate as a nonprofit for the purpose of participating in decision making at the Superfund site for which the TAG was granted (for exception see 40 CFR 35.4045). Applicant groups should consult the Superfund TAG Handbooks, "Applying for a Grant" and "The Application Forms with Instructions," for detailed instructions on applying for a TAG.

Program Text for 84.007

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84.007 Federal Supplemental Educational Opportunity Grants

(FSEOG)

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, as amended, Title IV, Part A, Subpart 3, 20 U.S.C. 1070b-1070b-3.

OBJECTIVES:

To provide eligible undergraduate postsecondary students with demonstrated financial need with grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Grants are for undergraduate study, and range from \$100 to \$4,000 per academic year. However, if reasonable study abroad costs exceed the cost of attendance at the home institution, the amount of the grant may exceed the \$4,000 maximum by as much as \$400. A student is eligible to receive a FSEOG for the period of time needed to complete the first undergraduate baccalaureate course of study being pursued by that student. If it awards grants to students in that year an institution is entitled to an administrative cost allowance for an award year of 5 percent of the first \$2,750,000, expended plus 4 percent of expenditures greater than \$2,750,000 but less than \$5,500,000, plus 3 percent of expenditures in excess of \$5,500,000.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements may apply.

Beneficiary Eligibility:

Undergraduate students enrolled or accepted for enrollment as regular students; are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements may benefit.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation (see listing of ED Regional Offices in Appendix IV). Students should contact the institution(s) they attend or wish to attend. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Participating institutions submit the Fiscal Operations Report/Application to Participate in Federal Student Financial Aid Programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Federal Student Aid office. New institutions submit only the application form. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure:

The Federal Student Aid office determines final awards and notifies participating institutions.

Deadlines:

Specified on the application (usually October 1). Contact the program office for additional information.

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1, and final award notifications sent by April 1.

Appeals:

None.

Renewals:

There are annual renewals.

Formula and Matching Requirements:

Funds are allocated to institutions on the basis of the institution's base

guarantee and pro rata share and then on demonstrated need for funding. Institutions award funds to students on the basis of financial need as determined by the Federal Needs Analysis Methodology specified in statute. This program requires institutional matching funds. The Federal share may not exceed 75 percent unless waived based on objective criteria established in regulations.

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (ED 646-1) is submitted by each institution at the close of each award year (June 30).

Audits:

Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits are performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

All records pertaining to the activities of a given fiscal year must be retained for a period of three years following the institution's submission of its Fiscal Operations Report for an award year or until resolution of an applicable audit, whichever is later.

Account Identification:

91-0200-0-1-502.

Obligations:

(Grants) FY 07 \$770,933,000; FY 08 est \$757,465,000; and FY 09 est \$0.

Range and Average of Financial Assistance:

The estimated average award to students was approximately \$764.

PROGRAM ACCOMPLISHMENTS:

Institutions will award \$958,816,000 in aid to 1,254,995 students.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 676, Student Guide fact sheet, no charge; Student Financial Aid Handbook, no charge; Notification to Members of Congress, list of grantees, published annually or as required, no charge; Federal Needs Analysis Methodology Booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

Students should contact the educational institution(s) they attend or plan to attend. Educational institutions should contact the Regional Administrator for Student Financial Assistance in the appropriate ED Regional Office (see Appendix IV of the Catalog).

Headquarters Office:

Office of Federal Student Aid, Department of Education, 400 Maryland Avenue, SW., Washington, DC 20202-5446. Federal Student Aid Information Center Telephone: 1-800-4FED-AID (800-433-3243).

Web Site Address:

<http://ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.031, Higher Education Institutional Aid; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.038, Federal Perkins Loan Program Federal Capital Contributions; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 84.032

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84.032 Federal Family Education Loans

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part B, as amended.

OBJECTIVES:

To encourage lenders such as banks, credit unions, savings and loan associations, pension funds, insurance companies, and schools to make loans to vocational, undergraduate, and graduate students enrolled at eligible postsecondary institutions to help pay for educational expenses. The loans are insured by a State or private nonprofit guaranty agency and reinsured by the Federal government.

TYPES OF ASSISTANCE:

Guaranteed/Insured Loans.

USES AND USE RESTRICTIONS:

To help defray costs of education at a participating school. Repayment on Federal Stafford Loans begins six months after the student ceases to carry at least one-half the normal full-time academic workload. Repayment of principal and interest on PLUS Loans generally begins within 60 days of disbursement of the last installment, repayment of a consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

Under the Federal Stafford Loan Program, any U.S. citizen, national, or person in the United States for other than a temporary purpose, who is enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary school may apply. An otherwise eligible student is eligible for loans during a single

12-month period in which the student is enrolled in a non-degree/non-certificate course of study that the school has determined is necessary in order for the student to enroll in a program leading to a degree or certificate. Under the Federal PLUS Loan program, parents may borrow for dependent students, and beginning July 1, 2006, graduate students may borrow to support their own education. Under the Federal Unsubsidized Stafford Loan program, graduate or professional students, and independent undergraduate students may apply; under certain circumstances, a dependent undergraduate student may apply for an Federal Unsubsidized Stafford Loan. Students who are enrolled at an eligible institution on at least a half time basis in a program that is required by a State for elementary or secondary teacher certification are also eligible for Federal Family Education Loan Program (FFEL) aid (Stafford, Unsubsidized Stafford and PLUS). Under the Federal Consolidation Loan program, a borrower may have his or her Stafford, Unsubsidized Stafford, PLUS, Perkins (formerly NDSL), and Health Professions Student loans consolidated, provided that the borrower is in a grace period or repayment status on all loans being consolidated, or is a delinquent or defaulted borrower who will reenter repayment through consolidation, and does not have another application for a consolidation loan pending. Only U.S., citizens or nationals may receive a loan to attend eligible foreign postsecondary schools. A student presently enrolled at a participating institution must maintain satisfactory academic progress in the course of study he/she is pursuing. Also, the borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any school. The borrower must also file a statement of registration compliance (Selective Service). To receive a subsidized Stafford Loan, all borrowers must undergo a financial need analysis to determine eligibility for Federal interest and special allowance benefits. Unsubsidized Federal Stafford, PLUS, or Consolidation loans are non-need based programs. A Stafford Loan applicant who is an undergraduate student who attends a school that participates in the Pell Grant program must receive a determination of his or her Pell Grant eligibility or ineligibility before the school may certify an application for a loan. If the applicant is determined to be eligible for a Pell Grant, the applicant must apply for a Pell Grant for the enrollment period before the institution may certify an application for a FFEL loan. An Unsubsidized Stafford Loan applicant must also receive a determination of need for a loan under the Stafford Loan program and, if eligible, must apply for a Stafford Loan prior to the school's certification of the Unsubsidized Stafford Loan application.

Beneficiary Eligibility:

Generally, for the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs, any U.S. citizen, national, or person in the United States for other than a temporary purpose, who is enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, professional, or vocational student at a participating postsecondary school benefits. If

a student is enrolled in a non-degree/non-certificate course of study, the student may be eligible for Stafford or Unsubsidized Stafford loans for one consecutive twelve-month period, if the school determines that the course of study is necessary in order for the student to enroll in a program leading to a degree or certificate. A student enrolled on at least a half-time basis in a program required by a State for a professional credential or certificate for employment as a teacher in an elementary or secondary school located in that State is eligible to receive aid under the Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs. Consolidation Loan borrowers need not be enrolled in any school to be eligible.

Credentials/Documentation:

For the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs, the student will need certification of eligibility from the school, and may be required to supply documentation to verify the accuracy of data used in the Stafford Loan need analysis. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Information regarding application procedures may be obtained from lenders, schools, the Department of Education, States, or guaranty agencies. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for the Federal Stafford Loan, Unsubsidized Stafford Loan, and PLUS Loan programs are obtained from a participating lender, guaranty agency, or school. Consolidation Loan applications are obtained from lenders. The borrower completes his or her portion of a Stafford, Unsubsidized Stafford, or PLUS loan application and submits it to the school. The school must certify the student meets eligibility requirements for the loan, including a certification that the student is enrolled, or accepted for enrollment as at least a half-time student, and that the student is making satisfactory progress. The school must also certify the type and amount of loan the student is eligible to receive. The lender then completes its portion of the application and forwards it to the guarantor for commitment. In some States, the guarantor issues the notice of guarantee prior to sending the application to the lender. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

If the lender agrees to make the loan, the application is forwarded to the guarantor for insurance. The lender disburses the proceeds of a Stafford, Unsubsidized Stafford, or PLUS loan to the school or, if the student is attending a foreign school, directly to the borrower. Consolidation Loan proceeds are disbursed directly to the holders of the loans selected for consolidation. In most cases, a loan must be

disbursed in more than one installment over the length of the period of enrollment for which the loan was intended, based on a disbursement schedule provided to the lender by the school on behalf of the borrower. This multiple disbursement requirement does not apply to Consolidation Loans. The borrower is required to pay an insurance premium of one percent of the principal amount of a subsidized Stafford Loan, Unsubsidized Stafford Loan, or PLUS Loan. The charging of the insurance premium and the amount is determined by the guarantor. In addition, for a Stafford, Unsubsidized Stafford, or PLUS loan, the borrower is required to pay a three percent "origination fee" (on loans disbursed on or after July 1, 1994) which is used to help defray program costs. All of these fees are normally deducted proportionately from each disbursement of the loan proceeds. Under the Higher Education Reconciliation Act, these fees would be reduced to 2 percent on July 1, 2006; 1.5 percent on July 1, 2007; 1 percent on July 1, 2008; and 0.5 percent on July 1, 2009. The fees would be eliminated as of July 1, 2010.

Deadlines:

None.

Range of Approval/Disapproval Time:

Varies.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of school. The College Cost Reduction and access Act (CCRAA) of 2007 authorized phased reductions to the interest rates for Subsidized Stafford loans borrowed by undergraduates with the rate cut in half to 3.4 percent on July 1, 2011. Repayment generally is made over a period of 10 years for Federal Stafford, and PLUS Loans, excluding periods of authorized deferment and forbearance, but extended repayment plans are available. The repayment period for a Consolidation Loan depends on the amount of the loan and may be up to 30 years, exclusive of periods of authorized deferment and forbearance. CCRAA created a new income-based repayment plan for those borrowers meeting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis more than 15 percent of their discretionary income. Any outstanding

balance would be forgiven after 25 years of repayment in the income-based repayment option.

Reports:

Lenders submit quarterly reports of loans outstanding in order to receive interest benefits and special allowance payments. Guaranty agencies submit reports in order to receive reinsurance and administrative payments. Additional operational reports are required for specific purposes.

Audits:

Lenders, schools (except foreign schools), and guaranty agencies are subject to examination and program review by the Department of Education. Generally, program reviews are performed on a fiscal year basis. Participating schools must have an independent audit of all Stafford Loan Program transactions at least annually. Guaranty agencies must have independent financial and compliance audits annually. Guaranty agencies must conduct annual reviews of the ten largest loan volume lenders in their areas as well as schools with default rates above 20 percent that do not have a default management plan approved by the Secretary.

Records:

Lenders must maintain records to support and identify loan transactions, interest billings, and/or special allowance. Guaranty agencies must maintain complete records to support and identify their activities. Federal regulations specify administrative and fiscal records required to be maintained by schools.

Account Identification:

91-4251-0-1-502.

Obligations:

Aid available (Loan volume net of consolidations) FY 07 \$51,320,000,000; FY 08 est \$56,242,000,000; and FY 09 est \$59,308,000,000. FFEL Consolidation volume: FY 07 \$46,941,000,000; FY 08 est \$33,940,000,000; and FY 09 est \$38,863,000,000.

Range and Average of Financial Assistance:

Varies depending on the type of loan.

PROGRAM ACCOMPLISHMENTS:

Over 13.1 million loans were made in fiscal year 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 682.

Regional or Local Office:

See list of Regional Offices listed in Appendix IV of the Catalog. Each

State with an operating guaranty agency maintains an office in its respective State. A list of guaranty agency addresses is available from the address below.

Headquarters Office:

Office of Postsecondary Education, Department of Education,
Washington, DC 20202. Telephone: (800) 433-3243.

Web Site Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.033, Federal Work-Study Program; 84.038, Federal Perkins Loan Program Federal Capital Contributions; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

Program Text for 84.038

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84.038 Federal Perkins Loan Program Federal Capital Contributions

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part E, as amended, Public Law 103-394, 20 U.S.C. 1087aa-1087hh.

OBJECTIVES:

To provide low interest loans to eligible postsecondary students with demonstrated financial need to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Institutions use funds to make loans to needy, eligible students for meeting educational expenses. The maximum annual loan amount limit for an eligible student attending an institution is \$6,000 for a graduate or professional student or \$4,000 for undergraduates. The maximum aggregate loan amount for an eligible student attending an institution is \$40,000 for a graduate or professional student, including loans borrowed as an undergraduate student, or \$20,000 for a student who has successfully completed 2 years of a program of undergraduate study and \$8,000 for any other student. For a program of study abroad that has reasonable costs in excess of the home costs, the annual and aggregate limits may be exceeded by 20 percent. An institution is entitled to an administrative cost allowance for an award year if it advances funds to students in that year under the Federal Perkins Loans Program, in an amount equal to five percent of the first \$2,750,000 in expenditures, plus 4 percent for expenditures greater than \$2,750,000 but less than \$5,500,000, plus 3 percent for expenditures in excess of \$5,500,000.

Applicant Eligibility:

Higher education institutions (public, private nonprofit, postsecondary vocational, and proprietary) meeting eligibility requirements.

Beneficiary Eligibility:

Undergraduate, graduate, or professional students enrolled or accepted for enrollment as regular students in an eligible program, are maintaining satisfactory academic progress in accordance with the standards and practices of the institution, have financial need, do not owe a refund on a Title IV grant, are not in default on a Title IV loan, file a statement of educational purpose, file a statement of registration compliance (Selective Service) and meet citizen/resident requirements.

Credentials/Documentation:

Institutions must document their eligibility and students must demonstrate financial need. This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

Representatives of the appropriate ED Regional Office are available for consultation. See listing of ED Regional Offices in Appendix IV. Students should contact the institution(s) they attend or plan to attend. This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Participating institutions submit a Fiscal Operations Report/Application to Participate in Federal Student Financial Aid Programs (ED Form 646-1) which is mailed to institutions of postsecondary education each summer by the Office Federal Student Aid. New institutions submit only the application form. This program is subject to the provisions of OMB Circular No. A- 110.

Award Procedure:

The Office Federal Student Aid determines final awards and notifies participating institutions.

Deadlines:

Specified on the application (usually around October 1).

Range of Approval/Disapproval Time:

Notices of tentative awards sent by February 1 and final award notification sent by April 1.

Appeals:

None.

Renewals:

Annual.

Formula and Matching Requirements:

Funds are allocated to institutions on the basis of the institution's guarantee allocations and demonstrated need for funding. Institutions award funds to students on the basis of financial need as determined by the Federal Needs Analysis Methodology specified in statute. The institutional capital contribution (ICC) for institutions is one-third of the FCC (or 25 percent of the combined FCC and ICC).

Length and Time Phasing of Assistance:

Funds are awarded for use by participating institutions for one award year at a time following the year of application.

Reports:

A single combined Fiscal Operations Report/Application form for Federal Perkins Loans, FSEOG, and FWS (ED Form 646-1) is submitted by each institution at the close of each award year of operation (June 30).

Audits:

Institutions must have a nonfederal audit done on at least an annual basis at its own expense. Audits may also be performed at Government expense by the Department of Education's Office of the Inspector General as often as its time schedule will allow or when deemed necessary by the Secretary.

Records:

Except for loan records and records of expenditures questioned in audits or Departmental program reviews, an institution must keep records for an award year for three years after submitting its Fiscal Operations Report for an award year, or until final resolution of an applicable audit or program review, whichever is later. An institution must keep loan repayment records for at least 3 years from the date of satisfaction of the loan or assignment of the loan to the United States, or it is canceled.

Account Identification:

91-0200-0-1-502.

Obligations:

(Federal capital contribution) FY 07 \$0; FY 08 est \$0; and FY 09 \$0.

Range and Average of Financial Assistance:

None.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

34 CFR 674; Student Guide, no charge; Student Financial Aid Handbook, no charge; notification to Members of Congress, list of

grantees published annually or as required, no charge; Federal Needs Analysis Methodology Booklet, no charge; Verification Handbook, no charge; training materials, no charge.

Regional or Local Office:

Students should contact the educational institution(s) they attend or plan to attend. Educational institutions should contact the Regional Administrator for Student Financial Assistance in appropriate ED Regional Office. See Appendix IV of the Catalog.

Headquarters Office:

Office Federal Student Aid, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202-5446. Telephone: (800) 433-3243.

Web Site Address:

<http://ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.063, Federal Pell Grant Program; 84.066, TRIO Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

Program Text for 84.063

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84.063 Federal Pell Grant Program

(Pell Grants)

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part A, Subpart 1, as amended.

OBJECTIVES:

To provide eligible undergraduate postsecondary students who have demonstrated financial need with grant assistance to help meet educational expenses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The student must be a U.S. citizen or eligible noncitizen who has been accepted for enrollment in, and is making satisfactory academic progress at an eligible institution of higher education. Eligible schools may be public or private nonprofit institutions of higher education, (such as colleges, universities, vocational-technical schools, hospital schools of nursing), and for-profit institutions (proprietary). Eligible males who are at least 18 years or older and born after December 31, 1959, can receive aid only if they have registered with the Selective Service. Graduate students and students who have already earned a bachelor's degree are not eligible for assistance, except those enrolled in an eligible program leading to a professional certification in teaching. Applicants must demonstrate need according to Part F of the Higher Education Act of 1965, as amended.

Applicant Eligibility:

Undergraduate students and students pursuing a teaching certificate enrolled as regular students in an eligible program at an eligible institution of higher education and making satisfactory academic

progress. The applicants must be U.S. citizens or eligible noncitizens and have a high school diploma, a GED, or demonstrate the ability to benefit from the program offered.

Beneficiary Eligibility:

Undergraduate students and students pursuing a teaching certificate that are U.S. citizens or eligible noncitizens and meet financial need criteria. Students must be: regular students in an eligible program and enrolled in institutions of higher education, making satisfactory academic progress. Incarcerated students, except those incarcerated in local penal facilities, are ineligible. Students must sign a statement of educational purpose, not owe a refund on a Title IV grant, and not be in default on a Title IV loan. Eligible males that are at least 18 years old and born after December 31, 1959, can receive aid only if they have registered with the Selective Service.

Credentials/Documentation:

This program is excluded from coverage under OMB Circular No. A-87.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372. This program is excluded from coverage under OMB Circular No. A-102.

Application Procedure:

Student completes a "Free Application for Federal Student Aid" and submits it to the agency specified on the form. Students may apply using a paper application, an electronic application, or via the Internet. The U.S. Department of Education calculates the student's financial eligibility for assistance and the agency to which the student sent the application returns a notification to the student of his or her eligibility for assistance. The student submits this notification to the institution of his or her choice in order to have his or her award calculated. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure:

Institutions act as disbursing agents for the Department of Education. The institution that the student attends calculates and disburses the Federal Pell Grant, using a payment schedule developed by the Department of Education that determines the amount of the award based on the student's expected family contribution, cost of attendance, and enrollment status.

Deadlines:

Contact the program office for deadlines.

Range of Approval/Disapproval Time:

Approximately 2 to 4 weeks.

Appeals:

An institution, on the basis of supplemental documentation, may rule that an applicant is self-supporting, even though under the standard criteria, the applicant would normally be considered financially dependent on his or her parents. An institution may adjust on a case-by-case basis a student's data elements used to calculate his or her EFC and cost of attendance due to unusual circumstances documented by the institution.

Renewals:

Students who have applied in the previous award year may be eligible to complete a paper or electronic renewal application which contains preprinted student data and requires students only to update certain information. However, eligibility is still annually determined.

Formula and Matching Requirements:

Federal Pell Grant eligibility determinations are based on Part F of the HEA, as amended. This national need analysis formula determines financial eligibility for Federal Pell grants and other Federal student aid and is applied uniformly to all applicants. This formula determines a student's "expected family contribution" (EFC). The fundamental elements of this need analysis formula are the parents' and/or the student's income and assets (excluding home), the family's household size, and the number of family members attending postsecondary institutions. The EFC is determined as the sum of: (1) A percentage assessment of net income (remaining income after subtracting allowances for basic living expenses) and (2) a percentage assessment of net assets, other than a home, (remaining assets after subtracting an asset protection allowance).

Length and Time Phasing of Assistance:

Students are currently limited to one Federal Pell Grant during any award year (July 1 through June 30). There is no funding for students to receive a second Federal Pell Grant during a single award year. Funds for one Federal Pell Grant are usually disbursed at least twice during an award year. Students may only receive a Federal Pell Grant until they have received a bachelor's degree.

Reports:

Institutions will be required to furnish reports, periodically, on the disbursement of funds, as well as to furnish any other reports the Secretary requires. No reports are required of students.

Audits:

Annual audits will be made.

Records:

All records pertaining to the eligibility of each Federal Pell Grant recipient and all fiscal management records must be maintained by the institutions for a period of 3 years or until an acceptable audit has

been completed, whichever is later. Selected students will have the information on their applications verified.

Account Identification:

91-0200-0-1-502.

Obligations:

(Grants) FY 07 \$13,660,771,000; FY 08 est \$16,256,000,000; and FY 09 est \$18,941,059,000.

Range and Average of Financial Assistance:

For FY 2008, grants will range from \$400 to \$4,731; the average grant is expected to be about \$2,945.

PROGRAM ACCOMPLISHMENTS:

In FY 2008, approximately 5,600,000 recipients are expected.

REGULATIONS, GUIDELINES, AND LITERATURE:

The Federal Pell Grant Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965 as amended. Regulations governing administration of the Pell Grant Program are found in 34 CFR 600, 668, and 690. The Student Guide; Free Application for Federal Student Aid (no charge); "The Expected Family Contribution Formula"; "The Student Financial Aid Handbook."

Regional or Local Office:

Federal Student Aid Information Center. Telephone: (1-800) 433-3243. Regional Director, Federal Student Aid, the Director of Student Financial Aid at the institution the student wishes to attend, high school guidance counselors, or directors of State agencies.

Headquarters Office:

Office of Postsecondary Education, Department of Education, 400 Maryland Avenue, S.W., Washington, DC 20202. Telephone: (800) 433-3243.

Web Site Address:

<http://www.ifap.ed.gov>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.033, Federal Work-Study Program; 84.037, Perkins Loan Cancellations; 84.038, Federal Perkins Loan Program Federal Capital Contributions; 84.042, TRIO Student Support Services; 84.044, TRIO Talent Search; 84.047, TRIO Upward Bound; 84.066, TRIO Educational Opportunity Centers; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

The Expected Family Contribution formula is set forth in Part F of the Higher Education Act of 1965, as amended.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 84.268

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84.268 Federal Direct Student Loans

FEDERAL AGENCY:

FEDERAL STUDENT AID, DEPARTMENT OF EDUCATION

AUTHORIZATION:

Higher Education Act of 1965, Title IV, Part D, as amended.

OBJECTIVES:

To provide loan capital directly from the Federal government (rather than through private lenders) to vocational, undergraduate, and graduate postsecondary school students and their parents.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

To help defray costs of education at a participating school. Repayment on Federal Stafford loans begins six months after the student ceases to carry at least one-half the normal full-time academic workload. Repayment of principal and interest on PLUS loans generally begins within 60 days of disbursement of the last installment, repayment of a consolidation loan begins within 60 days after the proceeds of the loan have been used to discharge the liability of the borrower on the loans selected for consolidation. Deferments and forbearance of payment may be granted for certain authorized periods.

Applicant Eligibility:

The applicant must be a U.S. citizen, national, or person in the United States for other than a temporary purpose. A student borrower must be enrolled or accepted for enrollment in a degree or certificate program on at least a half-time basis as an undergraduate, graduate, or professional student at a participating postsecondary school. An otherwise eligible student is eligible for loans during a single twelve-month period in which the student is enrolled in a non-degree/non-certificate course of study that the school has determined is necessary in order for the student to enroll in a program leading to a

degree or certificate. Under the Direct PLUS program, parents may borrow for dependent students. Also, graduate and professional students are eligible to borrow PLUS Loans. Direct Unsubsidized and PLUS loans are non-need based programs. In order to receive a Direct Subsidized Loan, all borrowers must undergo a financial need analysis to determine eligibility for Federal interest. A student that is presently enrolled at a participating institution must be maintaining satisfactory academic progress in the course of study that they are pursuing. The borrower may not owe a refund on any Title IV grant or be in default on any Title IV loan received for attendance at any school. The borrower must also file a Statement of Registration compliance (Selective Service). Borrowers that are unable to obtain consolidation loans from lenders under FFEL or who are unable to obtain a consolidation loan with income sensitive repayment terms acceptable to the borrower from a lender, shall be eligible for a Direct Consolidation Loan. Married couples may consolidate eligible loans.

Beneficiary Eligibility:

Vocational, undergraduate, and graduate postsecondary school students and their parents.

Credentials/Documentation:

The borrower will need certification of eligibility from the school and may be required to supply documentation to verify the accuracy of data used in the Direct Subsidized loan need analysis.

Preapplication Coordination:

Information regarding application procedures may be obtained from the school and the Department of Education. This program is excluded from coverage under OMB Circular No. A-102. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications for the Direct Subsidized and Unsubsidized loans are obtained from the school. Direct consolidation loan applications are obtained from the Department of Education. To obtain a Direct Subsidized or Unsubsidized loan, a student shall complete and submit a Free Application for Federal Student Aid (FAFSA). All applications (with the exception of PLUS) for Direct Loans are processed by the Central Processor System (CPS) in the initial step to determine a student's Title IV eligibility. Schools will receive official output from CPS. With the school will be able to assemble a financial aid award package. A school participating in the Direct Loan program may award Direct Loans as part of the financial aid package. If a Direct Loan is not included in the aid package, a student may contact the school to request loan information.

Award Procedure:

Schools participate in the Direct Loan program either by originating loans or by using an alternative originator to originate loans. The

school must certify the borrower's eligibility to receive the funds. To obtain a Direct PLUS Loan, the parent shall complete an application and authorize a credit check. If the parent does not have an adverse credit history the loan maybe disbursed. Schools may disburse funds by crediting them directly to the student's account at the school, issuing a check or cash, or by initiating an electronic fund transfer.

Deadlines:

None.

Range of Approval/Disapproval Time:

None.

Appeals:

None.

Renewals:

None.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Applicants may apply for a loan for any year of school. The interest rate on new Stafford and Unsubsidized Stafford Loans starting July 1, 2006 is fixed at 6.8 percent. The College Cost Reduction and Access Act (CCRAA) of 2007 authorized phased reductions to the interest rates for Subsidized Stafford loans borrowed by undergraduates with the rate cut in half to 3.4 percent on July 1, 2011. There are four repayment plans that are available for Direct Loan borrowers: (1) A standard repayment plan with a fixed monthly payment amount over a period of up to 10 years; (2) an extended repayment plan with a fixed monthly payment amount paid over a period of 12 to 30 years, depending on the amount owed; (3) a graduated repayment plan with a monthly payment that will increase generally every 2 years. The repayment period varies from 12 to 30 years, depending on the amount owed; and (4) except for Direct PLUS Loan borrowers, an income contingent repayment (ICR) plan with a monthly payment that is adjusted annually based on the income of the borrower and paid over a extended period of time not to exceed 25 years. Any unpaid balance remaining after 25 years in the ICR plan is forgiven by the Secretary of Education. However, under current IRS rules, the amount written off is considered taxable income to the borrower in the year it is written off. A legislative change removing this taxable treatment has been sought. CCRAA created a new income-based repayment plan for those borrowers meting a standard of "partial financial hardship" based on their annual repayment amount in relation to their adjusted gross income. Generally a borrower would not have to repay on a monthly basis more than 15 percent of their discretionary income. Any outstanding balance would be forgiven after 25 years of repayment in

the income-based repayment option. CCRAA also created a new loan forgiveness program for Direct Loan borrowers who work in public service during a 10-year period while they are repaying their loans. If these borrowers during repayment have an outstanding loan balance after 10 years of working in public service, the Federal Government will forgive the remaining balance on behalf of the borrower. Public service may include a variety of public disciplines such as education, health, law, military service and public safety.

Reports:

The Department will produce a variety of management information system accounting, and financial management reports. Schools must submit all reports required by 34 CFR 685 and 34 CFR 668.

Audits:

Schools are subject to examination and program review by the Department of Education. Program reviews are performed on a fiscal year basis.

Records:

Schools must maintain proper administrative and fiscal procedures and all necessary records as set forth in the regulations in 34 CFR 685 and 34 CFR 668. Schools must keep all records involved in any loan, claim, or expenditure questioned by a Federal audit until resolution of any audit questions. In addition to records required by 34 CFR 668, for each Direct Loan received under 34 CFR 685 by or on behalf of this student, a school shall maintain a copy of the loan application and data electronically submitted to the Secretary.

Account Identification:

91-0243-0-1-502.

Obligations:

(Loan volume, net of consolidations) FY 07 \$13,022,000,000; FY 08 est \$14,103,000,000; and FY 09 est \$14,867,000,000. DL Consolidation volume: FY 07 \$3,484,000,000; FY 08 est \$4,116,000,000; and FY 09 est \$4,426,000,000.

Range and Average of Financial Assistance:

Varies depending on the type of loan.

PROGRAM ACCOMPLISHMENTS:

In 2007, over 2.9 million loans had been made.

REGULATIONS, GUIDELINES, AND LITERATURE:

Student Loan Reform Act of 1993, Public Law 103-66, 34 CFR 685.

Regional or Local Office:

See the list of Regional Offices listed in Appendix 5 of the FFEL Catalog.

Headquarters Office:

Federal Direct Loans, Office of Postsecondary Education, Department of Education, Washington, DC 20202. Telephone: (800) 433-3243.

Web Site Address:

<http://www.ifap.ed.gov/>.

RELATED PROGRAMS:

64.117, Survivors and Dependents Educational Assistance; 84.007, Federal Supplemental Educational Opportunity Grants; 84.032, Federal Family Education Loans; 84.042, TRIO_Student Support Services; 84.044, TRIO_Talent Search; 84.047, TRIO_Upward Bound; 84.063, Federal Pell Grant Program; 84.069, Leveraging Educational Assistance Partnership.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

None.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 93.162

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93.162 National Health Service Corps Loan Repayment Program

(NHSC Loan Repayment Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 338B, 42 U.S.C. 254I-1), as amended; National Health Service Corps Amendments Act of 1987, Title II, Public Law 101-597.

OBJECTIVES:

To increase the supply of primary care physicians, dentists, behavioral and mental health professionals, certified nurse midwives, certified family nurse practitioners, and physician assistants and, if needed by the National Health Service Corps, other health professionals in Health Professional Shortage Areas (HPSA) within the U.S.A by assisting the repayment of qualifying educational loans in return for service to populations located health professional shortage areas identified by the Secretary of the Department of Health and Human Services.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

Awards provide payments of up to \$25,000 a year towards participants' qualified government and commercial health professions undergraduate and graduate education loans during the first 2 years of practice at a selected NHSC Loan Repayment Service Site under a written agreement with this program. For subsequent years, payments of up to \$35,000 a year may be made available under a written agreement. Health professions given priority for selection are those identified by communities.

Applicant Eligibility:

Individuals are eligible to apply if they have (1) U.S. citizenship; (2) a health professions degree or are in professional practice (they must hold an unrestricted health professions license from the State in which they will be working, and be eligible for selection for a Federal civil service appointment, or hold an appointment as a commissioned officer in the Regular or Reserve Corps of the U.S. Public Health Service); (3) not defaulted on any Federal debt or do not have a court judgment against them; and (4) no existing service obligation.

Beneficiary Eligibility:

Primary care, oral health, and mental and behavioral health professions are eligible for the Loan Repayment Program (LRP). Specific specialties within these professions are selected for LRP awards based on community demand for health services.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Applications are generally solicited by a program announcement in the HRSA forecast and application details can be found at www.hrsa.gov.

Award Procedure:

NHSC loan repayment awards are made based on the highest need as determined by descending health professional shortage area scores. Priority will be given to individuals whose training is in a health discipline or specialty determined by the Secretary for Health and Human Services to be needed by the NHSC and who the Secretary determines are committed to service in health professional shortage areas.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

About 120 Days.

Appeals:

None.

Renewals:

Contract amendments will be considered according to the availability

of appropriated funds and eligibility criteria applied to the individual and the site at which that individual is employed.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the fiscal year in which the individual completes such year of service.

Reports:

None.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

Grantees are required to maintain grant accounting records for a minimum of 3 years after the end of a grant period. If any litigation, claim, negotiation, audit or other action involving the record has been started before the expiration of the 3-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later. More detailed information regarding retention requirements are provided in Title 45 CFR Parts 74 and 92.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 06 \$58,439,711; FY 07 est \$59,000,000; and FY 08 est \$60,000,000.

Range and Average of Financial Assistance:

New awards range from \$5,000 to \$50,000, with an average award being \$45,000.

PROGRAM ACCOMPLISHMENTS:

Total awards made for the following years: FY 06, 1,484; FY 07, 1,437 and for FY 08, 1,781. In FY 08, approximately 600 awards are expected to be made to new participants.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Chief, Application and Award Branch, Division of the National Health Service Corps., Bureau of Health Professions, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 8A-55, Rockville, MD 20857. Telephone: (301) 594-4400. Public Information Phone: 1-800-221-9393.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.288, National Health Service Corps Scholarship Program; 93.342, Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364, Nursing Student Loans.

EXAMPLES OF FUNDED PROJECTS:

None.

CRITERIA FOR SELECTING PROPOSALS:

Priority will be given to applicants who are individuals whose training is in a health profession or specialty determined by the Secretary to be needed by NHSC.

Program Text for 93.220

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93.220 Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds

(NIH Clinical Research Loan Repayment Program; CR LRP)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Subtitle D, Part G, Section 1631, Public Law 103-43, 107 Stat. 185, 42 U.S.C. 288-5, Public Law 105-392.

OBJECTIVES:

To recruit and retain health professionals from disadvantaged backgrounds to conduct clinical research at the National Institutes of Health (NIH) by providing for the repayment of educational loans for participants with substantial amounts of educational debt relative to income, who agree by written contract to engage in clinical research as employees of the NIH for a minimum two-year period.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged, as employees of the NIH, in clinical research. Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. One-year continuation contracts are available, dependent upon the level of debt and continued involvement in clinical research. Maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt

threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the Program participants.

Applicant Eligibility:

Eligible applicants must: (1) Be a citizen, national, or permanent resident of the United States; (2) possess a M.D., Ph.D., D.O., D.D.S., D.M.D., D.V.M., D.P.M., A.D.N., B.S.N., or equivalent degree; or hold the position of Physician Assistant; (3) come from a disadvantaged background as determined by the Secretary of Health and Human Services; (4) have qualified educational debt, which results from governmental or commercial loans obtained to support their undergraduate and/or graduate education, in excess of 20 percent of their annual NIH salary on the program eligibility date; (5) be appointed under any temporary or permanent employment mechanism in the Intramural Research Program of the NIH, so long as their employment has the potential to last a minimum of 2 years; (6) are not eligible to participate in the CR-LRP if they have an existing service obligation to Federal, State, or other entities, until such obligation is discharged or unless it is deferred during the period of program service; (7) submit an application to participate in the CR-LRP; and (8) sign and submit to the Secretary of Health and Human Services, at the time of agreeing to accept repayment of educational loans, a contract agreeing to engage in clinical research as an employee of the NIH for a minimum of 2 years.

Beneficiary Eligibility:

Clinical researchers from disadvantaged backgrounds who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants must submit documentation of the following, as appropriate: (1) Copies of loan applications and agreements from governmental or commercial educational loans which are being submitted for repayment; (2) copies of the standard student budget from each school attended during period when debt was incurred; (3) copies of recommendations, special skills, certifications, or other copies of loan documentation; (4) certification from the school(s) attended that the applicant qualified for participation in an educational assistance program for individuals from disadvantaged backgrounds which are sponsored by the Secretary of Health and Human Services or self-certification describing circumstances which qualify him/her under the Secretary's definition of an individual from a disadvantaged background; and (5) other documentation as may be required by law.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must access and submit the Loan Repayment Program application electronically via the website www.lrp.nih.gov; seek

qualified employment with a sponsoring Institute or Center (IC) of the NIH. Once an application has been submitted, the Loan Repayment Committee reviews it at a regularly scheduled review meeting and a determination of loan repayment recipients is made.

Award Procedure:

The NIH Loan Repayment Committee (LRC) will review, rank, and approve or disapprove applications recommended for program participation by each Institute or Center (IC). Applications approved for program participation by the LRC will be notified by the Program Director. The Secretary, or his designee, will sign the service contract of program participants, provide a copy to the participant, and notice of the amount of approved loan repayment.

Deadlines:

Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 3 months.

Appeals:

Employees of the NIH who are not approved as a result of this process may ask for reconsideration through the standard appeal procedures available to employees.

Renewals:

At the conclusion of the initial 2-year contract, participants may apply and be recommended for subsequent 1-year continuation contracts under the application and approval procedures specified above.

Formula and Matching Requirements:

This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One-year, continuation contracts are available for individuals whose educational debt exceeds the maximum repayable amount for 2 years and whose renewal ranking scores within the funding range. Payments are made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services.

Reports:

Program participants must be certified periodically to have satisfactorily performed the duties of their positions.

Audits:

None.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-6846-0-1-552.

Obligations:

(Loan Repayments) FY 07 \$300,070; FY 08 est. \$360,724; and FY 09 est. 360,724.

Range and Average of Financial Assistance:

For initial 2-year contracts, loan repayments range from \$68,000 to \$70,000, Tax reimbursements range from \$36,000 to \$40,000. The average contract cost which includes loan and tax reimbursement is \$109,350.

PROGRAM ACCOMPLISHMENTS:

Eight contracts were awarded in fiscal year 2007. It is projected that 7 awards will be made in fiscal year 2008, and 7 awards are projected in fiscal year 2009.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Law 103-43, Section 1631; Section 487E of Public Health Service Act (42 U.S.C. 288-5); Public Law 105-392; Federal Register, Volume 59, No. 201, October 19, 1994; 42 CFR Part 68a Federal Register, Volume 63, No. 210, October 30, 1998.

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Patricia A. Cole, Ph.D., Director Intramural Loan Repayment Programs, National Institutes of Health, Building 2, Room 2E18, 2 Center Drive, Bethesda, MD 20892-0230. LRP Helpline Telephone: (866) 849-4047. Facsimile: 866-849-4046. Email: lrp@nih.gov.

Web Site Address:

<http://www.lrp.nih.gov>.

RELATED PROGRAMS:

93.936, National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program; 93.162, National Health Service Corps Loan Repayment Program; 93.164, Indian Health Service Educational Loan Repayment; 93.342, Health Professions Student Loans, Including Primary Care Loans/Loans for Disadvantaged Students; 93.364, Nursing Student Loans.

EXAMPLES OF FUNDED PROJECTS:

Clinical and basic research to evaluate the use of a PET imaging agent, Tc-94m sestamibi, which will allow greater resolution and quantitation and thereby make direct quantitative comparisons of tumor uptake before and after treatment with antagonists possible. Clinical and basic research designed to develop better methods for detection, prevention and therapy for patients with kidney cancer. Research on the molecular genetics and protein chemistry of tumor suppressor genes and in particular, the VHL gene; and studies into the treatment of adult solid tumors, and laboratory research projects in tumor immunology and morphology.

CRITERIA FOR SELECTING PROPOSALS:

Applicants are approved for participation in the NIH Clinical Research Loan Repayment Program after the LRC determines that the applicant will conduct qualified clinical research and qualifies as being from a disadvantaged background. LRC approval, in part, is based upon the scientific merit of the research and the credentials of the applicant as they relate to performing qualified clinical research.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 93.280

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93.280 National Institutes of Health Loan Repayment Program for Clinical Researchers

(NIH LRP-CR)

FEDERAL AGENCY:

NATIONAL INSTITUTES OF HEALTH, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title IV, Part G, Section 487F; Public Law 106-505; 42 U.S.C. 288-5a.

OBJECTIVES:

To attract and retain health professionals to clinical research careers by offering educational loan repayment for participants who agree by written contract to engage in clinical research in a qualifying nonprofit institution for a minimum of 2 years.

TYPES OF ASSISTANCE:

Project Grants.

USES AND USE RESTRICTIONS:

To provide repayment of extant educational loans incurred by health professionals engaged in clinical research in a qualified nonprofit institution or Federal or other domestic government agency (State, local), and in research that is supported by a nonprofit foundation, nonprofit professional association, or other nonprofit entity, or a Federal or other domestic government agency (State, local). Recipients must agree by written contract to engage in such research, initially, for a minimum of 2 years; individuals who have conflicting service obligations may not participate in this program until those obligations are satisfied or have been deferred during the period of program service. Participants may apply for and the Secretary may grant extension contracts for one-year or two-year periods, as determined by the Secretary, if the individual continues to engage in qualifying research. Renewal applications are competitively reviewed and the submission of a renewal application does not assure the

award of benefits. The maximum program benefit is \$35,000 per year in loan repayments and \$13,650 per year in Federal tax reimbursements, plus discretionary State and local tax reimbursements. Recipients must have qualified educational debt in excess of 20 percent of their annual salary, which is referred to as their "debt threshold." An amount equal to half of this "debt threshold" will not be repaid by NIH and must be paid by the program participants.

Applicant Eligibility:

(1) A U.S. citizen, U.S. national, or permanent resident of the United States; (2) Have a Ph.D., M.D., D.O., D.D.S., D.M.D., D.P.M., Pharm.D., D.C., N.D., or equivalent doctoral degree from an accredited institution; (3) Have total qualifying educational loan debt equal to or in excess of 20 percent of their institutional base salary on the date of program eligibility (the effective date that a loan repayment contract has been executed by the Secretary of Health and Human Services or designee); (4) Conduct qualifying research supported by a domestic nonprofit foundation, nonprofit professional association, or other nonprofit institution, or a U.S. or other government agency (State or local); (5) Engage in qualified clinical research. Clinical research is patient-oriented clinical research conducted with human subjects, or research on the causes and consequences of disease in human populations involving material of human origin (such as tissue specimens and cognitive phenomena) for which an investigator or colleague directly interacts with human subjects in an outpatient or inpatient setting to clarify a problem in human physiology, pathophysiology or disease, or epidemiologic or behavioral studies, outcomes research or health services research, or developing new technologies, therapeutic interventions, or clinical trials; (6) Engage in qualified clinical research for at least 50 percent of their time, i.e., not less than 20 hours per week based on a 40 hour week; (7) Agree to conduct research for which funding is not prohibited by Federal law, regulation, or HHS/NIH policy, and in accordance with applicable Federal, State and local law (e.g., applicable human subject protection regulations); and (8) Sign and submit to the Secretary of Health and Human Services, at the time of application submission, a contract agreeing to engage in clinical research in a qualifying institution for a minimum of 2 years. Full-time employees of Federal Government agencies are ineligible to apply for LRP benefits. Part-time Federal employees who engage in qualifying research as part of their nonfederal duties for at least 20 hours per week based on a 40 hour week, and who are not compensated as a Federal employee for their research, are eligible to apply for loan repayment if they meet all other eligibility requirements.

Beneficiary Eligibility:

Health professionals who are interested in pursuing clinical research careers and who have unpaid educational loans will benefit from this program.

Credentials/Documentation:

Applicants electronically transmit the following documents to the NIH: Applicant Information Statement, Biosketch, Personal Statement, Description of Research Activities, 3 Recommendations, Loan Information including current account statement(s) and promissory note(s) or disclosure statement(s), Assessment of Research Activities Statement (completed by the research supervisor), and Description of the Research Environment (completed by the research supervisor), Training or Mentoring Plan (completed by the research supervisor). Institutional Contacts electronically transmit a certification that: (a) assures the applicant will be provided the necessary time and resources to engage in the research project for two years from the date a Loan Repayment Program Contract is executed; (b) assures that the applicant is or will be engaged in qualifying research for 50 percent of their time (or not less than 20 hours per week based on a 40 hour week); (c) certifies that the institution is nonprofit (exempt from tax under 26 USC 501), and (d) provides the applicant's institutional base salary.

Preapplication Coordination:

None. This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applicants must access and submit the Loan Repayment Program application via the website www.lrp.nih.gov. Applications submitted to the NIH Division of Loan Repayment are first examined to determine if the basic eligibility requirements are satisfied. If these requirements are met, the application is forwarded to the NIH Center for Scientific Review for a determination regarding which NIH Institute or Center the application will be assigned to for review, scoring, and ranking.

Award Procedure:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program for Clinical Researchers. When an Institute or Center approves an application, the NIH Office of Loan Repayment notifies individuals of their award amount, provides a repayment schedule that covers the period of participation, and a Loan Repayment Program Contract is executed by an authorized representative of the Secretary, DHHS. If the approved research assignment changes, or if the employing research institution changes, the participant must have their continued eligibility re-certified by the Institute or Center which approved their application. Contact the NIH via e-mail at LRP@NIH.GOV for further guidance.

Deadlines:

Review the Loan Repayment Program website www.lrp.nih.gov, or contact the Headquarters Office listed below for the application deadlines.

Range of Approval/Disapproval Time:

The approximate time for approval/disapproval is 6 months from the closing date of the annual application period.

Appeals:

None.

Renewals:

At the conclusion of the initial 2-year contract, participants may apply and be considered for subsequent 1 or 2-year continuation contracts under the application and approval procedures specified above. Eligible renewal applications that score within the funding range receive continuation contracts.

Formula and Matching Requirements:

This program has no formula or matching requirements.

Length and Time Phasing of Assistance:

Assistance is available for a minimum 2-year period. One or 2-year continuation contracts are available. Payments will be made directly to lenders, following each quarter of the participant's satisfactory service, unless otherwise agreed upon by the participant and Secretary of Health and Human Services.

Reports:

Program participants must be periodically certified by their research supervisor to have been engaged in the qualifying research project for a minimum 50 percent effort (not less than 20 hours per week based on a 40 hour week) during the service period.

Audits:

None.

Records:

The NIH will maintain applicant records for 3 years after rejection and participant records for 6 years after completion of final service obligation.

Account Identification:

75-4554-0-1-552.

Obligations:

(Loan Repayments) FY 06 est \$42,244,000; FY 07 est \$42,202,000; and FY 08 est not available.

Range and Average of Financial Assistance:

For initial two-year contract periods, loan repayments awards may range from \$2,298 to \$70,000; Tax reimbursements range from \$1,040 to \$31,679. The average cost which includes loan and tax reimbursement is \$48,718.

PROGRAM ACCOMPLISHMENTS:

942 awards were made in fiscal year 2005. NIH projects that 935 awards will be made in fiscal year 2006 and 2007.

REGULATIONS, GUIDELINES, AND LITERATURE:

Pertinent information is contained in Public Health Service Act, Title IV, Part G, Section 487F, Public Law 106-505, 42 USC 288-5a. The NIH LRP-CR was initially announced by publication of a Notice in the Federal Register (Vol. 66, No. 173), and updated in Federal Register (Vol. 68, No. 61).

Regional or Local Office:

Not applicable.

Headquarters Office:

Program and Business Contact: Alfred C. Johnson, Ph.D., Division of Loan Repayment, National Institutes of Health, 6011 Executive Blvd., Room 206, MSC 7650, Bethesda, MD 20892-7650. LRP Helpline: 866-849-4047. Facsimile: 866- 849-4046. Email: lrp@nih.gov.

Web Site Address:

<http://www.lrp.nih.gov>

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program; 93.164, Indian Health Service Educational Loan Repayment; 93.209, Contraception and Infertility Research Loan Repayment Program; 93.220, Clinical Research Loan Repayment Program for Individuals from Disadvantaged Backgrounds; 93.285, National Institutes of Health Pediatric Research Loan Repayment Program; 93.307, Minority Health and Health Disparities Research; 93.308, Extramural Loan Repayment for Individuals from Disadvantaged Backgrounds Conducting Clinical Research; 93.364, Nursing Student Loans; 93.936, National Institutes of Health Acquired Immunodeficiency Syndrome Research Loan Repayment Program.

EXAMPLES OF FUNDED PROJECTS:

Production of mature human T lymphocytes from bone marrow using a skin-derived thymic organoid. Magnetic resonance, EEG, amphetamine, and visual processing; MR analysis of persisting CNS damage in human MDMA users. Associations between human cerebral spinal fluid dopamine levels and neuropsychological outcome after severe traumatic brain injury.

CRITERIA FOR SELECTING PROPOSALS:

The NIH Institutes and Centers approve or disapprove applications for participation in the Loan Repayment Program for Clinical Researchers. Applications that are on time, complete and eligible are referred to the appropriate NIH Institute or Center by the NIH Center for Scientific Review. The NIH Institutes and Centers convene panels

comprised of non-NIH scientists to review, score and rank applications. In evaluating the application, reviewers are directed to consider the following components as they relate to the likelihood that the applicant will continue in a research career: (1) potential of the applicant to pursue a career in research, and (2) quality of the overall environment to prepare the applicant for a research career.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 93.364

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93.364 Nursing Student Loans

(NSL)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Title VIII, Section 835-842, 42 U.S.C. 297a-i, as amended; Health Professions Education Partnership Act of 1998, Public Law 105-392.

OBJECTIVES:

To increase educational opportunities by providing long-term, low-interest loans to students in need of financial assistance and in pursuit of a course of study in an approved health discipline.

TYPES OF ASSISTANCE:

Direct Loans.

USES AND USE RESTRICTIONS:

The maximum amount a student may borrow is \$2,500 for an academic year for each of the first two years, \$4,000 for each of the final 2 years, or the amount of the student's financial need, whichever is less. The total is limited to \$13,000. Loans to full and half-time nursing students who are citizens, nationals, lawful permanent residents of the United States, the District of Columbia, the Commonwealths of Puerto Rico, the Mariana Islands, the Virgin Islands, Guam, the American Samoa, the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated States of Micronesia. Funds are made to schools of nursing to capitalize loan.

Applicant Eligibility:

All accredited public and nonprofit private schools of nursing that prepare students for practice as registered or graduate nurses, and that do not discriminate against students because of race, color,

origin, sex, or handicapping conditions, are eligible to apply for funds to be disbursed to qualified nursing students.

Beneficiary Eligibility:

The Nursing Student Loan Program provides financial assistance to nursing students who are citizens, nationals or lawful permanent residents of the United States or the District of Columbia, the Commonwealths of Puerto Rico or the Mariana Islands, the Virgin Islands, Guam, the American Samoa or the Trust Territory of the Pacific Islands, the Republic of Palau, the Republic of the Marshall Islands, and the Federated State of Micronesia.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded for coverage under E.O. 12372.

Application Procedure:

Grant applications and required forms for this program can be obtained from Grants.gov. Please visit the Grants.gov Web site at www.grants.gov to both find and apply for all Federal grant opportunities. All qualified applications will be forwarded to an objective review committee which will make funding recommendations to the Associate Administrator for the Bureau of Health Professions. The Associate Administrator has the authority to make final selections for awards.

Award Procedure:

The Notice of Award is sent to the School's Contact Person notifying the school of the amount of Federal funds allocated for loans prior to the beginning of the academic year in which the award is to be utilized. Student is notified by student aid office of approval or disapproval at times determined by schools.

Deadlines:

Students should contact the schools financial aid office for application deadline.

Range of Approval/Disapproval Time:

Approximately 3 months.

Appeals:

None.

Renewals:

Applications are required for new schools.

Formula and Matching Requirements:

Schools participating in loan programs must match the Federal contribution with an amount not less than one-ninth of the Federal allotment.

Length and Time Phasing of Assistance:

Length and time of assistance depends upon the time required by the student to complete the necessary course of study leading to a degree and is subject to availability of funds.

Reports:

"Annual Operating Report" through electronic submission at <http://bhpr.hrsa.gov/dsa>.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised, June 27, 2003), "Audits of States, Local Governments, and Nonprofit Organizations," nonfederal entities that expend financial assistance of \$500,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Nonfederal entities that expend less than \$500,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in Circular No. A-133.

Records:

All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions remain following the 3-year period, such as those raised as a result of an audit or an on-going enforcement action, recipients must retain records until the matter is completely resolved.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 07 \$2,122,268; FY 08 est. \$1,562,835; and FY 09 est. \$2,000,000.

Range and Average of Financial Assistance:

\$1,274 to \$112,767 (FY 07 Actual).

PROGRAM ACCOMPLISHMENTS:

Awards were made to 79 nursing schools in FY 07.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations, as applicable.

Regional or Local Office:

Tyson Nakashima, Division of Health Careers Diversity and Development, Bureau of Health Professions, Health Resources and Services Administration, Public Health Service, Department of Health and Human Services, Parklawn Building, Room 9-105, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4776. Use same number for FTS.

Headquarters Office:

Grants Management Office: Rick Goodman, Director, Division of Grants Management Operations, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: (301) 443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: (301) 443-3288.

Web Site Address:

<http://www.hrsa.gov>.

RELATED PROGRAMS:

84.038, Federal Perkins Loan Program Federal Capital Contributions; 84.063, Federal Pell Grant Program.

EXAMPLES OF FUNDED PROJECTS:

(1) Long-term, low-interest loans made to nursing students; and (2) funds provided to schools of nursing to capitalize loan funds.

CRITERIA FOR SELECTING PROPOSALS:

Applications will be reviewed for completeness and accuracy, and to determine if applicant schools meet minimum program requirements.

Program Text for 93.569

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93.569 Community Services Block Grant

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Community Opportunities, Accountability, Training, and Educational Services Act of 1998, Title II, Section 201 and Sections 671-thru 679; Public Laws 97-35, 103-252, Public Laws 106-554 and 98-502.

OBJECTIVES:

To provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act) and (1) To provide services and activities having a measurable and potential major impact on causes of poverty in the community or those areas of the community where poverty is a particularly acute problem; (2) to provide activities designed to assist low-income participants, including the elderly poor, to: (a) secure and retain meaningful employment; (b) attain an adequate education; (c) make better use of available income; (d) obtain and maintain adequate housing and a suitable living environment; (e) obtain emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including health services, nutritious food, housing, and employment-related assistance; (f) remove obstacles and solve problems which block the achievement of self-sufficiency; (g) achieve greater participation in the affairs of the community; and (h) make more effective use of other related programs; (3) to provide on an emergency basis for the provision of such supplies and services, nutritious foodstuffs, and related services, as may be necessary to counteract conditions of starvation and malnutrition among the poor; and (4) to coordinate and establish linkages between governmental and other social services programs to

assure the effective delivery of such services to low-income individuals.

TYPES OF ASSISTANCE:

Formula Grants.

USES AND USE RESTRICTIONS:

(1) States receive block grants to ameliorate the causes of poverty in communities. The block grant approach gives the States flexibility to tailor their programs to the particular services needs in their communities. (2) States are required to use at least 90 percent of their allocations for grants to "eligible entities" as defined in the Community Services Block Grant (CSBG) Act, as amended; this includes primarily locally-based community action agencies and/or organizations that serve seasonal or migrant farm workers. (2) No more than the greater of \$55,000 or 5 percent of each State's allocation may be used for administrative expenses at the State level.

Applicant Eligibility:

The Secretary is authorized to make grants to States. This includes each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Commonwealth of the Northern Mariana Islands. The Secretary also provides assistance directly to the governing body of an Indian Tribe or Tribal organization upon application by the tribe. Only State-recognized tribes, as evidenced by a statement to that effect by the Governor, or tribes formally recognized by the Secretary of the Interior, under the procedure for such recognition in 25 CFR 54, are eligible to receive direct grants.

Beneficiary Eligibility:

States make grants to qualified locally-based nonprofit community antipoverty agencies and other eligible entities which provide services to low-income individuals and families. The official poverty line, as established by the Secretary of Health and Human Services, is used as a criterion of eligibility in the Community Services Block Grant program. When a State determines that it serves the objectives of the block grant, it may revise the income limit, not to exceed 125 percent of the official poverty line.

Credentials/Documentation:

None.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Each State desiring to receive an allotment for a fiscal year is required to submit an application to the Secretary of Health and Human Services (HHS). Each application must contain assurances by the

appropriate State designee that the State will comply with Section 676 of the Community Services Block Grant Act and also meet conditions enumerated in Sections 678(B-D). The State is required to hold at least one legislative hearing every three years in conjunction with the development of the State Plan (Section 676(a)(3)). States are also required to conduct public hearings on the proposed use and distribution of funds to be provided under the Act. The latter sets forth the general purpose for which funds will be used, restrictions on administrative expenses, eligible recipients, board requirements for community action agencies and other nonprofit organizations, fiscal control, monitoring, and Federal investigation provisions, coordination between antipoverty programs in each community and certain prohibitions on political activities. The Chief Executive Officer of each State is also required to designate a lead agency to prepare and submit a plan to the Secretary of HHS describing how the State will carry out the assurances in Section 676. This program is excluded from coverage under 45 CFR, Part 1050.

Award Procedure:

HHS determines the amount of funds to be allocated as block grants to each State in accordance with the formula set forth in the Community Services Block Grant Act. The Office of Management and Budget (OMB) has the authority to apportion to the HHS through the course of a year the Congressional appropriation for block grants. Consistent with OMB's apportionment of funds, HHS will assign allotments to the States through individual awards or a series of awards, normally on a quarterly basis.

Deadlines:

The application deadline for States and Indian Tribes and Tribal Organizations is September 1 of each fiscal year.

Range of Approval/Disapproval Time:

Not applicable.

Appeals:

Funds may be withheld from any State which does not utilize its allotment substantially in accordance with the provisions of the Community Services Block Grant Act and the assurances provided in its application. This may be done only after adequate notice and an opportunity for a hearing is conducted within the affected State.

Renewals:

Not applicable.

Formula and Matching Requirements:

Omnibus Budget Reconciliation Act of 1981, Public Law 97-35 as amended by the Human Services Amendments of 1998, Public Law 105-285, Section 674 authorizes the Secretary to use one and one-half of one percent of the amount appropriated to be reserved for

corrective action, training, technical assistance, planning, evaluation, and data collection activities related to programs or projects carried out under this subtitle. Such activities may be carried out through grants, contracts, or cooperative agreements with eligible entities or with organizations or associations whose membership is composed of eligible entities or agencies that administer programs for eligible entities. One-half of one percent of the amount appropriated is apportioned on the basis of need among Guam, American Samoa, the Virgin Islands, the Northern and Mariana Islands. Of the remaining amount each State, (excluding the above, but including the District of Columbia and the Commonwealth of Puerto Rico) is allotted an amount which bears the same ratio as the amount received by the State for fiscal year 1981 under Section 221 of the Economic Opportunity Act of 1964 bore to the total amount received by all States for fiscal year 1981 under Section 221. However, if the appropriation exceeds \$345,000,000 after the amount necessary for purposes of discretionary funding under 680 and the one-half of one percent set aside for territories and insular areas under section 675(A) are determined, no State receives less than one-half of one percent of the amount appropriated. This program has no matching requirements.

Length and Time Phasing of Assistance:

Entitlement amounts are for a specific fiscal year and the grant funds allotted to the State will be awarded in accordance with apportionment of funds from the Office of Management and Budget. Amounts unobligated by the State at the end of a fiscal year remain available for obligation during the succeeding fiscal year.

Reports:

States must prepare and submit to the Secretary an Annual Report on the measured performance of the State and the eligible entities in the State. Reports shall include an accounting of the expenditure of funds received under the CSBG Program, including administrative costs incurred by the State and eligible entities and funds spent on direct delivery of local services, information on the number of and characteristics of clients served and a summary description of the training and technical assistance offered by the State. The Annual Report is due on March 31 each year. States are required to submit annual financial status reports, SF-269A's, 90 days after the end the fiscal year, i.e., December 30, each year.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003), "Audits of States, Local Governments and Non-Profit Organizations," Non-Federal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Non-Federal entities that expend less than \$300,000 (\$500,000 for

fiscal years ending after December 31, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section .215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

States are required to keep records sufficient to permit preparation of the required reports and to permit tracing of funds to a level of expenditure adequate to insure that funds have not been spent unlawfully.

Account Identification:

75-1504-0-1-506.

Obligations:

FY 07 \$630,414,000; FY 08 \$653,800,000; and FY 09 \$ estimate not available.

Range and Average of Financial Assistance:

\$1,019 to \$55,942,793; Average \$5,342,585.

PROGRAM ACCOMPLISHMENTS:

A total of 192 grants were awarded in fiscal year 2007. It is anticipated that 197 grants will be awarded in fiscal year 2008.

REGULATIONS, GUIDELINES, AND LITERATURE:

45 CFR 16, 45 CFR 74 and 45 CFR 96.

Regional or Local Office:

Not applicable.

Headquarters Office:

Division of State Assistance, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, DC 20447. Telephone: (202) 401-9343.

Web Site Address:

<http://www.acf.hhs.gov/programs/ocs>.

RELATED PROGRAMS:

93.568, Low-Income Home Energy Assistance; 93.600, Head Start; 93.045, Special Programs for the Aging Title III, Part C Nutrition Services.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:
Not applicable.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 93.571

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93.571 Community Services Block Grant Formula and Discretionary Awards Community Food and Nutrition Programs

FEDERAL AGENCY:

ADMINISTRATION FOR CHILDREN AND FAMILIES, DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Community Opportunities, Accountability, Training, and Educational Services Act of 1998, Section 681; Public Law 97-35, 42 U.S.C. 9910.

OBJECTIVES:

To provide for community-based, local, statewide and national programs which: (1) Coordinate private and public food assistance resources to better serve low-income populations, whenever such coordination is determined to be inadequate; (2) assist low-income communities to identify potential sponsors of child nutrition programs and initiate such programs in underserved or un-served areas; and (3) develop innovative approaches at the State and local level to meet the nutrition needs of low-income individuals.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use; Formula Grants.

USES AND USE RESTRICTIONS:

(1) States receive Community Food and Nutrition funds for Statewide Community Food and Nutrition initiatives, which must be sub-granted to eligible agencies. (2) Federal funds are competitively-awarded to eligible agencies for statewide program activities which address one or more of the above objectives and also include outreach and public education efforts designed to inform low-income individuals and displaced workers of the nutrition services available to them under the various federally-assisted nutrition programs. Of the amounts appropriated, 60 percent is for allotment by statutory formula to eligible agencies for statewide programs, and 40 percent is available for competitive awards to eligible agencies for local and statewide programs. (3) Projects must result in direct benefits targeted toward

low-income individuals as defined in the most recent "Annual Update of Poverty Income Guidelines," published in the Federal Register and www.grants.gov. (4) Projects are normally funded for 1 year and each project will have an expiration date; however, at the Director's discretion, competitively-awarded grants may support projects for shorter or longer periods, i.e., up to 17 months. (5) States may not use their formula grant supplement for State-level administrative costs.

Applicant Eligibility:

(1) Formula Grants: Formula grants are awarded to Community Services Block Grant recipients in each of the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Northern Mariana Islands. (2) Direct Grants: The Secretary of Health and Human Services is authorized to make direct grants to State and local public and private nonprofit agencies with a demonstrated ability to successfully develop and implement nutrition-related program activities.

Beneficiary Eligibility:

A project must be targeted to address the needs of a specific segment of low-income individuals or families. The official poverty line, as established by the Department of Health and Human Services is used as a criterion of eligibility.

Credentials/Documentation:

Formula grant awards are made to Community Services Block Grant recipients.

Preapplication Coordination:

(1) Formula Grants: States are required to submit an annual application for the formula grant awards. (2) Direct Grants: The competitively-awarded grants are subject to the provisions of Title 45 CFR 74 and 92 and E.O. 12372. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or officials designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Applicants for funds are subject to the clearance procedures and requirements established by the States in which their projects will be conducted. Clearance action through the appropriate State clearinghouses must be initiated prior to or at the same time of submission of proposals to the Office of Community Services (OCS).

Application Procedure:

(1) Formula Grants: grant awards, are made as supplements to the existing Community Services Block Grant awards. If the intended use of funds is not consistent with a State's current block grant application, the State must submit an appropriate plan revision to OCS. All information and forms required to prepare a grant are

published in the Federal Register. Copies of the program announcement are available from OCS Operations Center, 1515 Wilson Blvd., Suite 100, Arlington, VA 22209, telephone 1800/281-9519, ocs@lcgnet.com. In addition, this announcement is accessible for reading or downloading at: <http://www.acf.hhs.gov/programs/ocs>. All applications for assistance under this program component must contain: (1) SF-424, Application for Federal Assistance; (2) SF-424A, Budget Information; (3) a program narrative, submitted in accordance with the following format: (a) analysis of need; (b) project design/work program; (c) organizational experience in program area; (d) management history; (e) staffing and resources; and (f) staff responsibilities; (4) SF-424B, Assurances-Non-Construction Programs; (5) Certification Regarding Drug Free Work place Requirements; (6) Certification Regarding Debarment, Suspension, and Other Responsibility Matters; (7) Certification Regarding Lobbying; and certification regarding environmental tobacco smoke.

Award Procedure:

(1) Formula Grants: OCS determines the amount to be awarded to States in accordance with the formula set forth in the Community Services Block Grant Act, as amended. (2) Direct Grants: The Director, Office of Community Services, Administration for Children and Families, DHHS, will make the final decision on all grant awards. Selection of applicants will be determined based on the review and rating criteria outlined in the Program Announcement.

Deadlines:

(1) Formula Grants: None is applicable for formula grants. Grants are awarded anytime during the fiscal year in which the recipient submits his or her application. (2) Direct Grants: Applications must be submitted within the time frame published in the Federal Register and www.grants.gov.

Range of Approval/Disapproval Time:

(1) Formula Grants: Not applicable. (2) Direct Grants: From 60 to 90 days from the application deadline.

Appeals:

Not applicable.

Renewals:

Not applicable.

Formula and Matching Requirements:

(1) Formula Grants: Section 681 of the Community Services Block Grant (CSBG) Act, as amended (Public Law 97-35, as amended, 42 U.S.C. 9910) prescribes that, from 60 percent of the amount appropriated shall be awarded to eligible agencies for Statewide programs in each State in an amount which bears the same ratio to 60 percent of such appropriations as the low-income and unemployed

populations of such State bear to the low-income and unemployed population of all the States. There is no matching requirement. (2) Direct Grants: Section 681 of the CSBG Act, as amended, provides that 40 percent of the amount appropriated be awarded as direct grants to eligible agencies for local and statewide programs. These awards are made on a competitive basis. There is no matching requirement.

Length and Time Phasing of Assistance:

(1) Formula Grants: Formula grant awards are made for a specific grant period in the fiscal year; amounts unobligated by the State at the end of a grant period are not available for obligation after the expiration of the established grant period. (2) Direct Grants: Financial assistance made available under the competitive grant component will normally be for a 1-year period and each project will have an expiration date. However, at the discretion of the Director, Office of Community Services, Administration for Children and Families, shorter or longer project periods, i.e., up to 17 months, may be established.

Reports:

(1) Formula Grants: States must prepare a final report on the use of formula grants of Community Food and Nutrition funds 90 days after the end of the grant period. (2) Direct Grants: Competitively-awarded direct grant recipients are required to file semi-annual financial and program progress reports and a final narrative report summarizing the activities and accomplishments of the project in relation to the work program approved by OCS.

Audits:

In accordance with the provisions of OMB Circular No. A-133 (Revised June 27, 2003) "Audits of States, Local Governments and Non-Profit Organizations," Non-Federal entities that expend \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) or more in a year in Federal awards shall have a single or program-specific audit conducted for that year in accordance with the provisions of this part. Non-Federal entities that expend less than \$300,000 (\$500,000 for fiscal years ending after December 31, 2003) a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in section .215(a), but records must be available for review or audit by appropriate officials of the Federal agency, pass-through entity, and General Accounting Office (GAO).

Records:

(1) Formula Grants: States receiving formula grants are required to keep records sufficient to permit preparation of required reports and to permit tracing of funds to a level of expenditure adequate to insure that funds have not been spent unlawfully. (2) Direct Grants: Recipients of competitively-awarded direct grants are required to keep all financial and program reports necessary for program review and

audit to insure that funds have been expended in accordance with grant terms and conditions. Records must be kept on file for at least 3 years.

Account Identification:

75-1504-0-1-506.

Obligations:

(Grants and Contracts) FY 07 \$ estimate not available; FY 08 \$ estimate not available; FY 09 \$ estimate not available.

Range and Average of Financial Assistance:

(1) Formula Grants: \$715 to \$363,440; \$182,078; (2) Direct Grants: \$50,000.

PROGRAM ACCOMPLISHMENTS:

None.

REGULATIONS, GUIDELINES, AND LITERATURE:

(1) Formula Grants: the CSBG Act, as amended, and internal Information Memorandum. (2) Direct Grants: Office of Community Services, Program Announcement, notice of availability published in the Federal Register.

Regional or Local Office:

Not applicable.

Headquarters Office:

(1) Formula Grants: Marianna RayNor-Hill, Program Manager, Division of State Assistance, braynor@acf.hhs.gov, Telephone: 202)401-9343; (2) Direct Grants: Catherine Beck, cbeck@acf.hhs.gov, Telephone: (202)401-9352, Division of Community Discretionary Programs, Office of Community Services, Administration for Children and Families, Department of Health and Human Services, 370 L'Enfant Promenade, SW., Washington, 20447.

Web Site Address:

<http://www.acf.hhs.gov/programs/ocs>.

RELATED PROGRAMS:

93.568, Low-Income Home Energy Assistance; 93.569, Community Services Block Grant; 93.570, Community Services Block Grant Discretionary Awards; 93.600, Head Start; 93.045, Special Programs for the Aging Title III, Part C Nutrition Services.

EXAMPLES OF FUNDED PROJECTS:

Not applicable.

CRITERIA FOR SELECTING PROPOSALS:

(1) Formula Grants: Based on a complete application which

addressed the objectives contained in this submission. (2) The rating criteria for competitively-awarded direct grants are as follows: (a) analysis of needs (target area/population to be served, nature and extent of problem adequately described and documented); (b) adequacy of work program (specific, measurable goals appropriately related to needs, adequately described activities appropriately related to goals); (c) significant and beneficial impact (significantly improved or increased nutrition services for low-income individuals, significant leverage or mobilization of funds, the enhancement of existing outreach activity, and either addresses a one-time problem or can be continued with nonfederal resources); (d) implementation coordinated with other organizations to avoid duplication and improve service delivery; (e) ability of applicant to perform (successful past experience in operation nutrition-related activities, and quality of staff); and (f) adequacy of the proposed budget.

General Services Administration
Integrated Acquisition Environment (IAE)

Program Text for 93.908

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93.908 Nursing Education Loan Repayment Program

(Nursing Education Loan Repayment Program)

FEDERAL AGENCY:

HEALTH RESOURCES AND SERVICES ADMINISTRATION,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION:

Public Health Service Act, Section 846(a), as amended, Nurse Reinvestment Act of 2002, Public Law 107-205.

OBJECTIVES:

To assist in the recruitment and retention of professional registered nurses (RN) in approved critical shortage areas to increase access to quality health care by offering loan repayment in return for full-time service at an eligible health care facility with a critical shortage of nurses.

TYPES OF ASSISTANCE:

Direct Payments for Specified Use.

USES AND USE RESTRICTIONS:

The program offers Registered Nurses (RN) substantial economic assistance to repay their qualifying educational loans in exchange for full-time service at a health care facility with a critical shortage of nurses. NELRP participants enter into a Contract agreeing to provide full-time service at an approved Critical Shortage Facility (CSF) for two years. In return, NELRP will pay 30 percent of the Participant's total qualifying loan balance each year (total of 60 percent). A participant may be eligible to amend his/her two-year NELRP Contract to serve for a third (optional) year at a CSF. If a participant works full-time for a third year in a CSF, the NELRP will pay an additional 25 percent of the participant's original qualifying loan balance, bringing the total to 85 percent of eligible loan balances. Critical shortage facilities currently include, but are not limited to, Indian Health Service health centers, hospitals, Federal Hospitals, Federally Qualified health centers, Native Hawaiian health centers, rural health clinics, nursing

homes, home health agencies, hospice programs, State or local public health departments, and ambulatory surgical centers.

Applicant Eligibility:

Individuals who satisfy the following criteria are eligible to apply: (1) have received a baccalaureate or associate degree in nursing (or an equivalent degree), a diploma in nursing or a graduate degree in nursing; (2) have unpaid qualifying loans obtained for nursing education; (3) are a U.S. citizen, national or a permanent legal resident of the United States; (4) are employed full-time (32 hours or more per week) at a critical shortage facility (CSF); (5) have a current unrestricted license in the State in which they intend to practice or be authorized to practice in the State under the Nurse Licensure Compact; and (6) submit a complete application, including a signed Contract to work full-time as a registered or advanced practice nurse for 2 years at an eligible health care facility with a critical shortage of nurses.

Beneficiary Eligibility:

Beneficiaries include registered nurses who have received a diploma, an associate degree, a baccalaureate degree or a graduate degree in nursing from an accredited school of nursing.

Credentials/Documentation:

Applicants should review the individual HRSA Guidance documents issued under this CFDA program for any required proof or certifications which must be submitted prior to or simultaneous with submission of an application package.

Preapplication Coordination:

This program is excluded from coverage under E.O. 12372.

Application Procedure:

Applications are generally solicited by a program announcement in the HRSA forecast and application details can be found at www.hrsa.gov. Individuals applying for this funding opportunity are required to submit an application electronically unless an exception is granted.

Award Procedure:

Once a determination has been made as to who will receive an award, that individual will be accepted into the program individuals . The individual will be notified in writing and be sent a copy of their signed contract of his/her acceptance.

Deadlines:

www.hrsa.gov.

Range of Approval/Disapproval Time:

From 3 to 9 months.

Appeals:

None.

Renewals:

Renewals for the third year of service will be considered based on the availability of funds.

Formula and Matching Requirements:

None.

Length and Time Phasing of Assistance:

Loan repayments for 1 year of obligated service will be made no later than the end of the Federal fiscal year in which the individual completes such year of service.

Reports:

The employing critical shortage facility must complete and send to the NELRP a semi-annual certification that the participating nurse has engaged in a year of full-time continuous service as a registered nurse. The certification includes an endorsement by an appropriate official of the facility.

Audits:

None.

Records:

None.

Account Identification:

75-0350-0-1-550.

Obligations:

FY 06 \$19,244,653; FY 07 est \$19,000,000; and FY 08 est \$27,000,000.

Range and Average of Financial Assistance:

\$20,000 to \$150,000, with an average award being \$38,000.

PROGRAM ACCOMPLISHMENTS:

Awards made for the following years: FY 06, 218. The estimate for FY 07 is 553 and for FY 08 is 724.

REGULATIONS, GUIDELINES, AND LITERATURE:

This program is subject to the provisions of 45 CFR Part 92 for State, local and tribal governments and 45 CFR Part 74 for institutions of higher education, hospitals, other nonprofit organizations and commercial organizations.

Regional or Local Office:

Nursing Education Loan Repayment Program, Division of Applications and Awards, Bureau of Clinician Recruitment and Service (BCRS), Health Resources and Services Administration, 5600 Fishers Lane, Room 9-36, Rockville, MD 20857. Telephone: (301) 594-4130.

Headquarters Office:

Grants Management Office: Rick Goodman, Division of Grants Management, Health Resources and Services Administration, Department of Health and Human Services, 5600 Fishers Lane, Room 11A-16. Health Services Branch: 301-443-2385; Research and Training Branch: (301) 443-3099; Government and Special Focus Branch: 301-443-3288.

Web Site Address:

<http://www.hrsa.gov>

RELATED PROGRAMS:

93.162, National Health Service Corps Loan Repayment Program; 93.164, Indian Health Service Educational Loan Repayment; 93.364, Nursing Student Loans.

EXAMPLES OF FUNDED PROJECTS:

Loan repayments for nursing education costs made to registered nurses working full-time in health care facilities with a critical shortage of nurses.

CRITERIA FOR SELECTING PROPOSALS:

Funding preference is defined as the funding of a specific category of group of approved applicants ahead of other categories or groups of approved applicants. As provided in section 846(e) of the PHS Act, as amended, a funding preference is given to eligible applicants with the greatest financial need. Awards are made to the highest ranked applicants until funds are expended. Please review the HRSA Preview or BCRS website at www.hrsa.gov for important information regarding funding preferences.

